

## Long-Baseline Neutrino Oscillation Experiments and the Role of the Near Detector

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### Quick Reminder: 2 Neutrino Oscillations

$$P(\nu_{\mu} \rightarrow \nu_{e}) = \sin^{2}(2\theta) \sin^{2}\left(1.27 \frac{\Delta m_{12}^{2}L}{4E_{\nu}}\right)$$

What would CP Violation imply?

$$P(\vartheta_{\mu}^{L} \to \vartheta_{e}^{L}) \neq P(\overline{\vartheta_{\mu}^{R}} \to \overline{\vartheta_{e}^{R}})$$

- ⇒ Measure oscillations with neutrinos and anti-neutrinos
- ⇒ Might help to understand why more matter than anti-matter in the Universe





$$P(v_{\mu} \rightarrow v_{e}) = \sin^{2} 2\theta_{13} \sin^{2} \theta_{23} \frac{\sin^{2}([1-x]\Delta)}{[1-x]^{2}}$$
Leading term
$$-\alpha \sin \delta_{CP} \sin^{2} 2\theta_{12} \sin 2\theta_{13} \sin 2\theta_{23} \sin \Delta \frac{\sin[x\Delta] \sin([1-x]\Delta)}{x[1-x]}$$

$$+\alpha \cos \delta_{CP} \sin 2\theta_{12} \sin 2\theta_{13} \sin 2\theta_{23} \cos \Delta \frac{\sin[x\Delta] \sin([1-x]\Delta)}{x[1-x]}$$
CP violating term
$$r[1-x]$$
For  $P(\overline{\vartheta_{\mu}^{R}} \rightarrow \overline{\vartheta_{e}^{R}})$ :
$$x = \frac{2\sqrt{2}G_{F}n_{e}E_{\theta}}{\Delta m_{31}^{2}}, \alpha = \left|\frac{\Delta m_{31}^{2}}{\Delta m_{31}^{2}}\right| \approx \frac{1}{30}, \Delta = \frac{\Delta m_{31}^{2}L}{4E_{\theta}}$$

$$\delta_{CP} = 0 \text{ implies violating term vanishes!}$$

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### LBNO Experiment Concept



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- To extract the oscillation parameters, we need the oscillation probability
- We should measure:
  - Disappearance probability:  $P(\nu_{\alpha} \rightarrow \nu_{\alpha})$
  - Appearance probability:  $P(\nu_{\alpha} \rightarrow \nu_{\beta})$  ( $\beta=\tau$  normally not accessible)
- Wide range of  ${\bf E}_{\rm v}$  to measure the shape of  ${\it P}$  well
- Ideal would be pure  $\nu_{\alpha}$  flux initially
- **P** is in fact not directly measurable but is given by:
- Some problems:

# Flux also not direct observable, no pure $v_{\alpha}$ flux, we also do not know so well $E_{v}$ and more ...



 $P_{\nu_{\alpha} \to \nu_{\beta}} = \frac{\Phi_{\beta}}{\Phi^{no \ osc}}$ 

### What do we have (ideally)?





- ND provides the no oscillated flux on the FD via:  $\Phi_{FD}^{no\ osc} = \Phi_{ND}F_{FD/ND}$
- Then **P** is given by:

$$P_{\nu_{\alpha} \to \nu_{\beta}} = \frac{\frac{dN_{\beta}^{FD}}{dE_{\nu}}}{\frac{dN_{\alpha}^{ND}}{dE_{\nu}}} \frac{N_{target}^{ND} \sigma_{\alpha}^{ND}}{N_{target}^{FD} \sigma_{\beta}^{FD}} \frac{1}{F_{FD/ND}}$$

### What do we have (ideally)?





• Then **P** is given by:



- **P** depends on true  $E_v$  but a detector provides  $E_v^{reco}$
- Energy migration described by matrix:  $T(E_{
  u}, E_{
  u}^{reco})$
- Additional detector effects/efficiencies which depend on  $E_{\nu}/E_{\nu}^{reco}$ :  $\epsilon(E_{\nu}, E_{\nu}^{reco})$
- Both require an excellent understanding of the detector response
- Different for every interaction process
- Event rate at FD is then:  $\frac{dN_{\beta}^{FD}}{\Delta E_{\nu}^{reco}} = N_{target}^{FD} \sum_{i} \int_{E_{min}}^{E_{max}} \Phi^{ND}(E_{\nu}) F_{\frac{FD}{ND}}(E_{\nu}) \sigma_{i}^{FD}(E_{\nu}) T_{i}^{FD}(E_{\nu}, E_{\nu}^{reco}) \epsilon_{i}(E_{\nu}, E_{\nu}^{reco}) P_{\nu_{\alpha} \to \nu_{\beta}}(E_{\nu}) dE_{\nu}$
- Event rate at ND is then:

$$\frac{dN_{\beta}^{\text{ ND}}}{\Delta E_{\nu}^{reco}} = N_{target}^{ND} \sum_{i} \int_{E_{min}}^{E_{max}} \Phi^{ND}(E_{\nu}) \sigma_{i}^{ND}(E_{\nu}) T_{i}^{ND}(E_{\nu}, E_{\nu}^{reco}) \epsilon_{i}(E_{\nu}, E_{\nu}^{reco}) dE_{\nu}$$

More complicated but you still extract the oscillation parameters from comparing the near detector and the far detector neutrino spectrum!

### Analysis Concept: ve Appearance





A lot of uncertainties have to be taken into account!



- Neutrino beam is tertiary beam
- Proton beam, hadron production, magnetic horn system, ... contribute to neutrino flux uncertainties
- Some of these uncertainties controlled by beam monitors and external experiments

### Hard work to reduce uncertainties in knowledge of neutrino flux!



### T2K Neutrino Flux

13.06.2023



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- Neutrino flux not mono-energetic but shows broad spectrum
- No pure  $\nu_{\mu}$  beam but contaminations to be accounted for in analysis
- But:  $v_e$  contamination useful to measure cross-section for appearance in FD
- Also wrong-sign contamination
- T2K/HK neutrino flux peaks at about 650 MeV



### Neutrino Cross-Sections: Motivation



- Muon (anti-)neutrino cross-section well measured at ND and used to extrapolate to electron (anti-)neutrino cross-section at FD => enough for current experiments but not for future high statistics experiments
- Need <3% relative error on these crosssections
- Cross-sections depend on target material.
   ND280 measures mainly on CH => need to measure on water for future

$$\mathcal{A}_{CP} = \frac{P(\nu_{\mu} \to \nu_{e}) - P(\overline{\nu}_{\mu} \to \overline{\nu}_{e})}{P(\nu_{\mu} \to \nu_{e}) + P(\overline{\nu}_{\mu} \to \overline{\nu}_{e})}$$



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### Neutrino Cross-Sections





### T2K Analysis: NC1π+





### Energy Measurement: Could be so simple ...



## • Remember: We need the oscillation probability as function of the true neutrino energy!

- $v_{\mu}/v_{\mu}$  enters the detector, exchanges a W-boson with a neutron/proton and in the final state we have a negative/positive muon and proton/neutron
- Energy reconstruction trivial for QE case:

$$E_{\rm rec} = \frac{M E_{\mu} - m_{\mu}^2 / 2}{M - E_{\mu} + |\vec{p}_{\mu}| \cos \theta_{\mu}}$$



- Small but relevant problem: We have normally no H target and for sure no free neutrons!
- Interactions need to take into account many nuclear effects

### Interaction Modes and Interaction Topologies









### Fermi Motion



- Previous equations for energy reconstruction only valid for stationary nucleons
- Nucleons move inside nucleus => Fermi motion
- Energy at interaction vertex is not well defined
- Reconstructed energy is smeared around true value
- Large effect for small neutrino energies
- Several models for energy of nucleons in nucleus considered:
  - Relativistic Fermi Gas (RFG) => bad
  - Local Fermi Gas (LFG) => good
  - Spectral functions => very good



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Kinematic approach:

$$E_{\nu} = \frac{m_p^2 - (m_n - E_b)^2 - m_{\mu}^2 + 2(m_n - E_b)E_{\mu}}{2(m_n - E_b - E_{\mu} + p_{\mu}\cos\theta_{\mu})}$$

- Simple and nice but only useful for CCQE  $\bullet$ events
- Does not take into account FSI effects
- Some particles might be "invisible" eg below lacksquareCherenkov threshold
- Ideal method for WCD ۲



5000

-0.8 -0.6 -0.4 -0.2 -0.0

0.2

0.4

0.6

(Erec\_Etrue)

0.8

МС

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Calorimetric approach:

$$E_{\nu}^{calo} = E_{\ell} + E_{had.} = E_{\ell} + \Sigma T_p + \Sigma T_{\pi^{\pm}} + \Sigma E_{\gamma}$$

- Measure the energy of all outgoing particles and sum them to reconstruct neutrino energy
- Better estimation but far from perfect
- Problem are neutral particles, especially neutrons, carrying away energy undetected
- LAr TPC approach (DUNE) and ND280



## **Several T2K Near Detectors**



ND280:

- Off-axis 2.5
- Magnetized
- Cross-sections
- 2+2 tonne target mass (mainly CH)

Others:

- WAGASCI
- NINJA
- Off-axis 1.5

### The ND280 detector (2009-2022)





#### **Current limitations**

- Tracks w/o TPCs (high angle).
- Tracks w/o TPCs (low momentum).
- Limited timing information => no direction information
- No neutron info
- Poor electron/photon separation
- High detection threshold for protons





### T2K experiments reported first measurement of δCP

- Large region of δCP>0 rejected at 99.7% level
- Best fit result close to maximal CP violation
- $\delta$ CP=0 excluded at 3 $\sigma$ ,  $\delta$ CP=180 excluded at >2 $\sigma$
- More key results in the past: First ve appearance measurement (Phys. Rev. Lett. **112**, 061802)

Article | Published: 15 April 2020

#### Constraint on the matter-antimatter symmetryviolating phase in neutrino oscillations

The T2K Collaboration

Nature 580, 339–344 (2020) Cite this article 19k Accesses 109 Citations 1077 Altmetric Metrics



### The upgraded ND280 detector



### SuperFGD

- Novel scintillator tracker based on 2 million cubes
- Allows 3D reconstruction of the events
- 60k fibers/MPPC (as much as the current ND280)
- Assembly was a challenge including key material from Russia
- 2 tonne neutrino target (double as now)

(i) Support system assembly



(iv) Stop panels removed





(ii) First cube layer assembly

(v) Box closure



(iii) All 56 layers assembled



(vi) Transfer to new support





(x) Top MPPCs assembly



(viii) Wall MPPCs assembly







(xi) LED calib. modules assembly (xii) Light barrier/cables assembly







#### (vii) Horizontal fibers assembly



(ix) Vertical fibers assembly

SuperFGD



### It works! First cosmics seen in April 2023!





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Y (cm)

-10

-20

0

X-axis

### HA-TPC



- 2 HA-TPCs assembled out of field cage halves with central cathode
- Resistive Anode MM (ERAM) readout
- Composite material walls

Drift volume

MicroMegas

Module Frame

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- Both a novelties for full-size TPCs
- Particle identification + momentum measurement





## TOF

- 6 modules (2.3x2.5 m2) mounted each with 20 bars
- Double sided readout with 12 SiPMs per side
- Tested in several testbeams
- Excellent time resolution of 150 ps achieved
- Currently quality control of all modules using cosmics
- Important to determine direction of particles







## **Physics Impact**

- Upgraded ND280 covering similar phase space coverage as SuperKamiokande
- Significant lower energy threshold
- Neutron detection capability
- Systematic uncertainty reduced from 6 to 4%

## Much better cross section measurements and FSI understanding!











## HK and ND280: What is needed?

- $\sigma(\nu_e)/\sigma(\nu_\mu)$  and  $\sigma(\nu_e)/\sigma(\bar{\nu}_e)$  must be measured at theoretical uncertainty of 3%
- Cross section on water instead of carbon as in current ND280
- Work on HK ND280++ Upgrade slowly starting







### ND280 vs IWCD



|                  | ND280++                | IWCD     | Advantages  |
|------------------|------------------------|----------|---|
| Target           | CH + H2O               | H2O      | H2O gives less systematics but<br>not fully sensitive                           |
| Mass             | 4t (now) / 10t<br>(++) | 300t     | Larger mass more statistics   |
| Magnet           | Yes                    | No       | Better Particle Identification  |
| Energy threshold | Low                    | High     | Low helps to study FSI  |
| Distance         | 280 m                  | 800 m    | Larger distance less<br>extrapolation uncertainty to FD                         |
| Angle            | Fixed (2.5°)           | Moveable | Measure cross-sections and flux<br>at different angles reduces<br>uncertainties |
| Technology       | Multi-detectors        | WCD      | WCD might introduce less detector uncertainties                                 |

### ND280 and IWCD are complementary!







- Extracting oscillation parameters and CP violating phase from data, is highly complex process
- Near detector is the crucial detector for CP Violation measurement (cross-sections, energy reconstruction, FSI effects, background (eg NC1pi+), ...)
- Upgraded ND280 will provide important information e.g. with more information about FSI crucial for energy reconstruction
- IWCD will be very useful to reduce uncertainties further and complementary to ND280
- HK will be a high statistics experiment and in contrast to now being limited by systematic uncertainties
- ND280 Upgrade++ for HK is under consideration
- There are possibilities to join the efforts e.g. on event reconstruction level



# Backup



Based on some basic assumptions:

- 3 mass eigenstates ("true neutrinos"):  $v_{1,2,3}$  relevant for transport
- 3 flavour eigenstates:  $\nu_{e,\mu,\tau}$  relevant for production/detection via weak force
- Relation between both eigenstates defined by PMNS matrix  $\boldsymbol{U}$

$$\begin{pmatrix} v_e \\ v_\mu \\ v_\tau \end{pmatrix} = U \begin{pmatrix} v_1 \\ v_2 \\ v_3 \end{pmatrix}$$



- PMNS matrix: 3 mixing angles, 1 CP violating phase
- Flavour oscillations possible if  $m_{1,2,3} \neq 0$  and not identical



• Useful representation of the PMNS matrix:

$$\begin{array}{l} \begin{array}{c} \begin{array}{c} \begin{array}{c} \theta_{23} \sim 45^{\circ} & \theta_{13} \sim 9^{\circ} & \theta_{12} \sim 30^{\circ} \\ \end{array}\\ U_{PMNS} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \\ s_{ij} = \sin \theta_{ij} \ ; \ c_{ij} = \cos \theta_{ij} \\ \end{array}$$

• Probability of oscillation from flavour  $\alpha$  to flavour  $\beta$  after distance L:



### 2 Neutrino Oscillations

- Reasonable simplification in many cases: 2 neutrino oscillations
- Instead of 3 mixing angles only one:

$$\binom{\nu_e}{\nu_{\mu}} = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix} \binom{\nu_1}{\nu_2}$$

- Starting with pure  $v_{\mu}$  beam we might detect after distance, L,  $v_e$  in the beam  $m_1^2 m_2^2$
- Probability:  $P(\nu_{\mu} \rightarrow \nu_{e}) = \sin^{2}(2\theta) \sin^{2}\left(1.27 \frac{\Delta m_{12}^{2}}{4E_{\nu}}\right)$

Neutrino energy

## T2K-II (2023-2026)

- New subdetectors for ND280
- beam power upgrade: 0.5 MW  $\rightarrow$  750 kW ( $\rightarrow$  1.3 MW HyperK)
- statistics: 3E21 POT (2018)  $\rightarrow$  1E22 POT (2026)
- aim: systematics from 5-6% to 4%
- Aim for CPV observation in optimal scenario at  $3\sigma$





### More complications: Matter Effects



• Even without CP violation, there is:

$$P\big(\vartheta_{\mu}^{L} \to \vartheta_{e}^{L}\big) \neq P\big(\overline{\vartheta_{\mu}^{R}} \to \overline{\vartheta_{e}^{R}}\big)$$

- Neutrinos cross the Earth and there are only electrons but no positrons!
- Corresponds to additional potential V:

$$V = \pm \sqrt{2}G_F n_e$$

GF: Fermi const., ne: electron number, sign depends on neutrinos or anti-neutrinos

 Depends on mass hierarchy in addition: normal preferred at  $3\sigma$  level by SK atmospheric neutrinos



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### L > 1000 km

- Use wideband neutrino energy beam => on-axis
- Use high energy (E<sub>v</sub>>2 GeV) 1st maximum for mass hierarchy



• Use low energy 2nd maximum for CP violation





### $L \approx$ few hundred km

- Baseline so short that matter effects have almost no impact
- Focus on 1st maximum =>  $E_v < 1 \text{ GeV}$
- Aim on maximal flux at  $E_v^{max} =>$  off-axis configuration
- To improve sensitivity use mass hierarchy from other measurements or add another detector at larger L







- More complicated to extract but ND provides:  $\Phi\sigma$
- To measure cross-sections, we need to now the neutrino flux
- One single ND, cannot provide both independently
- Best approach constraint flux initial uncertainty by external data
- ND also provides information about Final State Interactions (see later)
- Significant uncertainties which can be grouped in 3 types:
  - Flux uncertainties
  - Cross-section uncertainties
  - Detector uncertainties

### **Proton Beam**

- The quality of the proton beam affects the neutrino flux
- 3 most relevant parameters are:
  - Beam intensity
  - Beam position
  - Beam profile
- Intensity: e.g. by current transformers with precision < 0.5% (NUMI), < 2.7% (T2K, aiming on 2%)</li>
- Positon and profile: by segmented secondary emission monitors with precision on 100-200  $\mu$ m level or by optical transition radiation monitors < 500  $\mu$ m
- Measurement close to target crucial



CCD camera

lens + filter sensitive

inductance L

wire = secondary windings

torus

beam

window

radiatio

beam pipe



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- Hadron production is a major uncertainty for the neutrino flux
- Knowledge of meson production double differential cross-section in function of angle and momentum needed
- Cannot be obtained in-situ but dedicated experiments are needed
- Several experiments doing this measurement over the last decades with different targets and proton energies





### NA61/SHINE





- Secondary hadron beams between 13 GeV/c and 350 GeV/c
- Large acceptance for charged particles with good momentum and particle identification resolution
- Thin and replica target data
- Future upgrades to allow for <13 GeV/c proposed

### **Proton Interactions**





### Magnetic Horn System



- Mesons leaving the target are mixture of matter and anti-matter
- Need a system to be able to select one charge polarity
- Magnetic horn developed by *S. van der Meer*
- Creates a magnetic field focussing one polarity and defocussing the other polarity depending on current orientation
- Suppresses wrong-sign flux contribution to below 1%
- Normally several horns in series for better flux quality





### Magnetic Horn System: Uncertainties

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- Usual flux uncertainties are coming from mis-alignment of horns, current variations 320 kA +/- 1 kA
- Minor contribution to flux uncertainty
- But started to look in two possible new sources:
  - Horn needs to be cooled and water can accumulate in horn and not easy to measure in-situ => water absorbs/scatters pions
  - Huge amount of charge particles produced
     => might change magnetic field locally





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- Behind horns, a decay tunnel is situated
- Length depends on beam energy: 675 m for NUMI beam peaking 2 GeV, 100 m for T2K beam peaking at 0.6 GeV
- Either vacuum or filled with He to minimize interactions in tunnel
- Might introduce uncertainties depending on  $E_v$  for  $F_{FD/ND}$
- ND sees extended tunnel, while FD sees point source
- Depends ultimately on position of ND



### Secondary Beam Monitors

- Beam dump stops all particles except muons and neutrinos
- Si and IC detectors to monitor muon flux and profile
- Hadron monitors normally not possible due to radiation
- R&D on new muon beam monitors ongoing e.g. CT to estimate wrong-sign component

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 $0 \,\mathrm{m}$ 

MUMON

 $\mu^+$ 





118 m

280 m



### Neutrino Parent Particles

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- Most of the beam neutrinos are produced from pions/kaons directly from primary proton interaction
- But significant fraction also from secondary interactions
- Kaons contribute mainly to high energy tail of  ${\rm E}_{\rm v}$
- Several, well known decay channels contribute to neutrino flux production



 $\begin{array}{l} \pi^{\pm} \rightarrow \mu^{\pm} + \nu_{\mu}(\bar{\nu}_{\mu}) \text{ (BR=99.99\%) (right-sign low-E } \nu_{\mu}\text{'s}) \\ K^{\pm} \rightarrow \mu^{\pm} + \nu_{\mu}(\bar{\nu}_{\mu}) \text{ (BR=63.6\%) (right-sign high-E } \nu_{\mu}\text{'s}) \\ \downarrow \mu^{\pm} \rightarrow e^{\pm} + \bar{\nu}_{\mu}(\nu_{\mu}) + \nu_{e}(\bar{\nu}_{e}) \text{ (BR=100\%) (right-sign } \nu_{e}\text{'s}) \end{array}$ 

 $K_L \rightarrow \pi^{\pm} + \mu^{\mp} + \bar{\nu}_{\mu}(\nu_{\mu}) \text{ (BR=27.0\%) (right- and wrong-sign } \nu_{\mu}\text{'s)}$   $K_L \rightarrow \pi^{\pm} + e^{\mp} + \bar{\nu}_e(\nu_e) \text{ (BR=40.6\%) (right- and wrong-sign } \nu_e\text{'s)}$ 

### **Beam Uncertainties**





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### Binding Energy and Pauli Blocking



- Nucleons the neutrino interacts with are inside nucleus
- To kick them out a binding Energy is needed
- E<sub>b</sub>/ε<sub>n</sub> ≈ 25 MeV => shift in reconstructed energy if not taken into account
- Cross-section altered by Pauli blocking
- Nucleons are fermions => forbidden to excite nucleons to fully occupied energy level

$$E_{\nu} = \frac{m_p^2 - (m_n - E_b)^2 - m_{\mu}^2 + 2(m_n - E_b)E_{\mu}}{2(m_n - E_b - E_{\mu} + p_{\mu}\cos\theta_{\mu})}$$









2p2h

- Previous measurements indicated too large CC0 $\pi$  cross-section
- Solution: neutrino not interacting with 1 nucleon but coherently with 2 (2 particle 2 holes)
- Significant cross-section contribution in the range of several hundred MeV to few GeV
- Affects energy reconstruction



### On-axis vs Off-axis flux



- On-axis means along the axis of the original proton beam
- $E_v$  spectrum depends on off-axis angle:

$$E_
u = rac{(1-(m_\mu/m_\pi)^2)E_\pi}{1+\gamma^2 heta^2}$$
 (for pions)

- Overall flux reduced but can be chosen to peak at expected oscillation maximum
- Contamination from Kaons at high energies suppressed
- Uncertainties: small error in beam direction, translates to relevant uncertainty in neutrino flux!





### Studying FSI Effects



- Momentum conservation needs to be fulfilled
- Especially it must be valid:  $p_{T}^{I} = -p_{T}^{N}$
- IF there are no nuclear effects
- Transverse kinematics variables allow to study FSI effects



### **Studying FSI Effects**





**Studying FSI Effects** 



#### Theoretically could allow to separate interactions on H and C => but no detector effects in plot



## **Physics** benefits



C. Jesús-Valls | Future neutrino physics using the upgraded ND280 detector of the

experiment