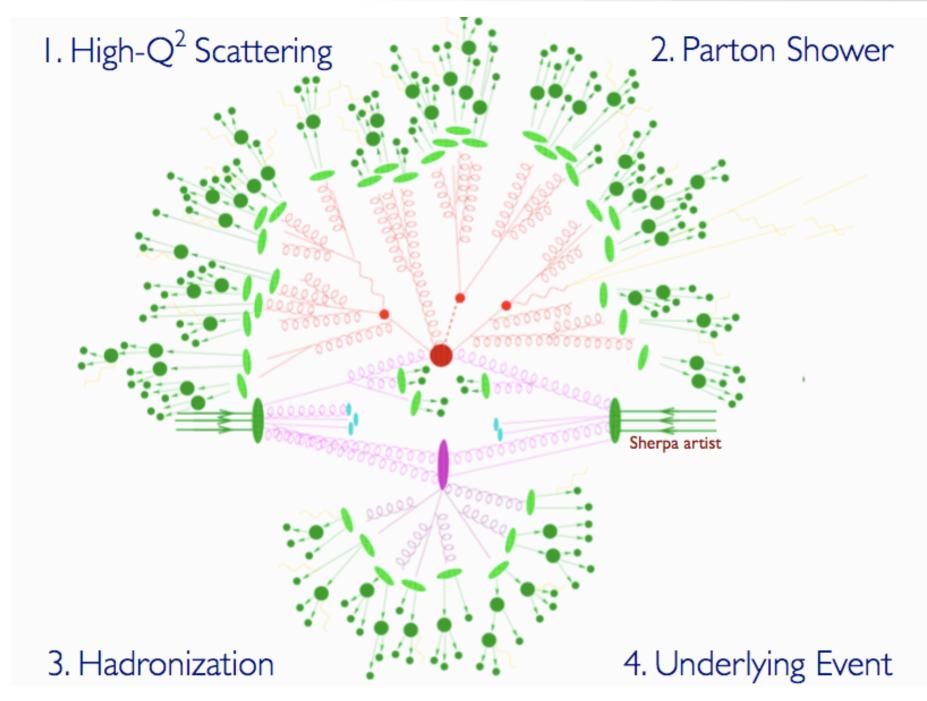
2017 TAE School September 2017, Benasque

QCD, Jets and Monte Carlo techniques

Matteo Cacciari LPTHE Paris and Université Paris Diderot

Lecture I: basics of QCD

Strong interactions are complicated

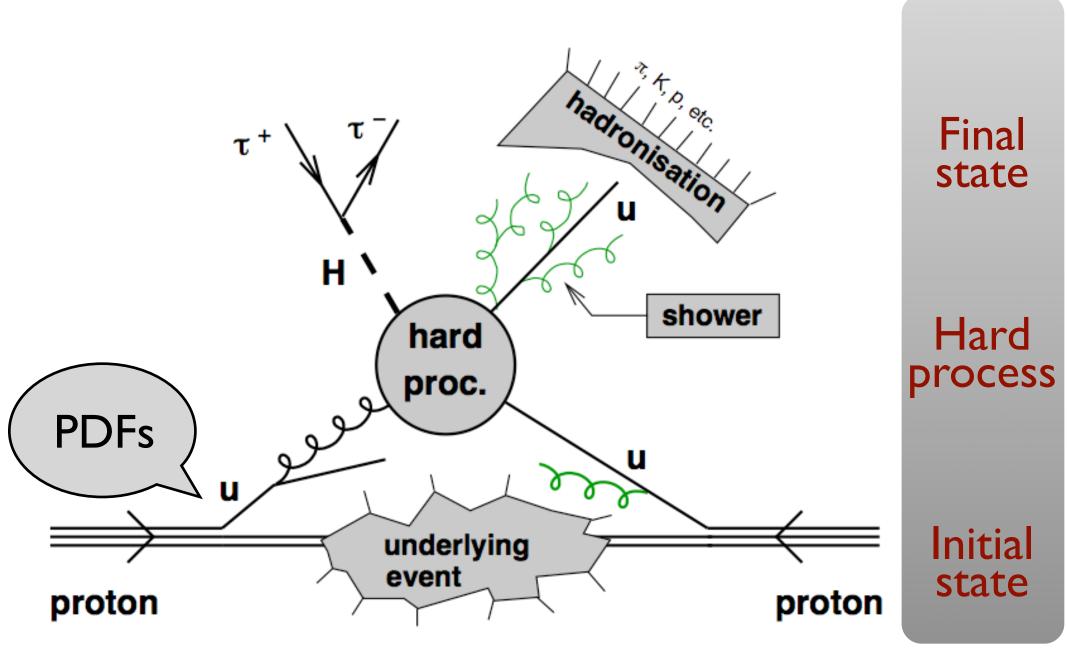


"We are driven to the conclusion that the Hamiltonian method for strong interactions is dead and must be buried, although of course with deserved honor" Lev Landau

"The correct theory [of strong interactions] will not be found in the next hundred years" Freeman Dyson

We have come a long way towards disproving these predictions

A hadronic process



Bibliography

Books and "classics"...

- T. Muta, Foundations of Quantum Chromodynamics, World Scientific (1987)
- R.D. Field, Applications of perturbative QCD, Addison Wesley (1989)
- Great for specific examples of detailed calculations
 R.K. Ellis, W.J. Stirling and B.R. Webber, QCD and Collider Physics, Cambridge University Press (1996)
- G. Sterman, An Introduction to Quantum Field Theory, Cambridge University Press (1993)
 A QFT book, but applications tilted towards QCD
- Dokshitzer, Khoze, Muller, Troyan, Basics of perturbative QCD, <u>http://www.lpthe.jussieu.fr/~yuri</u>
 For the brave ones
- Dissertori, Knowles, Schmelling, Quantum Chromodynamics: High Energy Experiments and Theory, Oxford Science Publications
 One of the most recent QCD books
- M.L. Mangano, Introduction to QCD, <u>http://doc.cern.ch//archive/cernrep//1999/99-04/p53.pdf</u>
- S. Catani, *Introduction to QCD*, CERN Summer School Lectures 1999

Bibliography

...and recent lectures, slides and...videos

- ► Gavin Salam,
 - ▶ "Elements of QCD for Hadron Colliders", <u>http://arxiv.org/abs/arXiv:1011.5131</u>
 - http://gsalam.web.cern.ch/gsalam/teaching/PhD-courses.html
- Peter Skands
 - ► 2015 CERN-Fermilab School lectures, <u>http://skands.physics.monash.edu/slides/</u>
 - "Introduction to QCD", <u>http://arxiv.org/abs/arXiv:1207.2389</u>
- Fabio Maltoni
 - "QCD and collider physics", GGI lectures,

https://www.youtube.com/playlist?list=PLICFLtxeIrQqvt-e8C5pwBKG4PljSyouP

Outline of 'Basics of QCD'

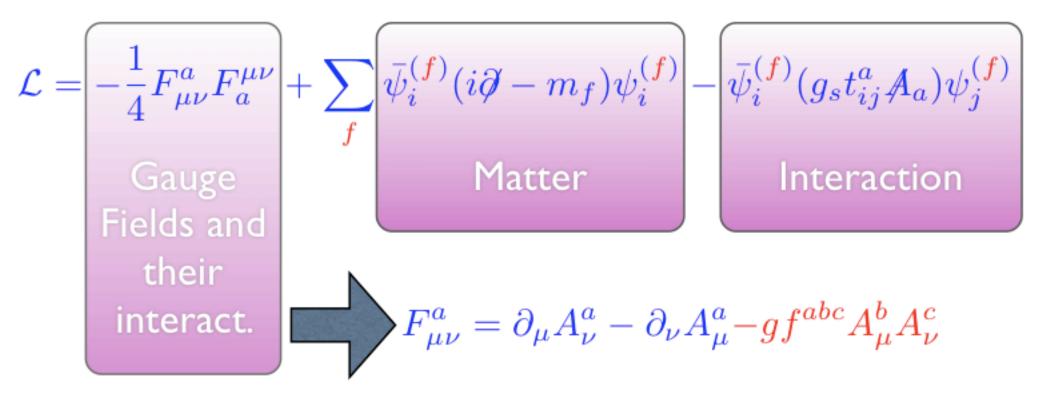
- strong interactions
- QCD lagrangian, colour, ghosts
- running coupling
- radiation
- calculations of observables
 - theoretical uncertainties estimates
 - power corrections
 - infrared divergencies and IRC safety
 - factorisation

QED v. QCD

QED has a wonderfully simple lagrangian, determined by local gauge invariance

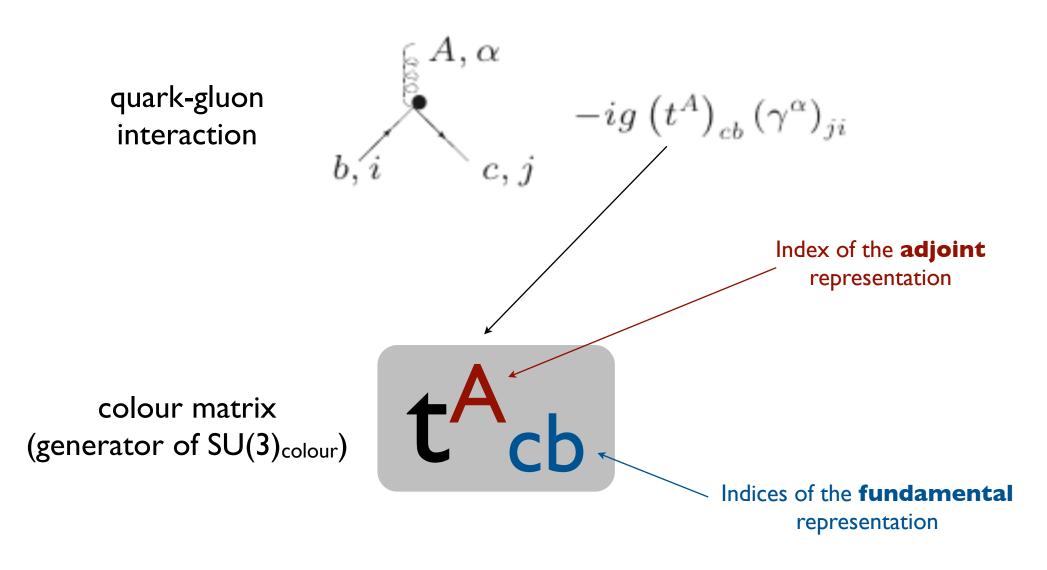
$$\mathcal{L} = \bar{\psi}(i\partial \!\!\!/ - m)\psi - e\bar{\psi}A\!\!\!/ \psi - \frac{1}{4}F_{\mu\nu}F^{\mu\nu}$$

In the same spirit, we build QCD: a non abelian local gauge theory, based on SU(3)_{colour}, with 3 quarks (for each flavour) in the fundamental representation of the group and 8 gluons in the adjoint

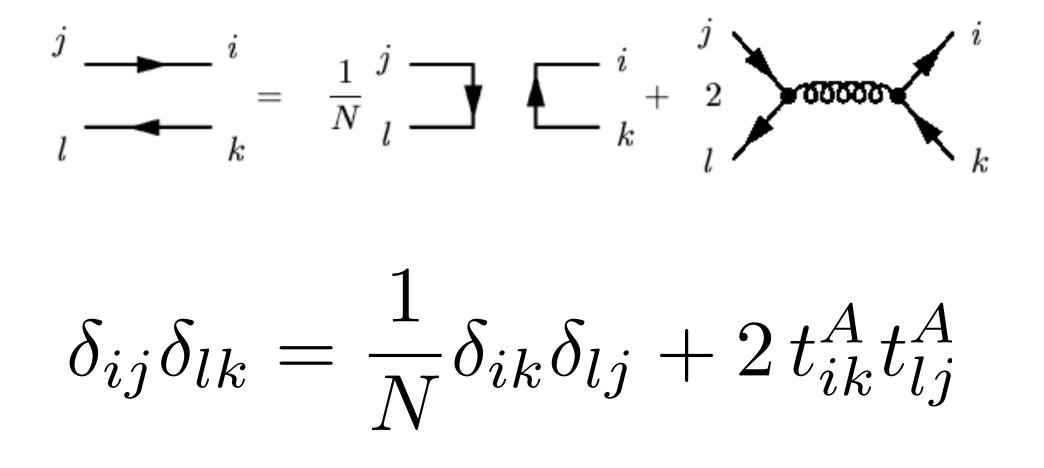


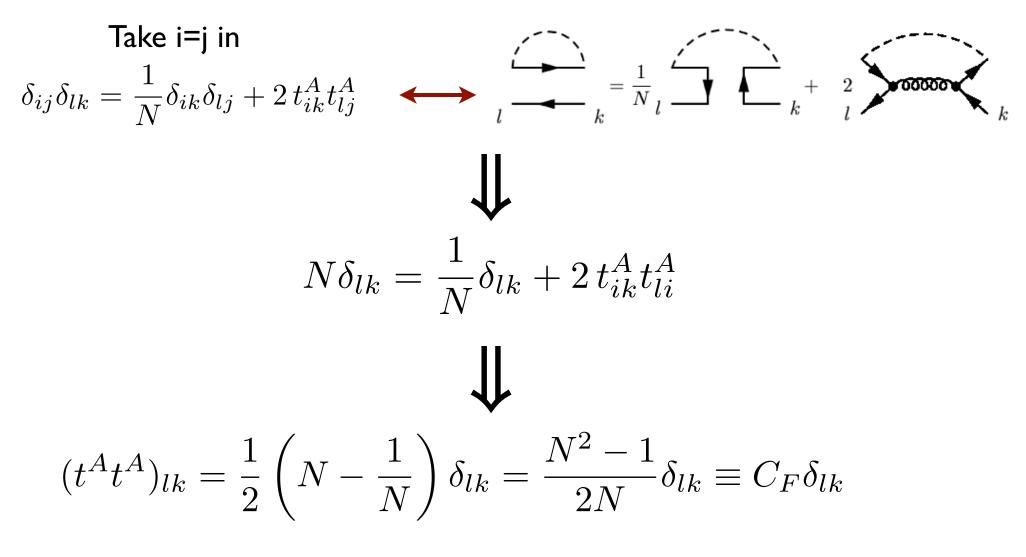
What's new?

I. Colour



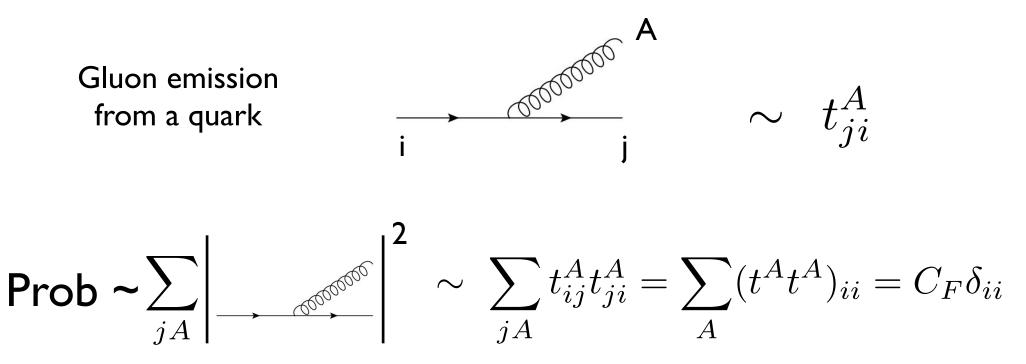
A fundamental colour relation



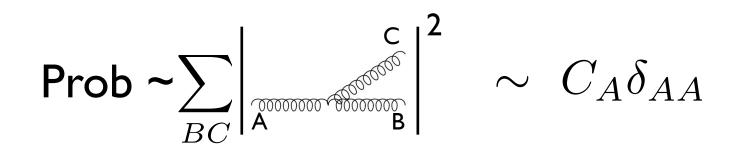


This defines C_F . It is the Casimir of the fundamental representation of SU(N). What is it, physically?

2017 Taller de Altas Energías - Benasque



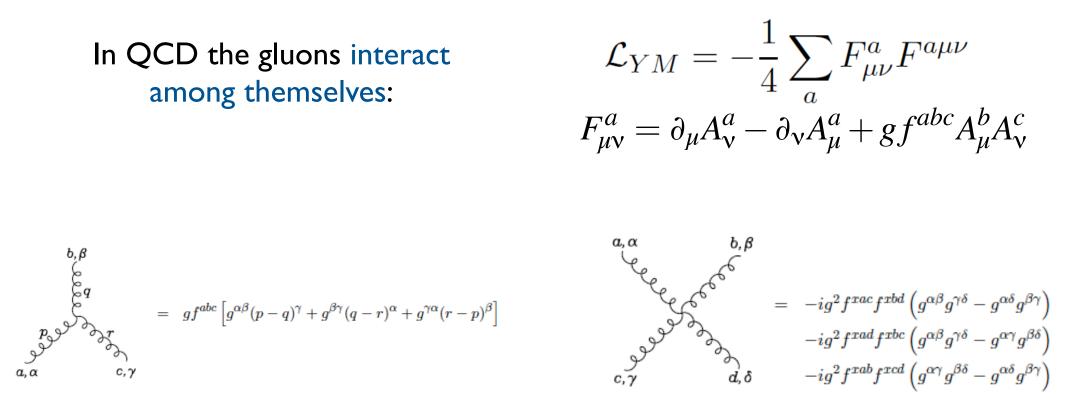
 $C_F = (N^2 - I)/(2N)$ is therefore the 'colour charge' of a quark, i.e. its probability of emitting a gluon (except for the strong coupling, of course) Analogously, one can show that



 $C_A = N$ is the 'colour charge' of a gluon, i.e. its probability of emitting a gluon (except for the strong coupling, of course). It is also the Casimir of the adjoint representation.

What's new?

2. Gauge bosons self couplings



New Feynman diagrams, in addition to the 'standard' QED-like ones

Direct consequence of non-abelianity of theory

What's new?

3. Need for ghosts

Cancel unphysical degrees of freedom that would otherwise propagate in covariant gauges

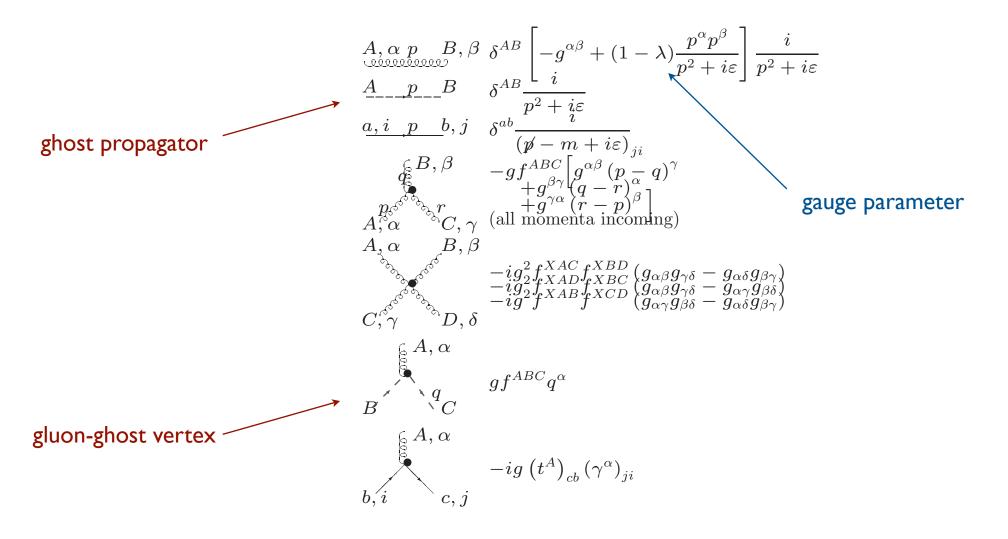
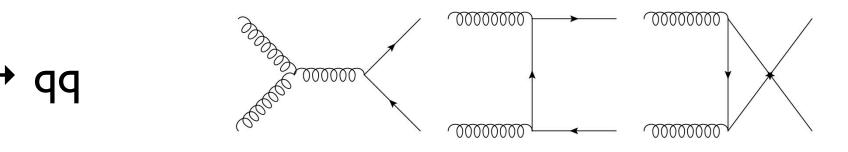


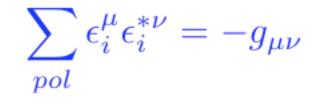
Table 1: Feynman rules for QCD in a covariant gauge.

2017 Taller de Altas Energías - Benasque

Ghosts: an example



In QED we would sum over the (photon) polarisations using



In QCD this would give the wrong result

We must use instead

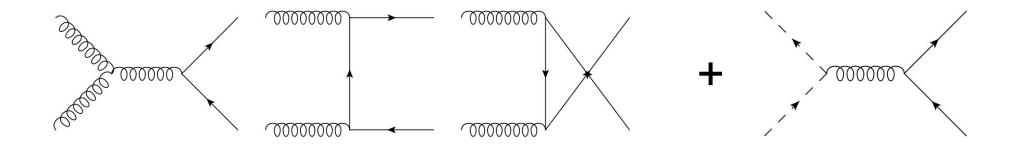
gg -

 $\sum \epsilon_i^{\mu} \epsilon_i^{*\nu} = -g_{\mu\nu} + \frac{k_{\mu}k_{\nu} + k_{\nu}k_{\mu}}{k_{\nu} + \bar{k}_{\nu}}$ phys pol

 \overline{k} is a light-like vector, we can use (k₀,0,0,-k₀)

Ghosts: an example

An **alternative** approach is to include the ghosts in the calculation



Now we can safely use

 $\sum \epsilon_i^{\mu} \epsilon_i^{*\nu} = -g_{\mu\nu}$ pol

QCD v. QED

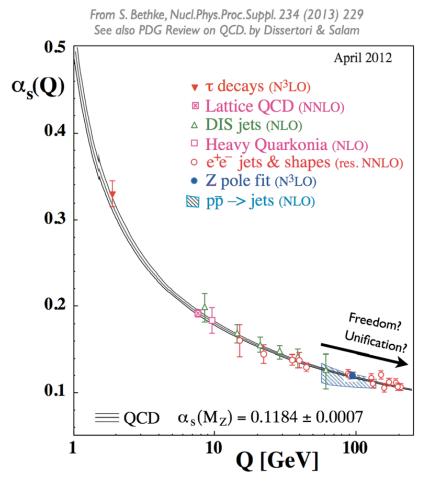
Macroscopic differences

I. Confinement (probably -- no proof in QCD)

We never observe the fundamental degrees of freedom (quarks and gluons). They are always confined into hadrons.

2. Asymptotic Feedom

The running coupling of the theory, α_s , **decreases** at large energies

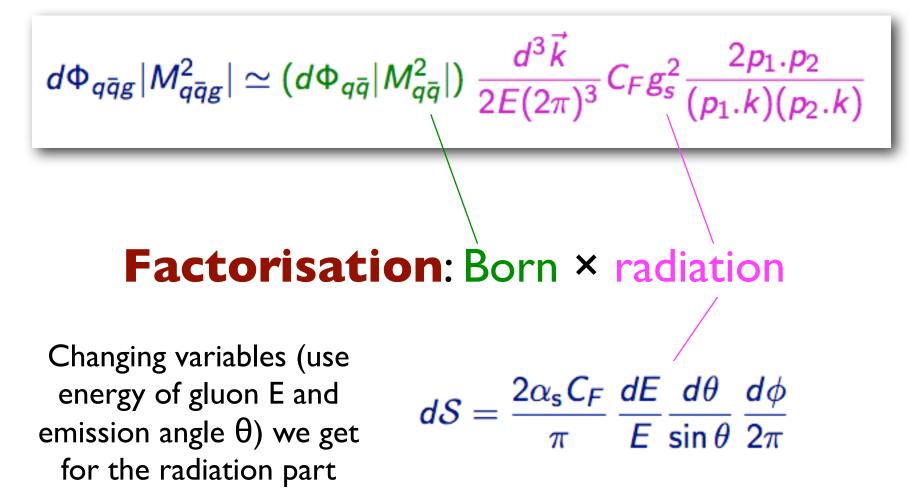


QCD radiation

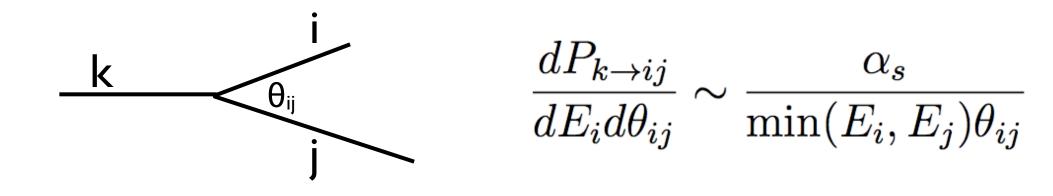
Start with $\gamma^* \rightarrow q\bar{q}$: $\mathcal{M}_{a\bar{a}} = -\bar{u}(p_1)ie_a\gamma_{\mu}v(p_2)$ Emit a gluon: In the **soft** limit, $k \leq p_{1,2}$ $\mathcal{M}_{q\bar{q}g} \simeq \bar{u}(p_1)ie_q\gamma_{\mu}t^{A}v(p_2)g_s\left(\frac{p_1.\epsilon}{p_1.k}-\frac{p_2.\epsilon}{p_2.k}\right)$

QCD radiation

Squared amplitude, including phase space



QCD emission probability



Divergent in the soft $(E_{i,j} \rightarrow 0)$ and in the collinear $(\theta_{ij} \rightarrow 0)$ limits

The divergences can be cured by the addition of virtual corrections and/or **if** the definition of an observable is appropriate

Altarelli-Parisi kernel

Using the variables E=(I-z)p and $k_t = E\theta$ we can rewrite

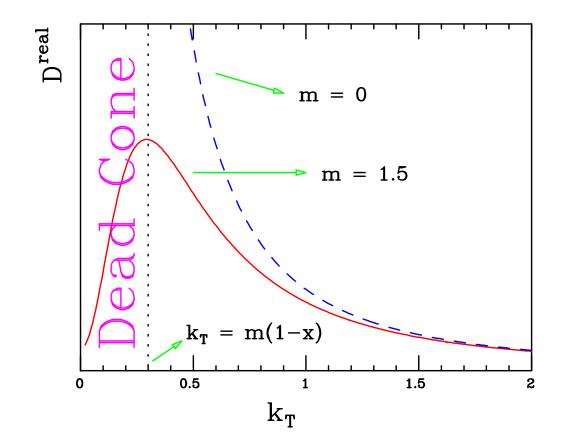
$$dS = \frac{2\alpha_{\rm s}C_{\rm F}}{\pi} \frac{dE}{E} \frac{d\theta}{\sin\theta} \frac{d\phi}{2\pi} \rightarrow \frac{\alpha_{s}C_{F}}{\pi} \frac{1}{1-z} dz \frac{dk_{t}^{2}}{k_{t}^{2}} \frac{d\phi}{2\pi}$$

'almost' the Altarelli-Parisi splitting function P_{qq}

Massive quarks

If the quark is massive the collinear singularity is screened

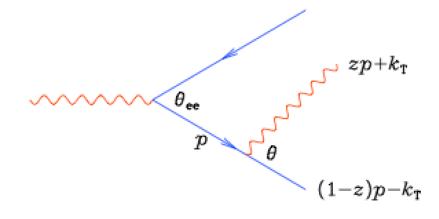
$$\frac{\alpha_s C_F}{\pi} \frac{1}{1-z} dz \frac{dk_t^2}{k_t^2} \frac{d\phi}{2\pi} \to \frac{\alpha_s C_F}{\pi} \frac{1}{1-z} dz \frac{dk_t^2}{k_t^2 + (1-z)^2 m^2} \frac{d\phi}{2\pi} + \cdots$$



2017 Taller de Altas Energías - Benasque

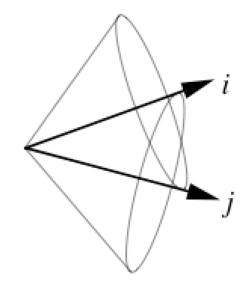
Angular ordering

The universal soft and collinear spectrum is not the only relevant characteristic of radiation. **Angular ordering** is another



Angular ordering means $\theta < \theta_{ee}$

Soft radiation emitted by a dipole is restricted to cones smaller than the angle of the dipole



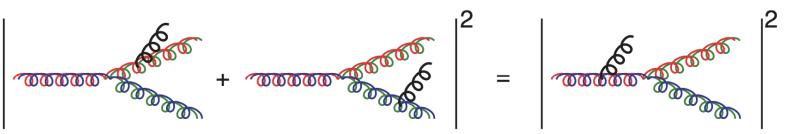
Coherence

Angular ordering is a manifestation of **coherence**, a phenomenon typical of gauge theories

Coherence leads to the **Chudakov effect**, suppression of soft bremsstrahlung from an e⁺e⁻ pair.

"Quasi-classical" explanation: a soft photon cannot resolve a small-sized pair, and only sees its total electric charge (i.e. zero)

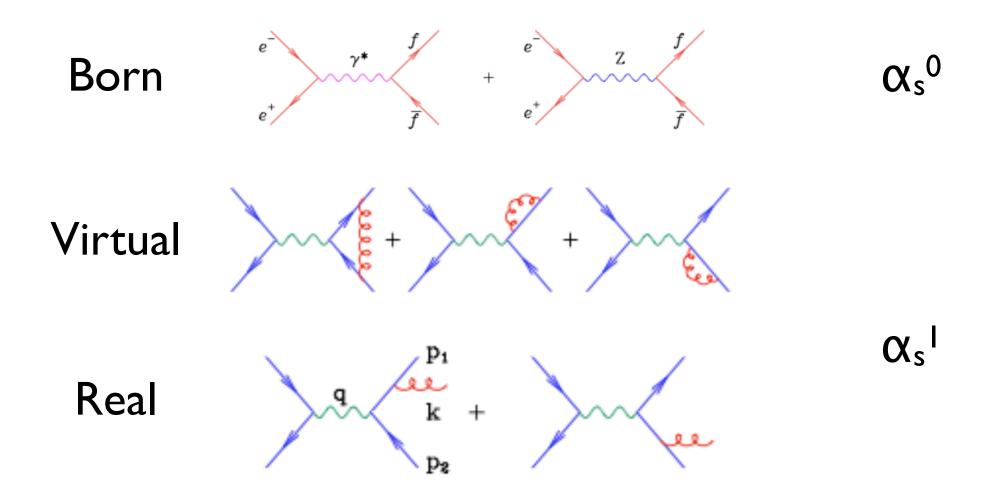
The phenomenon of coherence is preserved also in QCD. Soft guon radiation off a coloured pair can be described as being emitted coherently by the colour charge of the parent of the pair



Drawing: P. Skands

$e^+e^- \rightarrow hadrons$

Easiest higher order calculation in QCD. Calculate $e^+e^- \rightarrow qqbar$ in pQCD



$e^+e^- \rightarrow hadrons$

Regularize with dimensional regularization, expand in powers of ϵ

$$\sigma^{q\bar{q}g} = 2\sigma_0 \frac{\alpha_{\rm S}}{\pi} H(\epsilon) \left[\frac{2}{\epsilon^2} + \frac{3}{\epsilon} + \frac{19}{2} - \pi^2 + \mathcal{O}(\epsilon) \right] \quad \text{Real}$$

$$\sigma^{q\bar{q}} = 3\sigma_0 \left\{ 1 + \frac{2\alpha_{\rm S}}{3\pi} H(\epsilon) \left[-\frac{2}{\epsilon^2} - \frac{3}{\epsilon} - 8 + \pi^2 + \mathcal{O}(\epsilon) \right] \right\} \quad \text{Virtual}$$

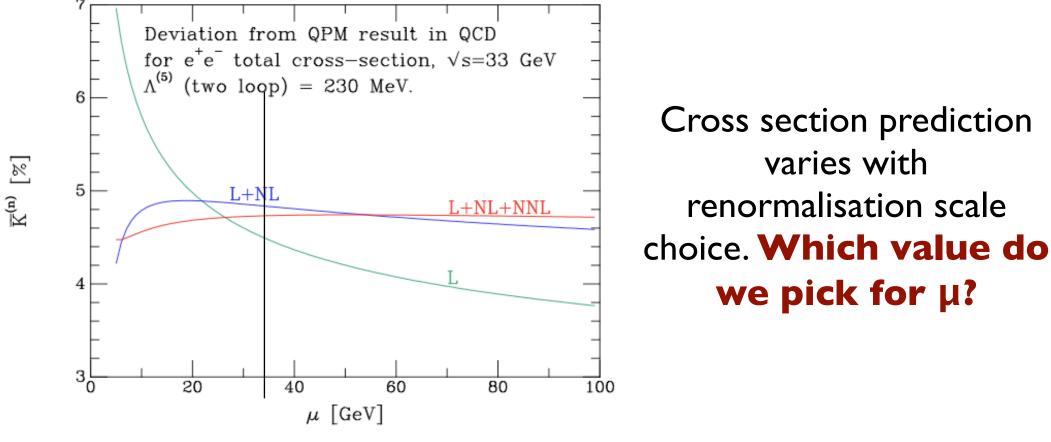
$$R = 3\sum_q Q_q^2 \left\{ 1 + \frac{\alpha_{\rm S}}{\pi} + \mathcal{O}(\alpha_{\rm S}^2) \right\} \quad \text{Sum}$$

Real and virtual, separately divergent, 'conspire' to make total cross section finite

Scale dependence

$$K_{QCD} \hspace{.1in} = \hspace{.1in} 1 + rac{lpha_{ extsf{S}}(\mu^2)}{\pi} + \sum_{n \geq 2} C_n \left(rac{s}{\mu^2}
ight) \hspace{.1in} \left(rac{lpha_{ extsf{S}}(\mu^2)}{\pi}
ight)^n$$

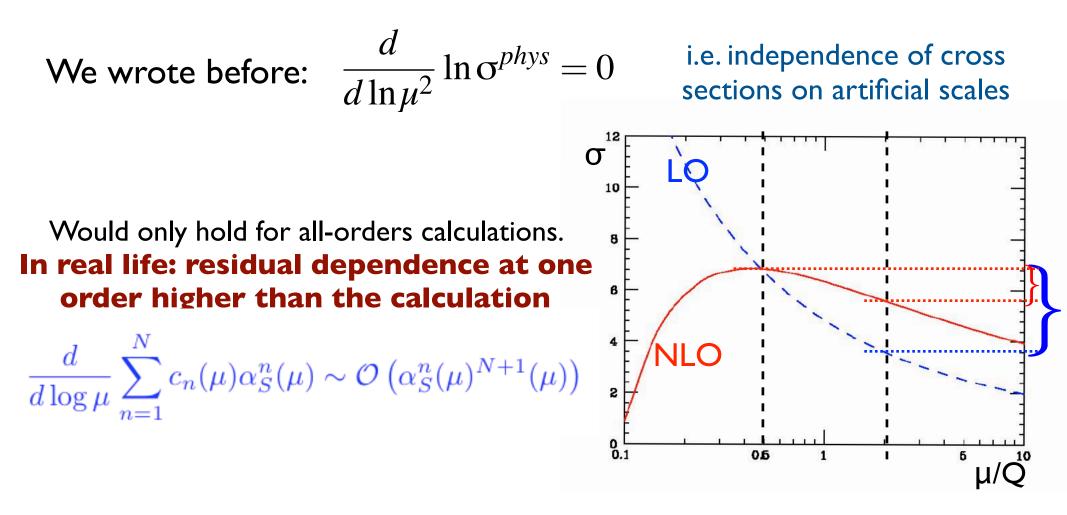
C_n known up to C_3



None.

µ cannot be uniquely fixed. It can however be exploited to estimate the theoretical uncertainty of the calculation

Theoretical uncertainties





Vary scales (around a physical one) to **ESTIMATE** the uncalculated higher order

Non-perturbative contributions

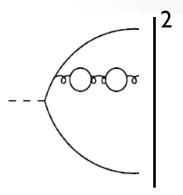
We have calculated
$$\sum_{q} \sigma(e^+e^- \to q\bar{q})$$
 in **perturbative** QCD
However
 $\sum_{q} \sigma(e^+e^- \to q\bar{q}) \neq \sigma(e^+e^- \to hadrons)$

The (small) difference is due to hadronisation corrections, and is of non-perturbative origin

We cannot calculate it in pQCD, but in some cases we can get an idea of its behaviour from the incompleteness of pQCD itself

Renormalons

Suppose we keep calculating to higher and higher orders:



$$\rightarrow \alpha_s^{n+1} \beta_{0f}^n n!$$

Factorial growth

This is big trouble: the series is not convergent, but only asymptotic

 $R_{n_{0.025}\ddagger}$ minimal term 0.020 $n_{min} \simeq 1/\alpha$ 0.015 0.010 Evidence: try summing 0.005 ∞ $R = \sum_{n=0}^{\infty} \alpha^n n!$ 15 20 5 10 R 1.16 1.15 $(\alpha = 0.1)$ Asymptotic value 1.14 of the sum: 1.13 n_{min} $R^{asymp} \equiv$ 1.12 n^{20} 2017⁵Taller de Altas¹⁰Energías - Behasque Matteo Cacciari - LPTHE 31

Power corrections

The renormalons signal the incompleteness of perturbative QCD

One can only define what the sum of a perturbative series is (like truncation at the minimal term)

The rest is a genuine ambiguity, to be eventually lifted by non-perturbative corrections:

$$R^{true} = R^{pQCD} + R^{NP}$$

In QCD these non-perturbative corrections take the form of power suppressed terms:

$$R^{NP} \sim \exp\left(-\frac{p}{\beta_0 \alpha_s}\right) = \exp\left(-p \ln \frac{Q^2}{\Lambda^2}\right) = \left(\frac{\Lambda^2}{Q^2}\right)^p$$

The value of p depends on the process, and can sometimes be predicted by studying the perturbative series: pQCD - NP physics bridge

Cancellation of singularities

Block-Nordsieck theorem

IR singularities cancel in sum over soft unobserved photons in final state (formulated for massive fermions ⇒ no collinear divergences)

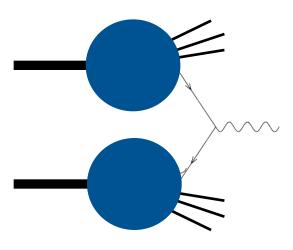
Kinoshita-Lee-Nauenberg theorem

IR and collinear divergences cancel in sum over degenerate initial and final states

These theorems suggest that the observable must be crafted in a proper way for the cancellation to take place

pQCD calculations: hadrons

Turn hadron production in e+e- collisions around: Drell-Yan.



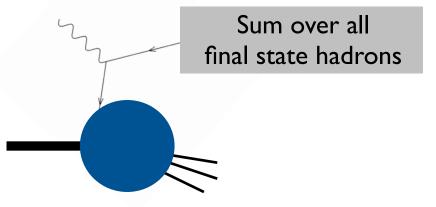
Still easy in Parton Model: just a convolution of probabilities

$$\frac{d\sigma_{NN\to\mu\bar{\mu}+X}(Q,p_1,p_2)}{dQ^2d\dots} \sim \int d\xi_1 d\xi_2 \sum_{a=q\bar{q}} \frac{d\sigma_{a\bar{a}\to\mu\bar{\mu}}^{EW,Born}(Q,\xi_1p_1,\xi_2p_2)}{dQ^2d\dots}$$

×(probability to find parton $a(\xi_1)$ in N) ×(probability to find parton $\bar{a}(\xi_2)$ in N)

This isn't anymore an **inclusive process** as far as hadrons are concerned: I find them in the initial state, I can't 'sum over all of them'

Still, the picture holds at tree level (parton model) The parton distribution functions can be roughly equated to those extracted from DIS



Challenges in QCD

The non-inclusiveness of a general strong interaction process is a threat to calculability.

What do we do if we can't count on Bloch-Nordsieck and Kinoshita-Lee-Nauenberg?

Infrared and collinear safe observables
 less inclusive but still calculable in pQCD

Factorisation

▶trade divergencies for universal measurable quantities

IRC safety

A generic (not fully inclusive) observable 0 is **infrared and collinear safe** if

 $O(X; p_1, \dots, p_n, p_{n+1} \to 0) \to O(X; p_1, \dots, p_n)$ $O(X; p_1, \dots, p_n \parallel p_{n+1}) \to O(X; p_1, \dots, p_n + p_{n+1})$

Infrared and collinear safety demands that, in the limit of a collinear splitting, or the emission of an infinitely soft particle, the observable remain **unchanged**

IRC safety: proof

Cancellation of singularities σ in **total cross** section (KLN)

$$T_{tot} = \int_{n} |M_{n}^{B}|^{2} d\Phi_{n} + \int_{n} |M_{n}^{V}|^{2} d\Phi_{n} + \int_{n+1} |M_{n+1}^{R}|^{2} d\Phi_{n+1}$$

A generic observable

$$\frac{dO}{dX} = \int_{n} |M_{n}^{B}|^{2} O(X; p_{1}, \dots, p_{n}) d\Phi_{n}
+ \int_{n} |M_{n}^{V}|^{2} O(X; p_{1}, \dots, p_{n}) d\Phi_{n} + \int_{n+1} |M_{n+1}^{R}|^{2} O(X; p_{1}, \dots, p_{n}, p_{n+1}) d\Phi_{n+1}$$

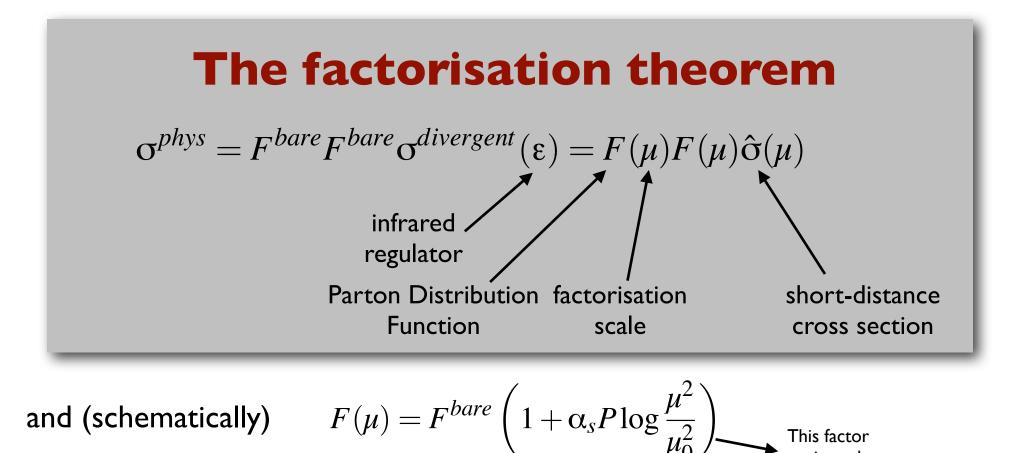
In order to ensure the same cancellation existing in σ_{tot} , the definition of the observable must not affect the soft/collinear limit of the real emission term. because it is there that the real/virtual cancellation takes place

Drell-Yan: factorisation

In pQCD (i.e. with gluon emissions), life becomes more complicated

Non fully inclusive process (hadrons in initial state): non cancellation of collinear singularities in pQCD

Same procedure used for renormalising the coupling: reabsorb the divergence into bare non-perturbative quantities, the parton probabilities (collinear factorisation)



Matteo Cacciari - LPTHE

universa

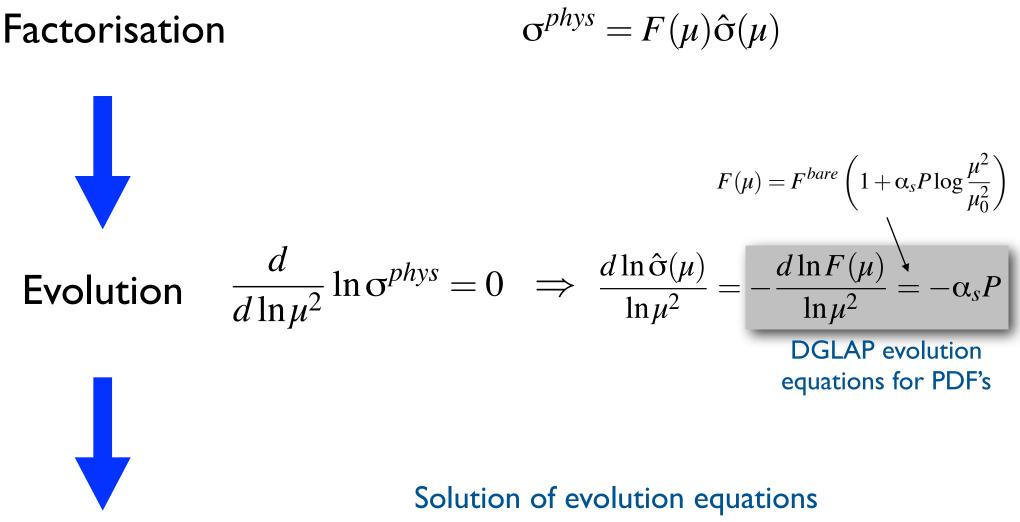
Drell-Yan: NLO result

$$\frac{d^2 \hat{\sigma}_{q\bar{q} \to \gamma^* g}^{(1)}(z, Q^2, \mu^2)}{dQ^2} = \sigma_0(Q^2) \left(\frac{\alpha_s(\mu)}{\pi}\right) \left\{ 2(1+z^2) \left[\frac{\ln(1+z^2)}{1-z}\right]_+ \longrightarrow \begin{array}{l} \text{soft and} \\ \text{collinear} \\ \text{large log} \end{array} \right. \\ \left. -\frac{\left[(1+z^2)\ln z\right]}{(1-z)} + \left(\frac{\pi^2}{3} - 4\right) \delta(1-z) \right\} \\ \left. + \sigma_0(Q^2) C_F \left(\frac{\alpha_s}{\pi} \left[\frac{1+z^2}{1-z}\right]_+ \ln \left(\frac{Q^2}{\mu^2}\right) \longrightarrow \begin{array}{l} \text{residual of} \\ \text{collinear} \\ \text{factorisation} \end{array} \right]$$

A prototype of QCD calculations: many finite terms but, more importantly, a few characteristic large logarithms

In many circumstances and kinematical situations the logs are much more important than the finite terms: hence in pQCD resummations of these terms are often phenomenologically more relevant than a full higher order calculation





Resummation

Solution of evolution equations resums higher order terms Responsible for scaling violations (for instance in DIS structure functions)

DGLAP equations

[Dokshitzer, Gribov, Lipatov, Altarelli, Parisi]

$$\frac{df_q(x,t)}{dt} = \frac{\alpha_s}{2\pi} \int_x^1 \frac{dz}{z} \left[P_{qq}(z) f_{\mathbf{q}}(\frac{x}{z},t) + P_{qg}(z) f_g(\frac{x}{z},t) \right]$$

$$\frac{df_g(x,t)}{dt} = \frac{\alpha_s}{2\pi} \int_x^1 \frac{dz}{z} \left[P_{gq}(z) \sum_{i=q,\bar{q}} f_i\left(\frac{x}{z},t\right) + P_{gg}(z)f_g\left(\frac{x}{z},t\right) \right]$$

The Altarelli-Parisi kernels control the evolution of the Parton Distribution Functions

Altarelli-Parisi kernels

[Altarelli-Parisi, 1977]

$$P_{gg} \to 2C_A \left\{ \frac{x}{(1-x)_+} + \frac{1-x}{x} + x(1-x) \right\} + \delta(1-x) \left[\frac{11C_A - 2n_f}{6} \right]$$

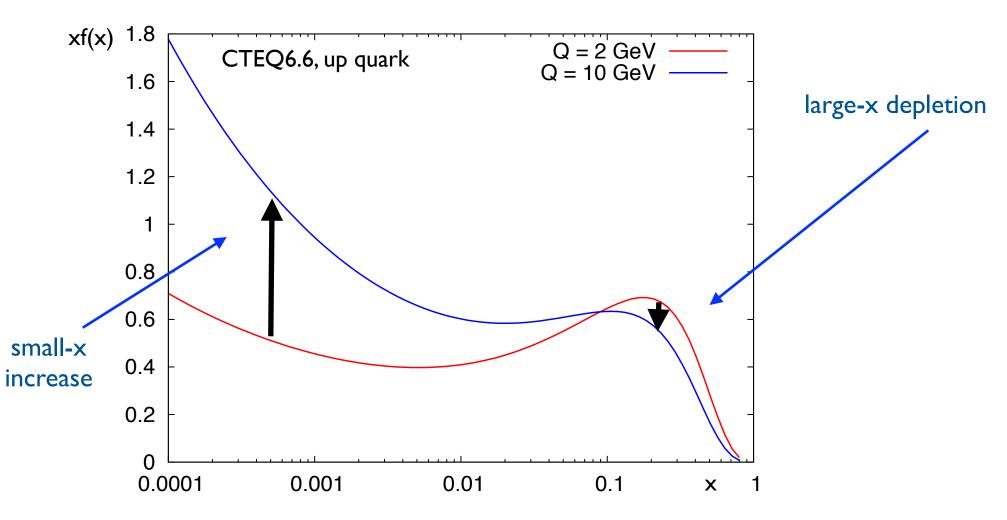
$$P_{qq}(z) \to \left(\frac{1+z^2}{1-z}\right)_+ \equiv \frac{1+z^2}{1-z} - \delta(1-z) \int_0^1 dy \left(\frac{1+y^2}{1-y}\right)$$

$$P_{qg} = \frac{1}{2} \left[z^2 + (1-z)^2 \right]$$
$$P_{gq}(z) = C_F \frac{1 + (1-z)^2}{z}$$

Higher orders: Curci-Furmansky-Petronzio (1980), Moch, Vermaseren, Vogt (2004)

Matteo Cacciari - LPTHE

DGLAP evolution of PDFs



Evolution (i.e. higher momentum scale) produces more partons at small momentum fraction (because they lose energy by radiating)

As for the coupling, one can't predict PDF's values in pQCD, but only their evolution

Take-home points

- universal character of soft/collinear emission
- both real and virtual diagrams usually contribute to an observable (and are both needed to cancel divergencies)
- not everything is calculable. Restrict to IRC-safe observables and/or employ factorisation