

Cosmology:
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Outline

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Naturalness issues

- Cosmology has many open questions
- A way to find new fundamental physics, new particles, ...using the sky as a detector
- An advantage: it can go up to extremely high energy (early times)

Open questions

- Cosmology has many open questions related to high energy physics:
 - What is Dark Matter?
 - What is Dark Energy?
 - Why there is an initial asymmetry between matter and antimatter?
 - Are there extra light particles (extra neutrinos, axions...)?
 - What is the mass of the neutrino?
 - What happened at the beginning of the radiation dominated era, at extremely high energy?
 - How do we explain the Cosmic Microwave Background (CMB) and its fluctuations?

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- V. Mukhanov, *Physical Foundations of Cosmology*, Cambridge University Press, 2005. (book)
- S. Weinberg, *Cosmology* : Oxford University Press; 1 edition (2008). (book)
- Mukhanov & Brandenberger, "Theory of Cosmological Perturbations", Physics Reports 215, n.5-6, 1992 (review)
- A. Riotto, hep-ph/0210162 (shorter review, pedagogical)
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Assumptions & Conventions

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Naturalness
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- I will use $+, -, -, -$
- Natural units $\hbar = c = \kappa_B = 1$
- Familiarity with basic GR
- Knowledge of FLRW cosmology: Matter, Radiation
- For example: Recombination and Last Scattering:

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- For example: Recombination and Last Scattering:
 - at $T_{LS} \approx 0.2eV$ photons **decouple** from primordial plasma
 - We observe them at $T_0 \approx 2.7K \approx 2.3 \cdot 10^{-4}eV$ (Cosmic Microwave Background, **CMB**)
 - $1+z_{LS} \equiv T_{LS}/T_0 \approx 1100$

FLRW metric

- In FLRW metric, expansion described by $a(t)$

$$ds^2 = dt^2 - a^2(t)d\vec{x}^2$$

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$$ds^2 = dt^2 - a^2(t)d\vec{x}^2$$

- Metric for homogeneous/isotropic flat space

$$g_{\mu\nu} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -a^2 & 0 & 0 \\ 0 & 0 & -a^2 & 0 \\ 0 & 0 & 0 & -a^2 \end{pmatrix}$$

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- More in general (curvature):

$$ds^2 = dt^2 - a^2(t) \left[\frac{dr^2}{1 - kr^2} + r^2 d\theta^2 + r^2 \sin^2 \theta d\varphi^2 \right] \quad k = \pm 1$$

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$$ds^2 = dt^2 - a^2(t)d\vec{x}^2$$

- Einstein equations $G_{\mu} = \frac{1}{M_{Pl}^2} T_{\mu\nu}$

$$T_{\nu}^{\mu} = \begin{pmatrix} \rho & 0 & 0 & 0 \\ 0 & -p & 0 & 0 \\ 0 & 0 & -p & 0 \\ 0 & 0 & 0 & -p \end{pmatrix}$$

(T_{ν}^{μ} stress-energy tensor, ρ energy density, p pressure)

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- $H \equiv \frac{\dot{a}}{a}$ Hubble rate

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- 0 – 0 equation. $G_{00} = 3H^2 \rightarrow$

$$H^2 \equiv \left(\frac{\dot{a}}{a} \right)^2 = \frac{\rho}{3M^2}$$

FLRW evolution equations

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$$H^2 \equiv \left(\frac{\dot{a}}{a} \right)^2 = \frac{\rho}{3M^2}$$

- Conservation equation $T_{;\nu}^{\mu\nu} = 0 \rightarrow$

$$\dot{\rho} = -3H(\rho + p)$$

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- Equation of state $p = w\rho$ (w constant in time)

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$$\begin{cases} \dot{\rho} = -3H(1 + w)\rho \implies \rho \propto a^{-3(1+w)} \end{cases}$$

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$$\begin{cases} \boxed{w = 0} \text{ Matter} \implies \boxed{a(t) \propto t^{2/3}} \text{ (late times)} \\ \boxed{w = 1/3} \text{ Radiation} \implies \boxed{a(t) \propto t^{1/2}} \text{ (early times)} \end{cases}$$

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$$a(t) \propto t^\alpha, \quad H \propto \frac{1}{t},$$

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$$\boxed{a(t) \propto t^\alpha, \quad H \propto \frac{1}{t}, \quad \alpha < 1}$$

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- Any observed length scale λ (e.g. CMB) evolves as $\lambda \propto a$
- The size of the in causal contact at a given time is: $d \approx H^{-1}$

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(Today $d \approx H_0^{-1} \approx 10^3 \text{ Mpc}$)
- In Radiation or Matter Era: $\lambda \propto t^\alpha, d \propto t$, with $\alpha < 1$.
- When looking *i.e.* at CMB we see regions with almost same T separated by distance $\lambda_0 \approx H_0$
- Why such regions have \sim the same T ?
- No physical process could equilibrate them: in the past they were disconnected

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- Why such regions have \sim the same T ?
- No physical process could equilibrate them: in the past they were disconnected
- Problem of **initial conditions**

Horizon problem

- If we look at CMB today we can see points separated by a distance up to $\lambda_0 \approx H_0^{-1}$
- Such a distance at Last Scattering time t_{LS} was:

$$\lambda_{LS} = \lambda_0 \left(\frac{a_{LS}}{a_0} \right)$$

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- At each given time: Region in causal contact of size $d \approx H^{-1}$

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- At each given time: Region in causal contact of size $d \approx H^{-1} \propto t$
- At Last Scattering $d_{LS} \approx H_0^{-1} \left(\frac{t_{LS}}{t_0} \right)$

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- At Last Scattering $d_{LS} \approx H_0^{-1} \left(\frac{t_{LS}}{t_0} \right) = \frac{\lambda_0}{1100^{3/2}}$ [MD]

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- At Last Scattering $d_{LS} \approx H_0^{-1} \left(\frac{t_{LS}}{t_0} \right) = \frac{\lambda_0}{1100^{3/2}}$ [MD]
- $\frac{\lambda_{LS}}{d_{LS}} \approx 30$

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$$\lambda_{LS} = \lambda_0 \left(\frac{a_{LS}}{a_0} \right) = \lambda_0 \left(\frac{T_0}{T_{LS}} \right) \approx \frac{\lambda_0}{1100}$$

- At each given time: Region in causal contact of size $d \approx H^{-1} \propto t$

- At Last Scattering $d_{LS} \approx H_0^{-1} \left(\frac{t_{LS}}{t_0} \right) = \frac{\lambda_0}{1100^{3/2}}$ [MD]

- $\frac{\lambda_{LS}}{d_{LS}} \approx 30$

- $\frac{V_{LS}}{d_{LS}^3} \approx 10^4$ regions causally disconnected

- Angle subtended by d_{LS} :

$$\theta_{LS}^{hor} \approx \frac{\text{Sound horizon}}{\lambda_{LS}} \approx \frac{c_s d_{LS}}{\lambda_{LS}}$$

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- Such an angle is seen in the CMB (1st peak)

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- Problems of initial conditions
- Root of the problem: ρ diluted too fast!

$$\left\{ \dot{\rho} = -3H(1+w)\rho \implies \rho \propto a^{-3(1+w)} \quad w \geq 0 \right.$$

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$$\begin{cases} \dot{\rho} = -3H(1+w)\rho \implies \rho \propto a^{-3(1+w)} & w \geq 0 \\ a(t) \propto t^{\frac{2}{3(1+w)}} & \alpha < 1 \end{cases}$$

$$\boxed{w \geq 0 \implies \alpha < 1}$$

- If $w \leq -\frac{1}{3}$, $\alpha \gtrsim 1$, this could solve the problem

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- Example $w \rightarrow -1$: de Sitter space

$$\begin{cases} \rho = \text{const} \end{cases}$$

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$$\begin{cases} \rho = \text{const} \\ a(t) \propto e^{H_I t} \end{cases}$$

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e-folding number

- How long is Inflation?
- $\frac{a_E}{a} \equiv e^N$ (count from end of inflation backwards in time)

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- When this is equal to H_I^{-1} : it "reenters the horizon"

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$$H_0^{-1} e^{-N} \left(\frac{T_0}{T_E} \right) = H_I^{-1}$$

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- When this is equal to H_I^{-1} : it "reenters the horizon"

$$H_0^{-1} e^{-N} \left(\frac{T_0}{T_E} \right) = H_I^{-1}$$

$$\implies N = \ln \left(\frac{T_0}{H_0} \right) + \ln \left(\frac{H_I}{T_E} \right)$$

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$$\implies N = \ln \left(\frac{T_0}{H_0} \right) + \ln \left(\frac{H_I}{T_E} \right) \simeq 67 + \ln \left(\frac{H_I}{T_E} \right)$$

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- If at least $N \gtrsim 60 - 70$, solves Horizon problem

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- If we consider a generic observed length today λ_* :

$$N(\lambda_*) \simeq 67 + \ln \left(\frac{H_I}{T_E} \right) - \ln \left(\frac{\lambda_*}{H_0^{-1}} \right)$$

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Naturalness issues

- If we consider a generic observed length today λ_* :

$$N(\lambda_*) \simeq 67 + \ln \left(\frac{H_I}{T_E} \right) - \ln \left(\frac{\lambda_*}{H_0^{-1}} \right)$$

- With CMB we see scales down to $\ln \left(\frac{\lambda_*}{H_0^{-1}} \right) \approx 5 - 10$
- “Small” visible window of scales (*e.g.*, $55 \lesssim N \lesssim 65$)

Curvature problem

- If we reintroduce k in the metric

$$ds^2 = dt^2 - a^2(t) \left[\frac{dr^2}{1 - kr^2} + r^2 d\theta^2 + r^2 \sin^2 \theta d\varphi^2 \right] \quad k = \pm 1$$

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$$H^2 \equiv \left(\frac{\dot{a}}{a} \right)^2 = \frac{\rho}{3M^2} - \frac{k}{a^2}$$

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$$H^2 \equiv \left(\frac{\dot{a}}{a} \right)^2 = \frac{\rho}{3M^2} - \frac{k}{a^2} \equiv \frac{1}{3M^2} (\rho + \rho_k)$$

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$$\begin{cases} \rho_k \propto a^{-2} \\ \rho_M \propto a^{-3} \\ \rho_R \propto a^{-4} \end{cases}$$

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- In the past $a \rightarrow 0$, curvature subdominant

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- In the past $a \rightarrow 0$, curvature subdominant
- Today we measure $\Omega_{k,0} \equiv \frac{\rho_k}{\rho + \rho_k} \ll 1$

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- Today we measure $\Omega_{k,0} \equiv \frac{\rho_k}{\rho + \rho_k} \ll 1 \lesssim \mathcal{O}(1\%)$
- We must have started with a tiny Ω_k in the past!
- $\Omega_k|_{T \approx M_{Pl}} \approx \Omega_{k,0} \left(\frac{a_{Pl}}{a_0} \right)^2$

Curvature problem

- If we reintroduce k in the metric

$$ds^2 = dt^2 - a^2(t) \left[\frac{dr^2}{1 - kr^2} + r^2 d\theta^2 + r^2 \sin^2 \theta d\varphi^2 \right] \quad k = \pm 1$$

$$H^2 \equiv \left(\frac{\dot{a}}{a} \right)^2 = \frac{\rho}{3M^2} - \frac{k}{a^2} \equiv \frac{1}{3M^2} (\rho + \rho_k)$$

$$\begin{cases} \rho_k \propto a^{-2} \\ \rho_M \propto a^{-3} \\ \rho_R \propto a^{-4} \end{cases}$$

- In the past $a \rightarrow 0$, curvature subdominant
- Today we measure $\Omega_{k,0} \equiv \frac{\rho_k}{\rho + \rho_k} \ll 1 \lesssim \mathcal{O}(1\%)$
- We must have started with a tiny Ω_k in the past!
- $\Omega_k|_{T \approx M_{Pl}} \approx \Omega_{k,0} \left(\frac{a_{Pl}}{a_0} \right)^2 = \Omega_{k,0} \left(\frac{T_0}{T_{Pl}} \right)^2$

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- If we have inflation before RD:

$$\Omega_k \equiv \frac{\rho_k}{\rho + \rho_k} = \frac{k/a^2}{3H^2 M_{Pl}^2} \propto \frac{1}{a^2}$$

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- Start from $\mathcal{O}(1)$, becomes $e^{-2.67} \approx 10^{-60}$ at the end of Inflation!

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- Reduced by a factor e^{-2N} during Inflation
- Start from $\mathcal{O}(1)$, becomes $e^{-2.67} \approx 10^{-60}$ at the end of Inflation!
- If Inflation a bit longer $\Omega_{k,0} \rightarrow 0$
(generic prediction of Inflation)

Scalar field models

- We need a “fluid” with no preferred direction and $w \simeq -1$
- Simple candidate: a scalar field:

$$\mathcal{L} = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - V(\phi)$$

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$$T^0{}_0 \equiv \rho = \dot{\phi}^2 - \left(\frac{\dot{\phi}^2}{2} - \frac{(\nabla\phi)^2}{2} - V \right)$$

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$$T^i_i \equiv -3p = \partial_i \phi \partial^i \phi - 3 \left(\frac{\dot{\phi}^2}{2} - \frac{(\nabla\phi)^2}{2a^2} - V \right)$$

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$$\begin{aligned} T^i_i &\equiv -3p = \partial_i \phi \partial^i \phi - 3 \left(\frac{\dot{\phi}^2}{2} - \frac{(\nabla\phi)^2}{2a^2} - V \right) = \\ &= -\frac{(\nabla\phi)^2}{2a^2} - 3 \left(\frac{\dot{\phi}^2}{2} - \frac{(\nabla\phi)^2}{2a^2} - V \right) \end{aligned}$$

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$$\begin{aligned} T^i_i &\equiv -3p = \partial_i \phi \partial^i \phi - 3 \left(\frac{\dot{\phi}^2}{2} - \frac{(\nabla\phi)^2}{2a^2} - V \right) = \\ &= -\frac{(\nabla\phi)^2}{2a^2} - 3 \left(\frac{\dot{\phi}^2}{2} - \frac{(\nabla\phi)^2}{2a^2} - V \right) = -\frac{3\dot{\phi}^2}{2} + 3V + \frac{(\nabla\phi)^2}{2a^2} \end{aligned}$$

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$$\left\{ \rho = \frac{\dot{\phi}^2}{2} + V + \left(\frac{(\nabla\phi)^2}{2} \right) \right.$$

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$$\begin{cases} \rho = \frac{\dot{\phi}^2}{2} + V + \left(\frac{(\nabla\phi)^2}{2} \right) \\ \rho = \frac{\dot{\phi}^2}{2} - V - \left(\frac{(\nabla\phi)^2}{6} \right) \end{cases}$$

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- If field almost homogeneous in space and $\frac{\dot{\phi}^2}{2} \ll V$
 $\implies w \approx -1$

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- If field almost homogeneous in space and $\frac{\dot{\phi}^2}{2} \ll V$
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- E.o.m.:

$$\partial_\mu \frac{\delta(\mathcal{L}\sqrt{-g})}{\delta(\partial_\mu\phi)} - \frac{\delta(\mathcal{L}\sqrt{-g})}{\delta\phi} = 0$$

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$$\begin{cases} \rho = \frac{\dot{\phi}^2}{2} + V + \left(\frac{(\nabla\phi)^2}{2} \right) \\ \rho = \frac{\dot{\phi}^2}{2} - V - \left(\frac{(\nabla\phi)^2}{6} \right) \end{cases}$$

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- E.o.m.:

$$\partial_\mu \frac{\delta(\mathcal{L}\sqrt{-g})}{\delta(\partial_\mu\phi)} - \frac{\delta(\mathcal{L}\sqrt{-g})}{\delta\phi} = 0$$

$$\sqrt{-g} = a^3 \implies \boxed{\ddot{\phi} + 3H\dot{\phi} + \frac{dV(\phi)}{d\phi} - \frac{\nabla^2\phi}{a^2} = 0}$$

Slow-roll

- If field almost homogeneous in space

$$\ddot{\phi} + 3H\dot{\phi} + V'(\phi) = 0 \quad (1)$$

- Gravitational friction: $3H\dot{\phi}$

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Slow-roll

- If field almost homogeneous in space

$$\ddot{\phi} + 3H\dot{\phi} + V'(\phi) = 0 \quad (1)$$

- Gravitational friction: $3H\dot{\phi}$
- Check for friction-dominated solutions (“slow-roll”)

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- Check for friction-dominated solutions ("slow-roll")
- Assume **slow-roll conditions**:

$$\left\{ \begin{array}{l} \frac{\dot{\phi}^2}{2} \ll V \end{array} \right.$$

Slow-roll

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$$\begin{cases} \frac{\dot{\phi}^2}{2} \ll V \\ \ddot{\phi} \ll 3H\dot{\phi} \end{cases} \implies \boxed{3H\dot{\phi} + V'(\phi) \approx 0}$$

$$\implies \begin{cases} \rho \approx -p \\ \boxed{H^2 \approx \frac{V(\phi)}{3M_{Pl}^2}} \end{cases}$$

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- Gradients decay $\frac{\nabla^2 \phi}{a^2} \rightarrow 0$

Slow-roll parameters

- Check **slow-roll conditions**:

$$\begin{cases} \frac{\dot{\phi}^2}{2} \ll V \\ \ddot{\phi} \ll 3H\dot{\phi} \end{cases} \implies \boxed{3H\dot{\phi} + V'(\phi) = 0} \quad (2)$$

Using $\boxed{H^2 \approx \frac{V(\phi)}{3M_{Pl}^2}}$

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Using $\boxed{H^2 \approx \frac{V(\phi)}{3M_{Pl}^2}}$

$$\begin{cases} \frac{\dot{\phi}^2}{2V} = \frac{V'^2}{6H^2V} = M_{Pl}^2 \frac{V'^2}{2V^2} \equiv \frac{\epsilon}{3} \ll 1 \end{cases}$$

Slow-roll parameters

- Check **slow-roll conditions**:

$$\begin{cases} \frac{\dot{\phi}^2}{2} \ll V \\ \ddot{\phi} \ll 3H\dot{\phi} \end{cases} \implies \boxed{3H\dot{\phi} + V'(\phi) = 0} \quad (2)$$

Using $\boxed{H^2 \approx \frac{V(\phi)}{3M_{Pl}^2}}$

$$\begin{cases} \frac{\dot{\phi}^2}{2V} = \frac{V'^2}{6H^2 V} = M_{Pl}^2 \frac{V'^2}{2V^2} \equiv \frac{\epsilon}{3} \ll 1 \\ \frac{\ddot{\phi}}{3H\dot{\phi}} = \frac{V''}{9H^2} - \frac{1}{3} \frac{\dot{H}}{H^2} = M_{Pl}^2 \frac{V''}{3V} - \frac{1}{3} \frac{\dot{H}}{H^2} \equiv \frac{\eta}{3} + \mathcal{O}(\epsilon) \ll 1. \end{cases}$$

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Slow-roll parameters: $\boxed{\epsilon \equiv \frac{M_{Pl}^2}{2} \left(\frac{V'}{V} \right)^2}$, $\boxed{\eta \equiv M_{Pl}^2 \frac{V''}{V}}$

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- Check **slow-roll conditions**:

$$\begin{cases} \frac{\dot{\phi}^2}{2} \ll V \\ \ddot{\phi} \ll 3H\dot{\phi} \end{cases} \implies \boxed{3H\dot{\phi} + V'(\phi) = 0} \quad (2)$$

Using $\boxed{H^2 \approx \frac{V(\phi)}{3M_{Pl}^2}}$

$$\begin{cases} \frac{\dot{\phi}^2}{2V} = \frac{V'^2}{6H^2V} = M_{Pl}^2 \frac{V'^2}{2V^2} \equiv \frac{\epsilon}{3} \ll 1 \\ \frac{\ddot{\phi}}{3H\dot{\phi}} = \frac{V''}{9H^2} - \frac{1}{3} \frac{\dot{H}}{H^2} = M_{Pl}^2 \frac{V''}{3V} - \frac{1}{3} \frac{\dot{H}}{H^2} \equiv \frac{\eta}{3} + \mathcal{O}(\epsilon) \ll 1. \end{cases}$$

Slow-roll parameters: $\boxed{\epsilon \equiv \frac{M_{Pl}^2}{2} \left(\frac{V'}{V} \right)^2}$, $\boxed{\eta \equiv M_{Pl}^2 \frac{V''}{V}}$

- Useful relations: $\boxed{\epsilon = \frac{\dot{\phi}^2}{2H^2M_{Pl}^2}}$, $\boxed{\dot{H} = -\epsilon H^2}$

Number of e-folds

- Check for a given potential $V(\phi)$ if slow-roll conditions are satisfied for at least $N \gtrsim 60 - 70$ e-folds.
- $N \equiv \ln\left(\frac{a_E}{a}\right)$

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- End of Inflation, ϕ_E , whenever ϵ or $\eta \sim \mathcal{O}(1)$.

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- End of Inflation, ϕ_E , whenever ϵ or $\eta \sim \mathcal{O}(1)$.

- Integrating backwards we find $N(\phi)$ and $\phi(N)$

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Naturalness issues

- A given observed scale length today λ_* corresponds to a given value of ϕ_* .

- In fact λ_* corresponds to N_* via:

$$N_* \simeq 67 + \ln\left(\frac{H_I}{T_E}\right) - \ln\left(\frac{\lambda_*}{H_0^{-1}}\right)$$

- $\lambda_* \leftrightarrow N_* \leftrightarrow \phi_*$

Observational constraints

- As we will see: Inflation produces a spectrum of metric perturbations around FLRW:

$$P_{\zeta}(k) \propto A^2 k^{n_s-1}$$

on **observable CMB scales**: $N_* \approx 60 - 65$ (before end of inflation)

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- Amplitude

$$A^2 \approx \left. \frac{H_I^2}{M_{Pl}^2 \epsilon} \right|_*$$

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- Spectral index: $n_S = 1 + 2\eta - 6\epsilon \Big|_*$

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- Inflation also produces gravitational waves, which could be seen in CMB! Their amplitude is proportional to $r = 16\epsilon$

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Not yet observed: $r \lesssim 0.1$

Slow-roll

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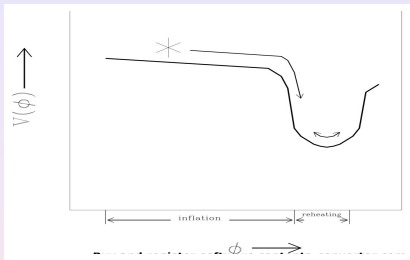
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- **"Slow-roll"**: time dependent "vev" $\phi(t)$

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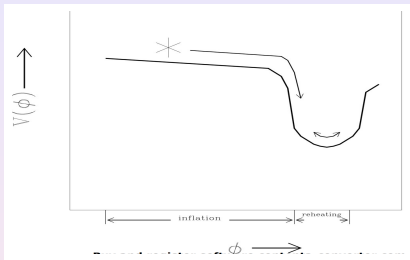
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- **"Slow-roll"**: time dependent "vev" $\phi(t)$, at

$$V(\phi_*)^{1/4} \sim 1.8 \times 10^{16} \text{GeV} \left(\frac{r}{0.07} \right)^{1/4}$$

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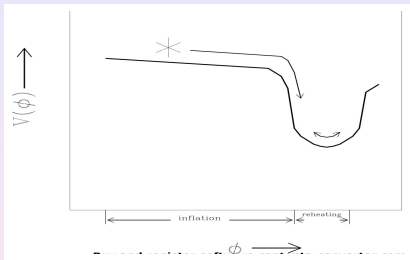
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- Then fast roll

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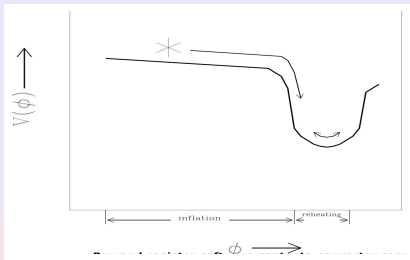
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- Then fast roll and decay: creation of particles,

Slow-roll

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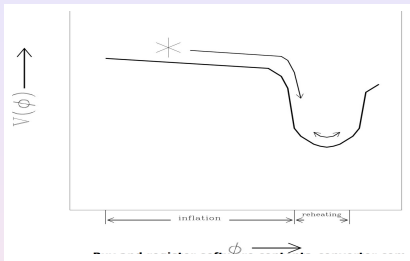
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- Then fast roll and decay: creation of particles, thermalization (**"Reheating"**)

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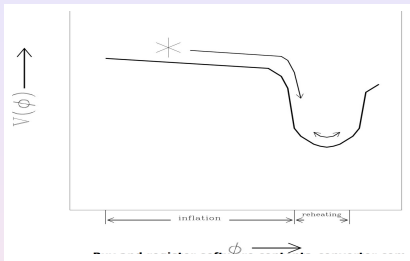
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- Quantum **fluctuations** around $\phi(t)$

Slow-roll

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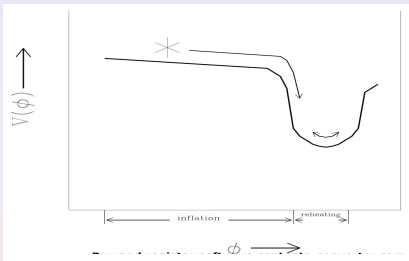
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- Then fast roll and decay: creation of particles, thermalization (**"Reheating"**)
- Quantum **fluctuations** around $\phi(t) \implies$ **Density fluctuations, CMB fluctuations, GW...**

CMB from Planck satellite - Power Spectrum

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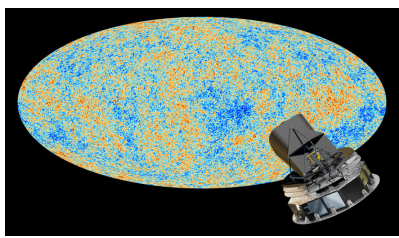
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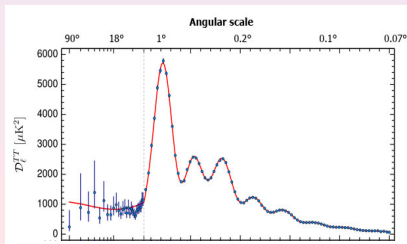
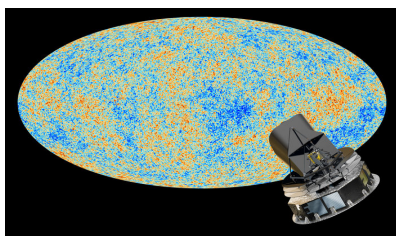
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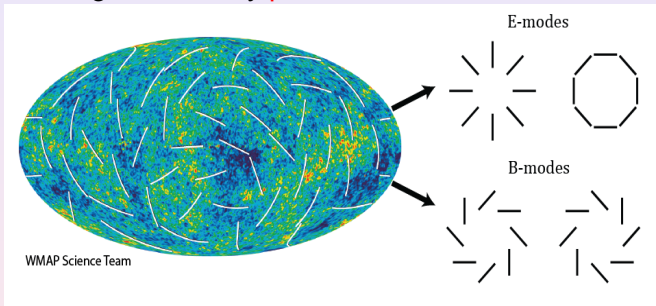
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Primordial spectrum: $P_{\zeta}(k) \propto A^2 k^{n_s-1}$

CMB Polarization

- CMB light is linearly **polarized**



Decomposition of polarization patterns

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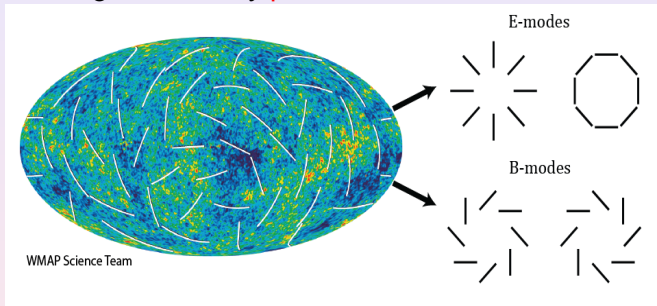
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CMB Polarization

- CMB light is linearly **polarized**



Decomposition of polarization patterns

- Gravitational waves produce a peculiar pattern ("B-modes")
- Unobserved $\implies r = 16\epsilon \lesssim 0.07$

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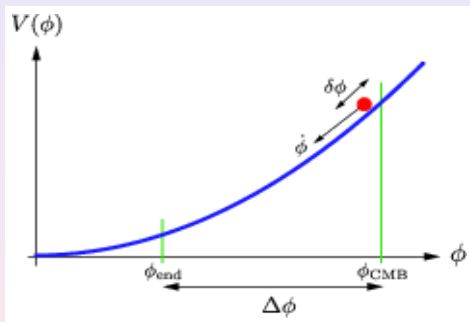
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Examples:

$$V(\phi) = m^2\phi^2$$

$$V(\phi) = \lambda\phi^4$$

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- Polynomial potential $V(\phi) \propto \phi^n$

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- Polynomial potential $V(\phi) \propto \phi^n$

$$\bullet \begin{cases} \epsilon = \frac{n^2}{2} \frac{M_{Pl}^2}{\phi^2} \\ \eta = n(n-1) \frac{M_{Pl}^2}{\phi^2} \end{cases}$$

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- Both **small if** $\phi \gg M_{Pl}$, Large if $\phi \lesssim M_{Pl}$

- \implies Super-Planckian field excursion $\Delta\phi \gtrsim M_{Pl}$

- $\boxed{\epsilon \approx \eta}$

Polynomial models

- We have to check observational constraints on

$$P_{\zeta}(k) \propto A^2 k^{n_s-1}$$

on **observable CMB scales**: $N_* \approx 60 - 65$ (before end of inflation)

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Polynomial models

- We have to check observational constraints on

$$P_{\zeta}(k) \propto A^2 k^{n_s - 1}$$

on **observable CMB scales**: $N_* \approx 60 - 65$ (before end of inflation)

- 1 Amplitude $A^2 \approx \left. \frac{H_I^2}{M_{Pl}^2 \epsilon} \right|_* \approx 10^{-9}$ (by CMB observations)
- 2 Spectral index: $n_S = 1 + 2\eta - 6\epsilon|_* \approx 0.95$, (by CMB observations)
- 3 Non-observation of gravitational waves in CMB: $r = 16\epsilon \lesssim 0.1$

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③ Non-observation of gravitational waves in CMB: $r = 16\epsilon \lesssim 0.1 \implies$ disfavored!

- Crucial problem: $\epsilon \approx \eta$

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Hilltop-Small Field models

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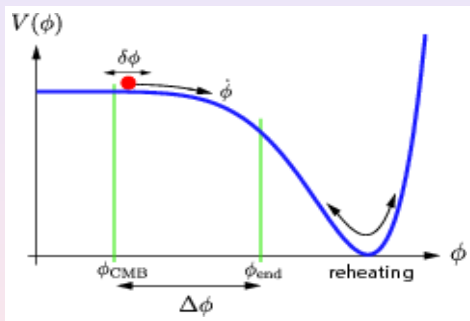
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Example:

$$V(\phi) = \lambda(\phi^2 - v^2)^2$$

Hilltop potentials

- The field starts at $\phi \approx 0$ on top of a hill
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- $$\begin{cases} \epsilon = 8 \frac{M_{pl}^2 \phi^2}{v^4} \\ \eta \approx -4 \frac{v^2}{M_{pl}^2} \end{cases}$$

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- Fits **better** observations

CMB observations: n_S vs. r

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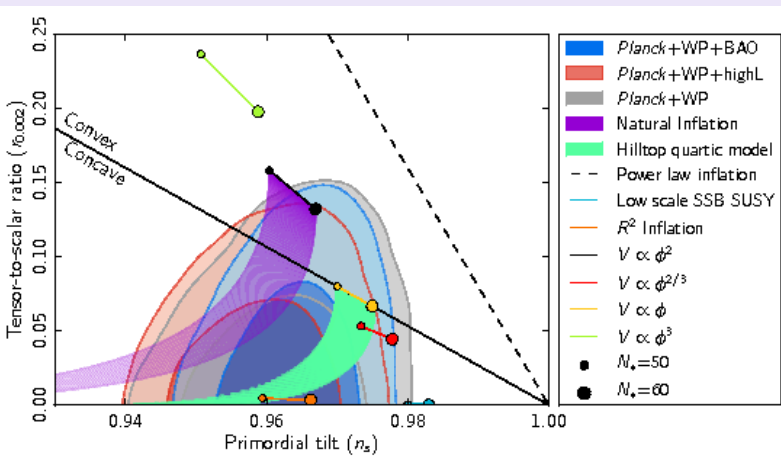


Figure: Planck satellite 2015 data.

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- If $\phi \gg M_{Pl}$ slow roll is satisfied

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- We should satisfy $A^2 \approx \frac{H_I^2}{M_{Pl}^2 \epsilon} \approx 10^{-9}$
- But we have that $\frac{H_I^2}{M_{Pl}^2 \epsilon} \approx \lambda \left(\frac{\phi}{M_{Pl}} \right)^6$

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- It could work only with a **tiny** λ !
- However a new coupling is possible

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Non-minimal coupling

- $$\mathcal{L} = \frac{\partial_\mu \phi \partial^\mu \phi}{2} - V(\phi) - M^2 R - \xi \phi^2 R$$
¹

- ξ is a free parameter of the theory

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¹Salopek, Bond & Bardeen '89, Shaposhnikov & Bezrukov '07

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$$\mathcal{L} = \frac{\partial_\mu \phi \partial^\mu \phi}{2} - V(\phi) - M^2 R - \xi \phi^2 R \quad ^1$$

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- During inflation $\xi \phi^2 R = \xi \phi^2 \cdot 12H^2 = 12V(\phi) \frac{\xi \phi^2}{M_{Pl}^2}$, it becomes important at $\xi \phi \gg M_{Pl}$
- It is a ϕ -dependent Planck mass: $M_P^2 = (M^2 + \xi \phi(t)^2) R$
- Unimportant in the late universe:
 - Tiny correction to the Planck mass: $\xi v^2 \ll R$
 - $R \approx \mathcal{O}(H^2) \implies$ tiny correction to $V(\phi)$

¹Salopek, Bond & Bardeen '89, Shaposhnikov & Bezrukov '07

"Einstein frame"

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$$S = \int \sqrt{-g} \left[\frac{\partial_\mu \phi \partial^\mu \phi}{2} - V(\phi) - M^2 f(\phi) R \right]$$

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- Simplest way: redefine the metric as:

$$\bar{g}_{\mu\nu} = f(\phi) g_{\mu\nu}$$

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- Simplest way: redefine the metric as:

$$\bar{g}_{\mu\nu} = f(\phi) g_{\mu\nu} \quad \implies$$

- $$S = \int \sqrt{-\bar{g}} \left[K(\phi) \frac{\partial_\mu \phi \partial^\mu \phi}{2} - \frac{V(\phi)}{f^2(\phi)} - M^2 \bar{R} \right]$$

$$K(\phi) = \frac{2f(\phi) + 3M^2 f'(\phi)^2}{2f(\phi)^2}$$

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$$K(\phi) = \frac{2f(\phi) + 3M^2 f'(\phi)^2}{2f(\phi)^2}$$

- And now define a new scalar field σ :

$$K(\phi) \partial_\mu \phi \partial^\mu \phi = \partial_\mu \sigma \partial^\mu \sigma$$

$$\sqrt{K(\phi)} d\phi = d\sigma$$

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- Finally: \implies

$$S = \int \sqrt{-\bar{g}} \left[\frac{\partial_\mu \sigma \partial^\mu \sigma}{2} - \frac{V}{f^2} - M_P^2 \bar{R} \right]$$

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- Finally: $\Rightarrow \boxed{S = \int \sqrt{-\bar{g}} \left[\frac{\partial_\mu \sigma \partial^\mu \sigma}{2} - \frac{V}{f^2} - M_P^2 \bar{R} \right]}$

- In our case: $f(\phi) = 1 + \xi \frac{\phi^2}{M_P^2}$

- At large $\phi \Rightarrow \boxed{U \equiv \frac{V(\phi)}{f(\phi)^2} \approx \frac{\lambda \phi^4}{\xi^2 \phi^4} \approx \text{const}}$

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- Using the canonical field (at large ϕ and large ξ):

$$\phi \approx \frac{M_P}{\sqrt{\xi}} e^{\frac{\sigma}{\sqrt{6}M_P}}$$

- The potential flattens at large ϕ :

$$U(\sigma) \approx \frac{\lambda M_P^4}{4\xi^2} \frac{1}{\left(1 + e^{\frac{-2\sigma}{\sqrt{6}M_P}}\right)^2}$$

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- At small $\phi \ll M_P/\sqrt{\xi}$, we have $\sigma \approx \phi$ and the usual Higgs potential $U = V$

Higgs inflation

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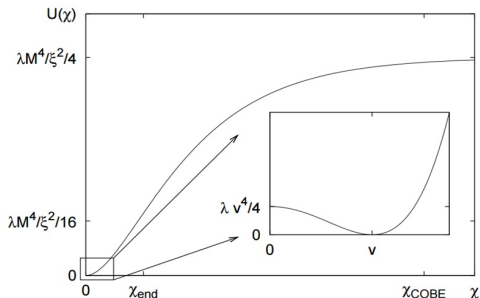


Fig. 1. Effective potential in the Einstein frame.

Fitting data

- Now we have to satisfy phenomenological constraints:

$$\left. \frac{H^2}{M_P^2 \epsilon} \right|_{\phi=\phi_*} \approx 10^{-9}$$

- ϕ_* corresponds to the value $N_* \approx 60$ e-folds before the end of inflation

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- The above amplitude condition leads to
 $\xi = 8 \cdot 10^3 N_* \sqrt{\lambda} \approx 5 \cdot 10^4 \sqrt{\lambda}$

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- The above amplitude condition leads to

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- And this implies: $\begin{cases} n_S|_{\phi=\phi_*} \approx 0.97 \\ r|_{\phi=\phi_*} \approx 0.0033 \end{cases}$

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Is the model predictive?

Fits well.....However:

- $\xi \simeq 10^5$ is very large

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Is the model predictive?

Fits well.....However:

- $\xi \simeq 10^5$ is very large
- Quantum corrections: If $f(\phi)$ is not just quadratic \implies the balance between numerator and denominator fails

$$f(\phi) \approx 1 + \xi \frac{\phi^2}{M^2} + ???$$

$$f(\phi) \approx 1 + \xi \frac{\phi^2}{M^2} + \left(\frac{\xi \phi^2}{M^2} \right)^2 + \dots,$$

- Must fix all higher order operators

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$$f(\phi) \approx 1 + \xi \frac{\phi^2}{M^2} + \left(\frac{\xi \phi^2}{M^2} \right)^2 + \dots,$$

- Must fix all higher order operators
- Big debate about quantum corrections being large and model not predictive...

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Moreover...

- $V_{\text{Higgs}}(\phi)$ is also not just quartic in the SM.

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Moreover...

- $V_{\text{Higgs}}(\phi)$ is also not just quartic in the SM. Due to known and calculable quantum corrections:

$$V(\phi) = \lambda(\phi)\phi^4$$

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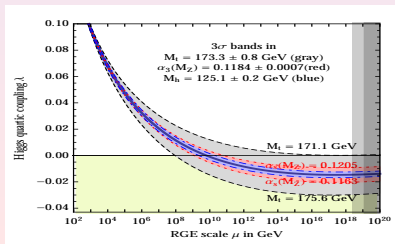
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Moreover...

- $V_{\text{Higgs}}(\phi)$ is also not just quartic in the SM. Due to known and calculable quantum corrections:

$$V(\phi) = \lambda(\phi)\phi^4$$

- This depends on precise measurements of m_t , m_H and α_s (top, gluons and self-interactions are the most important in the running equations for $\lambda(\phi)$)



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Inhomogenous scalar field in de Sitter

- Reintroduce gradients in Klein-Gordon equation. No potential:

$$\ddot{\varphi} + 3H\dot{\varphi} - \frac{\nabla^2\varphi}{a^2} = 0$$

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$$\ddot{\varphi}_k + 3H\dot{\varphi}_k + \frac{k^2}{a^2}\varphi_k = 0$$

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- At early times $\frac{k}{a}$ dominates: **oscillations**

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- Damped oscillator:

- At early times $\frac{k}{a}$ dominates: **oscillations**
- At late times $\frac{k}{a}$ negligible: $\ddot{\varphi} + 3H\dot{\varphi} \approx 0 \implies$

$$\varphi \approx \text{const}$$

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Conformal time

Cosmology: Inflation

$$\ddot{\varphi}_k + 3H\dot{\varphi}_k + \frac{k^2}{a^2}\varphi_k = 0$$

- Introduce conformal time: $dt = a d\tau$

- $ds^2 = a^2(d\tau^2 - dx^2)$

- In de Sitter:

$$a = a_0 e^{H_I t} \implies$$

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$$a = a_0 e^{H_I t} \implies d\tau = dt e^{-H_I t} \implies \tau = -\frac{1}{aH}$$

- $t = -\infty \leftrightarrow \tau = -\infty$ (past)
- $t = +\infty \leftrightarrow \tau \rightarrow 0^-$ (future).

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- Change variable $u_k \equiv a\varphi_k$

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$$\implies u_k'' + \left(k^2 - \frac{2}{\tau^2}\right) u_k = 0$$

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Inhomogenous scalar field in de Sitter

Cosmology:
Inflation

$$u_k'' + \left(k^2 - \frac{2}{\tau^2}\right)u_k = 0$$

- The field is written as:

$$u = \int \frac{d^3k}{(2\pi)^3} e^{i\vec{k}\cdot\vec{x}} \left(u_k(\tau) a_{\vec{k}}^\dagger + u_k^*(\tau) a_{-\vec{k}} \right) \quad (3)$$

- $u_k(\tau)$ "mode function" satisfies classical e.o.m.
- $a_{\vec{k}}, a_{\vec{k}}^\dagger$ satisfy standard commutation relations

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Cosmology:
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- Standard Minkowski case: $u_k = \frac{e^{-i\omega_k \tau}}{\sqrt{2k}}$ ($\omega_k \equiv |\vec{k}|$)

- So that the field is quantized as usual:

$$u = \int \frac{d^3k}{(2\pi^3)} \frac{1}{\sqrt{2\omega_k}} \left(e^{i(kx - \omega_k \tau)} a_{\vec{k}} + e^{-i(kx - \omega_k \tau)} a_{\vec{k}}^\dagger \right)$$

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Inhomogenous scalar field in de Sitter

Cosmology:
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- In de Sitter:

$$u_k'' + \left(k^2 - \frac{2}{\tau^2}\right)u_k = 0$$

- k^2 positive mass vs. large growing negative "mass"

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- Full solution: $u_k(\tau) = c_1 e^{-ik\tau} \left(1 - \frac{i}{k\tau}\right) + c_2 e^{ik\tau} \left(1 + \frac{i}{k\tau}\right)$

- At $\tau \rightarrow -\infty$ it reduces to $c_1 e^{-ik\tau} + c_2 e^{ik\tau}$

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- Fix $c_1 = \frac{1}{\sqrt{2k}}$ to have a free (massless) harmonic oscillator, as in Minkowski (*Fix the Bunch-Davies vacuum*)

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$$u_k \approx -\frac{1}{\sqrt{2k}} \frac{i}{k\tau} \implies \varphi_k \equiv \frac{u_k}{a} \approx \frac{H}{\sqrt{2}} \frac{i}{k^{3/2}}$$

2-point function: subhorizon

- We can compute the 2 point function

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- We can compute the 2 point function

$$\langle u^2 \rangle \equiv \langle 0 | u^2 | 0 \rangle = \int \frac{d^3 k}{(2\pi)^3} |u_k|^2$$

- In Minkowski $|u_k| = \frac{1}{\sqrt{2k}}$:

$$\langle 0 | u^2 | 0 \rangle = \int_0^{a\Lambda} \frac{d^3 k}{(2\pi)^3} \frac{1}{2k} \approx a^2 \Lambda^2$$

(standard UV divergence, Λ physical cutoff)

2-point function: subhorizon

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(standard UV divergence, Λ physical cutoff)

- Same for early time (subhorizon, $k \gg 1$)
- For the field φ : $\implies \langle \varphi^2 \rangle = \frac{1}{a^2} \langle u^2 \rangle \approx \Lambda^2$

2-point function: superhorizon

$$\langle 0|u^2|0\rangle = \int \frac{d^3k}{(2\pi)^3} |u|^2$$

- Superhorizon ($k_T \ll 1$):

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 $\implies \langle u^2 \rangle = \int \frac{d^3k}{(2\pi)^3} \frac{1}{2k^3\tau^2}$

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$$\Rightarrow \langle u^2 \rangle = \int \frac{d^3k}{(2\pi)^3} \frac{1}{2k^3\tau^2}$$

- For φ :

$$\langle \varphi^2 \rangle = \int \frac{d^3k}{(2\pi)^3} \frac{H^2}{2k^3}$$

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2-point function: superhorizon

$$\langle 0|u^2|0\rangle = \int \frac{d^3k}{(2\pi)^3} |u|^2$$

- Superhorizon ($k_\tau \ll 1$): $u = \frac{i}{\sqrt{2}k^{3/2}\tau}$
 $\implies \langle u^2 \rangle = \int \frac{d^3k}{(2\pi)^3} \frac{1}{2k^3\tau^2}$

- For φ :

$$\langle \varphi^2 \rangle = \int \frac{d^3k}{(2\pi)^3} \frac{H^2}{2k^3}$$

- Often written as:

$$\langle \varphi^2 \rangle = \int \frac{dk}{k} \left(\frac{H}{2\pi} \right)^2$$

- "Flat spectrum" : $\mathbf{P}_\varphi = \left(\frac{H}{2\pi} \right)^2$

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- "Flat spectrum" : $P_\varphi = \left(\frac{H}{2\pi} \right)^2$

- Log divergent (UV, IR)

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- **Inside the horizon: free-field**, as in Minkowski

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- **Inside the horizon: free-field**, as in Minkowski
- Mode function $|u_k| = \frac{1}{\sqrt{k}} \implies |\varphi_k| = \frac{1}{a\sqrt{k}}$

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Naturalness issues

- **Inside the horizon: free-field**, as in Minkowski

- Mode function $|u_k| = \frac{1}{\sqrt{k}} \implies |\varphi_k| = \frac{1}{a\sqrt{k}}$

- At **horizon crossing** $\boxed{\frac{k}{a} = H}$ it has a value:

$$\boxed{|\varphi_k| = \frac{H}{k^{3/2}}}$$

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- **Inside the horizon: free-field**, as in Minkowski

- Mode function $|u_k| = \frac{1}{\sqrt{k}} \implies |\varphi_k| = \frac{1}{a\sqrt{k}}$

- At **horizon crossing** $\frac{k}{a} = H$ it has a value:

$$|\varphi_k| = \frac{H}{k^{3/2}}$$

- Afterwards (**superhorizon**) it remains **frozen** at this value

Physical importance

- **Any** massless scalar gets a flat spectrum
- We will see that: the *inflaton* perturbations correspond to an almost massless field

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- **Any** massless scalar gets a flat spectrum
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- Such a spectrum gives rise to **density fluctuations** and can be measured in the late universe in: CMB, Clusters, galaxies, etc...
- It constitutes the **initial condition** for the evolution of a perturbed FLRW Universe

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- **Any** massless scalar gets a flat spectrum
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- Such a spectrum gives rise to **density fluctuations** and can be measured in the late universe in: CMB, Clusters, galaxies, etc...
- It constitutes the **initial condition** for the evolution of a perturbed FLRW Universe
- Such initial spectrum **evolves** through gravity (overdensities grow and form non-linear structures, galaxies, etc...)

Physical importance

- If we compute the typical fluctuation of the field in one point in Minkowski we get:

$$\langle \varphi^2 \rangle^{1/2} = \left(\int^\Lambda \frac{d^3k}{(2\pi)^3} |\varphi_k|^2 \right)^{1/2} \propto \left(\int d^3k \frac{1}{k} \right)^{1/2} \propto \Lambda$$

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- If we define a spatial average over a volume $V = L^3$

$$\varphi_V \equiv \frac{\int_V d^3x \varphi(x)}{V}$$

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- We can compute $\langle \varphi_V^2 \rangle$ and get:

$$\langle \varphi_V^2 \rangle^{1/2} = \left(\int^{k_L} d^3k \frac{1}{k} \right)^{1/2} \approx k_L \quad k_L = 1/L$$

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- In de Sitter, averaging over **any** region of size $V = L^3 \gtrsim (H^{-1})^3$

$$\langle \varphi_V^2 \rangle^{1/2} = \left(\int^{k_L} d^3k \frac{H^2}{k^3} \right)^{1/2} \approx H$$

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Moreover:

- Consider now $\Phi = \phi(t) + \varphi(\mathbf{x}, t)$

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- Consider now $\Phi = \phi(t) + \varphi(\mathbf{x}, t)$
- Suppose we try to impose $\Phi_V = \phi(t) = 0$ (zero vev) in the region that corresponds to our universe as initial condition
- That would be the classical value ϕ that we should use as an initial condition in the slow-roll equations

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- However in each Hubble-sized region the typical value of ϕ_V will be a random value of typical size: $\sqrt{\langle \varphi^2 \rangle} \approx \frac{H}{2\pi}$
- And then this value gets frozen and stretched to much larger scales

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- However in each Hubble-sized region the typical value of ϕ_V will be a random value of typical size: $\sqrt{\langle \varphi^2 \rangle} \approx \frac{H}{2\pi}$
- And then this value gets frozen and stretched to much larger scales
- These are minimal quantum fluctuations
- \implies we cannot impose $\Phi_V = 0$ in the region that corresponds to our universe as initial condition

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Moreover:

- Suppose we write $\Phi = \phi(t) + \varphi$, such that

$$\langle \Phi \rangle = \phi(t) \quad \langle \varphi \rangle = 0$$

- Suppose we have a self-interaction $\mathcal{L} = \frac{\partial_\mu \Phi \partial^\mu \Phi}{2} - \frac{\lambda \Phi^4}{4!}$

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- Suppose we have a self-interaction $\mathcal{L} = \frac{\partial_\mu \Phi \partial^\mu \Phi}{2} - \frac{\lambda \Phi^4}{4!}$
- This becomes:

$$L = \frac{\partial_\mu \Phi \partial^\mu \Phi}{2} - \frac{\lambda}{3} \left(\frac{\phi^4}{4} + \varphi \phi^3 + \frac{3\varphi^2 \phi^2}{2} + \varphi^3 \phi + \frac{\varphi^4}{4} \right)$$

Physical importance

- We can write the Klein-Gordon equation for ϕ :

$$\ddot{\phi} + 3H\dot{\phi} + \lambda \left(\frac{\phi^3}{6} + \frac{\varphi\phi^2}{2} + \frac{\varphi^2\phi}{2} + \frac{\varphi^3}{6} \right) = 0$$

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- Now we take an expectation value $\langle \rangle$:

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- So: $\langle \varphi^2 \rangle$ acts as a mass squared and we have:

$$\langle \varphi^2 \rangle = \Lambda^2 + \mathcal{O}(H^2) \ln(\Lambda)$$

- The usual quadratic UV divergence of the mass, as in Minkowski (counterterm: $m^2\phi^2$)
- Plus a mass term $m^2 \approx \mathcal{O}(\lambda H^2)$ (counterterm: $R\phi^2$)

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- Plus a mass term $m^2 \approx \mathcal{O}(\lambda H^2)$ (**counterterm: $R\phi^2$**)
- We necessarily have to include such terms!

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We can generalize the previous results in many ways:

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We can generalize the previous results in many ways:

- 1 Adding a mass $m^2\varphi^2$

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We can generalize the previous results in many ways:

- 1 Adding a mass $m^2\varphi^2$
- 2 Inflaton perturbations: Coupling φ to metric perturbations

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We can generalize the previous results in many ways:

- 1 Adding a mass $m^2\varphi^2$
- 2 Inflaton perturbations: Coupling φ to metric perturbations
- 3 Expansion is not exactly de Sitter

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We can generalize the previous results in many ways:

- 1 Adding a mass $m^2\varphi^2$
- 2 Inflaton perturbations: Coupling φ to metric perturbations
- 3 Expansion is not exactly de Sitter
- 4 Adding a non-minimal coupling: $R\varphi^2$ (R, Ricci scalar)
- 5 Higher spin cases: 1, 2, 1/2

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$$\ddot{\varphi} + 3H\dot{\varphi} + m^2\varphi - \frac{\nabla^2\varphi}{a^2} = 0$$

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$$\ddot{\varphi} + 3H\dot{\varphi} + m^2\varphi - \frac{\nabla^2\varphi}{a^2} = 0$$

In Fourier space:

$$\ddot{\varphi}_k + 3H\dot{\varphi}_k + \left(m^2 + \frac{k^2}{a^2}\right)\varphi_k = 0$$

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- Replace $k^2 \rightarrow k^2 + m^2 a^2 = k^2 + \frac{m^2}{H^2 \tau^2}$

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- Replace $k^2 \rightarrow k^2 + m^2 a^2 = k^2 + \frac{m^2}{H^2 \tau^2}$
- In de Sitter:

$$u_k'' + \left(k^2 + \frac{m^2}{H^2 \tau^2} - \frac{2}{\tau^2}\right)u_k = 0$$

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$$u_k'' + \left(k^2 + \frac{m^2}{H^2 \tau^2} - \frac{2}{\tau^2}\right)u_k = 0$$

- If $m \ll H$ it should be approximately the same as before
- \implies **Any "light" scalar** ($m \ll H$) has an almost flat spectrum

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In Fourier space:

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- Replace $k^2 \rightarrow k^2 + m^2 a^2 = k^2 + \frac{m^2}{H^2 \tau^2}$
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$$u_k'' + \left(k^2 + \frac{m^2}{H^2 \tau^2} - \frac{2}{\tau^2}\right)u_k = 0$$

- If $m \ll H$ it should be approximately the same as before
- \implies **Any "light" scalar** ($m \ll H$) has an almost flat spectrum
- If $m^2 \gg H^2$ it prevents the superhorizon growth (large growing positive mass: damped oscillator)

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- In de Sitter:

$$u_k'' + \left(k^2 + \frac{m^2}{H^2 \tau^2} - \frac{2}{\tau^2}\right) u_k = 0$$

- Solution with Hankel functions:

$$u_k = c_1 \sqrt{-\tau} H_\nu^{(1)}(k\tau) + c_2 \sqrt{-\tau} H_\nu^{(2)}(k\tau)$$

$$\nu^2 \equiv \frac{9}{4} - \frac{m^2}{H^2}$$

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- Subhorizon $k\tau \gg 1$, it is:

$$u_k \approx c_1 \left(\sqrt{\frac{2}{\pi k}} e^{-\frac{i\pi\nu}{2} - \frac{i\pi}{4}} \right) e^{-ik\tau} + c_2 \left(\sqrt{\frac{2}{\pi k}} e^{-\frac{i\pi\nu}{2} + \frac{i\pi}{4}} \right) e^{ik\tau}$$

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Choosing:

$$c_1 = \frac{\sqrt{\pi}}{2} e^{\frac{i\pi\nu}{2} + \frac{i\pi}{4}}, c_2 = 0$$

$$\Rightarrow u_k = \frac{e^{-ik\tau}}{\sqrt{2k}}$$

Massive case

- With the previous choice we have the **mode function at all times**:

$$u_k = \frac{\sqrt{\pi}}{2} e^{\frac{i\pi\nu}{2} + \frac{i\pi}{4}} \sqrt{-\tau} H_\nu^{(1)}(k\tau)$$

pause

- Superhorizon $k\tau \rightarrow 0^-$, and for $\eta \equiv \frac{m^2}{3H^2} \ll 1$ it goes as :

$$P_\varphi = \frac{k^3 |u|^2}{2\pi^2 a^2} \approx \left(\frac{H}{2\pi}\right)^2 (-k\tau)^{2\eta} \approx \left(\frac{H}{2\pi}\right)^2 \left(\frac{k}{aH}\right)^{2\eta}$$

- Small scale-dependence: long wavelengths are suppressed ($\eta > 0$)
-

$$\Rightarrow n_s - 1 \equiv 2\eta$$

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- If $m \ll H$
- **Inside the horizon** ($m \ll H \ll k$): almost massless free-field, as in Minkowski

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- **Inside the horizon** ($m \ll H \ll k$): almost massless free-field, as in Minkowski
- Mode function $|u_k| = \frac{1}{\sqrt{k}} \implies |\varphi_k| = \frac{1}{a\sqrt{k}}$

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- Mode function $|u_k| = \frac{1}{\sqrt{k}} \implies |\varphi_k| = \frac{1}{a\sqrt{k}}$

- At **horizon crossing** $\frac{k}{a} = H$ it has a value:

$$|\varphi_k| = \frac{H}{k^{3/2}}$$

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- At **horizon crossing** $\boxed{\frac{k}{a} = H}$ it has a value:

$$\boxed{|\varphi_k| = \frac{H}{k^{3/2}}}$$

- Afterwards (superhorizon) it slowly decays due the mass
- Long scales (small k) have more time to decay

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Including metric

- If the scalar inhomogeneous $\phi = \phi(t) + \varepsilon \varphi(t, x^i)$
- Sources an inhomogeneous metric:

$$g_{\mu\nu} = g_{\mu\nu}^{FLRW} + \varepsilon g_{\mu\nu}^{(1)}(t, x^i)$$

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- Sources an inhomogeneous metric:

$$g_{\mu\nu} = g_{\mu\nu}^{FLRW} + \varepsilon g_{\mu\nu}^{(1)}(t, x^i)$$

- The full metric can be written as:

$$ds^2 = N^2 dt^2 - h_{ij}(dx^i + N^i dt)(dx^j + N^j dt)$$

$$g_{\mu\nu} = \begin{pmatrix} N^2 - N^i N_i & -N_i \\ -N_i & -h_{ij} \end{pmatrix}$$

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$$g_{\mu\nu} = \begin{pmatrix} N^2 - N^i N_i & -N_i \\ -N_i & -h_{ij} \end{pmatrix}$$

$$\begin{cases} N = 1 + \varepsilon \delta N \\ N_i = 0 + \varepsilon N_i \\ \phi = \phi(t) + \varepsilon \varphi(\vec{x}, t) \\ h_{ij} = a^2[(1 + 2\varepsilon \psi(\vec{x}, t))\delta_{ij} + \varepsilon \gamma_{ij}(\vec{x}, t)] \end{cases}$$

- $\delta N, N_i, \varphi, \psi, \gamma_{ij}$ are $\mathcal{O}(\varepsilon)$, *first* order perturbations

Including metric

- Quantities can be decomposed in scalar, vector and tensors:

$$N_i = N_i^S + N_i^V$$
$$\gamma_{ij} = \gamma_{ij}^S + \gamma_{ij}^V + \gamma_{ij}^T$$

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²V.Mukhanov and R.Brandenberger, Part I, sect.3. S.Weinberg, "Cosmology", Oxford University Press, sect.5. A.R.Liddle, D.H.Lyth, "Cosmological Inflation and Large Scale Structure", Cambridge University Press, sect.14.5.

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$$\gamma_{ij}^V = \partial_j E_i + \partial_i E_j$$

$$\gamma_{ii}^T = 0, \quad \partial_i \gamma_{ij}^T = 0$$

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- The same can be done with the energy-momentum tensor
- At linear order* in perturbations: scalars only couple to scalar, vectors with vectors and tensors with tensors²

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Including metric

- One can change coordinates by $\mathcal{O}(\epsilon)$:

$$x^\mu \rightarrow x'^\mu = x^\mu + \epsilon \delta x^\mu$$

- This leaves the metric in the form background + perturbations: $g_{\mu\nu} = g_{\mu\nu}^{(0)} + \epsilon h_{\mu\nu}$

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- A scalar field $\Phi = \phi(t) + \epsilon\varphi(t, x^i)$ also changes:

$$\phi \rightarrow \phi_0(t(t')) + \epsilon\varphi(t, x^i) \approx \phi_0(t') + \epsilon(-\phi'_0(t')\delta t + \varphi(t', x^{i'}))$$

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- We can use this change of coordinate to fix some conditions (“gauge choice”)

- $\delta x^0 = \delta t, \quad \delta x^i = \partial^i Q + Q^i, \quad (\partial_i Q^i = 0)$

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(2 scalars and 1 vector)

- We can set 2 scalars and 1 vector to zero for simplicity.

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(2 scalars and 1 vector)

- We can set 2 scalars and 1 vector to zero for simplicity.

- Tensors are “gauge-invariant”.

Including metric

- For example we can set to zero $\varphi = 0 = E = E_i = 0$, leaving only the tensor part of γ_{ij} :

$$\varphi = 0, \quad \partial_i \gamma_{ij}^T = 0, \quad \gamma_{ii}^T = 0$$

("comoving or uniform field gauge")

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("comoving or uniform field gauge")

- Using then Einstein equations in this gauge one finds that all quantities can be expressed as functions of ψ (scalar) and γ_{ij}^T (tensor)

$$N = \frac{\dot{\psi}}{H}, \quad N_i = \partial_i f(\psi) + N_i^V, \quad N_i^V = 0$$

Including metric

- Start from Einstein-Hilbert action plus scalar field:

$$S = \frac{1}{2} \int d^4x \sqrt{-g} [-M_P^2 R + (\partial_\mu \phi \partial^\mu \phi)^2 - 2V(\phi)]$$

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$$S = \frac{1}{2} \int d^4x \sqrt{-g} [-M_P^2 R + (\partial_\mu \phi \partial^\mu \phi)^2 - 2V(\phi)]$$

- It becomes a quadratic action for ψ (ignore γ_{ij}^T for the moment):

$$S = \int d^3x dt \frac{\dot{\phi}^2}{H^2} [a(t)^3 \dot{\psi}^2 - a(t)(\partial_i \psi \partial^i \psi)^2]$$

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$$S = \frac{1}{2} \int d^4x \sqrt{-g} [-M_P^2 R + (\partial_\mu \phi \partial^\mu \phi)^2 - 2V(\phi)]$$

- It becomes a quadratic action for ψ (ignore γ_{ij}^T for the moment):

$$S = \int d^3x dt \frac{\dot{\phi}^2}{H^2} \left[a(t)^3 \psi^2 - a(t) (\partial_i \psi \partial^i \psi)^2 \right]$$

Latin index (i): a spatial index

- Varying w.r.t. to ψ :

$$\frac{\partial S}{\partial \psi} = 0 \implies \frac{d}{dt} \left(\frac{a^3 \dot{\phi}^2 \psi}{H^2} \right) - \frac{\dot{\phi}^2}{H^2} a k^2 \psi = 0$$

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- This looks complicated, but...

Including metric

- Change variables to

$$\psi \equiv \frac{u}{z},$$

$$z \equiv \frac{a\dot{\phi}}{H}$$

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- In slow roll approximation

$$u'' + \left[k^2 - \frac{2 - 3\eta + 9\epsilon}{\tau^2} \right] u(t) = 0$$

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- Which has the solution on long-wavelengths

$$P_\psi = \frac{|u|^2}{z^2} = \left(\frac{H}{\dot{\phi}} \right)^2 \left(\frac{H}{2\pi} \right)^2 (-k\tau)^{2\eta-6\epsilon} \quad (4)$$

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$$\Rightarrow A^2 = \left(\frac{H^2}{2\pi\dot{\phi}} \right)^2$$

$$n_s - 1 = 2\eta - 6\epsilon$$

Gauge invariant ζ

- Under a gauge transformation:
$$\begin{cases} \delta\phi' = -\dot{\phi}\delta t \\ \psi' = \psi + H\delta t \end{cases}$$

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- In a gauge with $\psi = 0$ ("flat gauge"), $\zeta = \frac{H}{\dot{\phi}}\delta\phi$

Gauge invariant ζ

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- Intuitively:

$$\delta\phi \approx \frac{H}{2\pi} |h.c. \implies \zeta \approx \frac{H}{\dot{\phi}} \times \frac{H}{2\pi}$$

Gauge invariant ζ

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$$\delta\phi \approx \frac{H}{2\pi} |_{h.c.} \implies \zeta \approx \frac{H}{\dot{\phi}} \times \frac{H}{2\pi}$$

$$\begin{cases} A^2 = \left(\frac{H^2}{2\pi\dot{\phi}}\right)^2 \\ n_s - 1 \equiv k \frac{d \ln A^2}{dk} = k \frac{d \ln A^2}{dt} \times \frac{dt}{da} \times \frac{da}{dk} |_{k/a=H} = 2\eta - 6\epsilon \end{cases}$$

Evolution of ζ

- This gauge-invariant variable then remains constant outside the horizon
- Only gradients of ζ appear in the e.o.m. in comoving gauge

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Evolution of ζ

- This gauge-invariant variable then remains constant outside the horizon
- Only gradients of ζ appear in the e.o.m. in comoving gauge
- General definition, also *after* inflation:

$$\zeta = \psi + H \frac{\delta\rho}{\dot{\rho}}$$

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Evolution of ζ

- This gauge-invariant variable then remains constant outside the horizon
- Only gradients of ζ appear in the e.o.m. in comoving gauge

- General definition, also *after* inflation:

$$\zeta = \psi + H \frac{\delta\rho}{\dot{\rho}}$$

- It can be shown that: if the universe is dominated by one fluid with δP proportional to $\delta\rho$: $\delta P = c_s^2 \delta\rho$ ("adiabatic")

- $\implies \zeta$ **constant superhorizon**

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Naturalness issues

- Later (in radiation or matter era) it reenters the horizon and starts evolving again
- It gives initial condition *e.g.* to CMB temperature fluctuations and density perturbations when re-enters horizon:

ζ and CMB

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End of Inflation

Naturalness issues

- Later (in radiation or matter era) it reenters the horizon and starts evolving again
- It gives initial condition *e.g.* to CMB temperature fluctuations and density perturbations when re-enters horizon:

$$\zeta \rightarrow \frac{\delta T}{T}$$

- Measuring $\frac{\delta T}{T}$ we **measure A^2 and $n_s - 1$**

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- The quadratic action for γ_{ij} can be also obtained:

$$S_\gamma = \frac{M_P^2}{8} \int d^3x dt (a^3 \dot{\gamma}_{ij} \dot{\gamma}_{ij} - a \partial_k \gamma_{ij} \partial_k \gamma_{ij})$$

- Four constraints: $\delta^{ij} \gamma_{ij} = 0$ (traceless), $\partial^i \gamma_{ij} = 0$ (transverse, 3 equations)

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- Four constraints: $\delta^{ij} \gamma_{ij} = 0$ (traceless), $\partial^i \gamma_{ij} = 0$ (transverse, 3 equations)
- Write:

$$\gamma_{ij} = \int \frac{d^3k}{(2\pi)^3} \sum_s \varepsilon_{ij}^{(s)} \gamma_{\vec{k}}^{(s)} e^{ik \cdot x}$$

where the polarization tensors obey: $\varepsilon_{ij}^{(s)} = 0$, $k^i \varepsilon_{ij} = 0$ and normalized $\varepsilon_{ij}^{(s)} \varepsilon_{ij}^{(s')} = 2\delta^{ss'}$.

- Two degrees of freedom: each $\gamma_{\vec{k}}^s$ evolves as a massless scalar (same equation for both s)

Spin 2

Cosmology: Inflation

$$\gamma_k^{(s)} \equiv \frac{2}{M_P} \frac{v_k}{a}$$

$$v_k'' + \left(k^2 - \frac{a''}{a}\right)v_k = 0$$

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- So we already know that:

$$P_\gamma = \left(\frac{H}{2\pi}\right)^2 \times \left(\frac{k}{aH}\right)^{-2\epsilon}$$

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- Or, at horizon crossing:

$$P_\gamma = 2 \times \left(\frac{2}{M_P}\right)^2 \left(\frac{H}{2\pi}\right)^2 \Big|_{h.c.}$$

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$$A_T^2 = \left(\frac{8}{M_P^2} \right) \left(\frac{H}{2\pi} \right)^2$$

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- Note that potential energy V decreases:

$$\implies \boxed{\epsilon \propto \dot{H}/H^2 < 0} \implies \boxed{n_T < 0}$$

- Typical definition $r \equiv \frac{A_T^2}{A_S^2} \equiv 16\epsilon$

Spin 2: measurability

- When a GW **exits the horizon** it remains frozen

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- When a GW **exits the horizon** it remains frozen
- When it reenters the horizon it starts decaying in Amplitude
- In absence of sources the e.o.m. is:

$$v_k'' + (k^2 - \frac{a''}{a})v_k = 0$$

- For large k it oscillates

$$v_k \propto e^{-ik\tau} \implies \boxed{\gamma_k^{(s)} \equiv \frac{2}{M_P} \frac{v_k}{a} \propto \frac{1}{a}}, \text{ decays}$$

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- At Last Scattering of the CMB we can measure scales close to the H_{LSS}^{-1} (large scales)

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- At Last Scattering of the CMB we can measure scales close to the H_{LSS}^{-1} (large scales)
- Today we can in principle measure the scale that are re-entering now (close to H_0^{-1})

Spin 2: measurability

- When a GW **re-enters the horizon** it stretches spacetime in a quadrupolar way
- At the time of the CMB each electron sees a **quadrupole in density** around itself

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- \implies It emits polarized photons

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- They can be measured in the **polarization** of the CMB ("**B-modes**"), if tensors are comparable to scalars (*i.e.* $r = \frac{A_T^2}{A_S^2}$ is large enough)

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- At the time of the CMB each electron sees a **quadrupole in density** around itself
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- They can be measured in the **polarization** of the CMB (“*B-modes*”), if tensors are comparable to scalars (*i.e.* $r = \frac{A_T^2}{A_S^2}$ is large enough)
- So far only upper bounds $r \lesssim 0.07 \implies A_T^2 \lesssim 0.07 A_S^2 \implies \frac{H^2}{M_P^2} \lesssim 10^{-9} \times 0.07$

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issues

- When a GW **re-enters the horizon** it stretches spacetime in a quadrupolar way
- At the time of the CMB each electron sees a **quadrupole in density** around itself
- \implies It emits polarized photons
- They can be measured in the **polarization** of the CMB (“*B-modes*”), if tensors are comparable to scalars (*i.e.* $r = \frac{A_T^2}{A_S^2}$ is large enough)
- So far only upper bounds $r \lesssim 0.07 \implies A_T^2 \lesssim 0.07 A_S^2 \implies \frac{H^2}{M_P^2} \lesssim 10^{-9} \times 0.07$
- This implies the scale of inflation is

$$V^{1/4} \lesssim 1.8 \cdot 10^{16} \text{GeV} \left(\frac{r}{0.07} \right)^{1/4}$$

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- If a GW reenters today with an amplitude $\frac{H}{M_P}$
- It stretches space by this amount, producing a large-scale quadrupole pattern in the CMB
- By imposing this is less than the observed quadrupole

$$\frac{\delta T}{T} |_{\ell=2} \lesssim 10^{-5}$$

- $\implies \frac{H}{M_P} \lesssim 10^{-5}$ (similar to previous bound)

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- Note that the inflaton **must be coupled to the Standard Model to reheat** the Universe
- An interesting way is to couple ϕ to **gauge fields**

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Naturalness issues

- Note that the inflaton **must be coupled to the Standard Model** to **reheat** the Universe
- An interesting way is to couple ϕ to **gauge fields**
- Advantages:
 - The coupling can be derivative (it does not induce large corrections to $V(\phi)$)
 - It leads to interesting phenomenology during inflation

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- Consider a free gauge field:

$$S = -\frac{1}{4} \int d^4x \sqrt{-g} F_{\mu\nu} F^{\mu\nu} \quad (F_{\mu\nu} \equiv \partial_\mu A_\nu - \partial_\nu A_\mu)$$

- Write $A_\mu = (A_0, A_i)$ and $A_i = A_i^T + \partial_i \chi$, with $\partial_i A_i^T = 0$

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- The action becomes:

$$S = -\frac{1}{4} \int d\tau d^3x (A_i^T A_i^T - \partial_i A_i^T \partial_i A_i^T)$$

(χ and A_0 are non-dynamical)

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(χ and A_0 are non-dynamical)

- Now Fourier decompose:

$$A_i^T = \int \frac{d^3k}{(2\pi)^3} \sum_s \varepsilon_i^{(s)} A_k^{(s)} e^{ik \cdot x} \quad s = 1, 2$$

with $\varepsilon^{(s)}$ two polarization vectors

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- Note that the metric has disappeared

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- Note that the metric has disappeared

- In conformal FLRW in fact:

$$\sqrt{-g}F_{\mu\nu}F^{\mu\nu} = a^4 \times F_{\mu\nu}F_{\mu\nu} \times \frac{1}{a^4} = F_{\mu\nu}F_{\mu\nu}$$

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- The equation of motion for $A_k^{(s)} \equiv v_k$ is simply as in Minkowski: $v_k'' + k^2 v_k = 0$
- Mode functions: $v_k = \frac{e^{-ik\tau}}{\sqrt{2k}}$

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- Mode functions: $v_k = \frac{e^{-ik\tau}}{\sqrt{2k}}$

- The 2-point function is then:

$$\begin{aligned}\langle v^2 \rangle &= \int d^3k |v_k|^2 = \int d^3k \frac{1}{2k} \propto \int \frac{dk}{k} k^2 \\ &\implies P_v = k^2\end{aligned}$$

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- **Not** enhanced superhorizon (small k)

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- However it can be coupled to the inflaton (even if ϕ neutral real field), *e.g.*:

- $$\mathcal{L} = J^2(\phi)F_{\mu\nu}F^{\mu\nu} + g(\phi)F_{\mu\nu}\tilde{F}^{\mu\nu}$$

- $$\tilde{F}^{\mu\nu} \equiv \epsilon^{\mu\nu\alpha\beta}F_{\alpha\beta}$$

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- $\tilde{F}^{\mu\nu} \equiv \epsilon^{\mu\nu\alpha\beta}F_{\alpha\beta}$

- For example: $\mathcal{L} = \left(-\frac{1}{4} + \frac{\phi}{M}\right)F_{\mu\nu}F^{\mu\nu} + \frac{\phi}{f}F_{\mu\nu}\tilde{F}^{\mu\nu}$

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- This leads to a richer equation:

$$v''_{\pm} + \left(k^2 + \frac{J''}{J} \pm g'(\phi)k\right)v_{\pm} = 0$$

for the two circular polarizations: the $F\tilde{F}$ term induces a (\pm) polarization dependent term

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- One particular case: $\mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + \frac{\phi}{f}F_{\mu\nu}\tilde{F}^{\mu\nu}$

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- $F_{\mu\nu}\tilde{F}^{\mu\nu} = \partial_\mu K^\mu$ is a total derivative
- Integrating by parts \implies it is a derivative coupling: $\frac{\partial_\mu \phi K^\mu}{f}$

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- All perturbative quantum corrections (Feynman diagrams) will include derivatives of ϕ
- No effective potential induced

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- All perturbative quantum corrections (Feynman diagrams) will include derivatives of ϕ
- No effective potential induced
- *Caveat:* for non-abelian gauge fields there is a non-perturbative potential induced by instantons at a strong coupling scale Λ (like for QCD axion)

$$V(\phi) \approx \Lambda^4 \cos\left(\frac{\phi}{f}\right)$$

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- One particular case: $\mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + \frac{\phi}{f}F_{\mu\nu}\tilde{F}^{\mu\nu}$

- $\Rightarrow v_{\pm}'' + \left(k^2 \pm \frac{\phi'k}{f}\right)v_{\pm} = 0$

Two interesting situations:

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Two interesting situations:

- 1 During inflation: assume $\dot{\phi} = \text{const} \Rightarrow \phi' = \dot{\phi} a = -\frac{\dot{\phi}}{H\tau}$

$$v''_{\pm} + \left(k^2 \mp \frac{\dot{\phi}}{Hf} \frac{k}{\tau}\right) v_{\pm} = 0$$

\Rightarrow large growth of one of the 2 helicities (negative growing "mass") Possible new effects during inflation

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- 2 After inflation: $\phi \approx \phi_0 \cos(m\tau) \Rightarrow$

$$v''_{\pm} + \left(k^2 \mp \frac{m\phi_0}{f} k \sin(m\tau)\right) v_{\pm} = 0$$

Possibility of resonances. Describes the (non-perturbative) decay of the inflaton into photons

Constant $\dot{\phi}$ and de Sitter

- Assume: $\dot{\phi} = \text{const}$ in de Sitter and $a(t) = -\frac{1}{H\tau}$
(Sorbo & Anber '09)

$$A''_{\pm} + \left(k^2 \mp \frac{2k\xi}{\tau} \right) A_{\pm} = 0,$$

$$\xi \equiv \frac{\dot{\phi}}{2fH}$$

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- Impose vacuum fluctuations $A_k = \frac{e^{-ik\tau}}{\sqrt{2k}}$ at $\tau \rightarrow -\infty$
(past)
(*Almost, up to a $\ln(\tau)$ phase.)

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- Impose vacuum fluctuations $A_k = \frac{e^{-ik\tau}}{\sqrt{2k}}$ at $\tau \rightarrow -\infty$ (past)
(*Almost, up to a $\ln(\tau)$ phase.)

- Solution at $\tau \rightarrow 0^-$ (future):

$$A_+ \approx \frac{1}{\sqrt{2k}} \left(\frac{k|\tau|}{2\xi} \right)^{1/4} e^{\pi\xi - 2\sqrt{2\xi k|\tau|}}$$

Consequences

- (Sorbo & Anber '09) estimated:

$$\frac{\langle F\tilde{F} \rangle}{4} = \frac{1}{2a^4} \int \frac{d^3k}{(2\pi)^3} k \frac{d[|A_+|^2 - |A_-|^2]}{d\tau} \approx \frac{H^4}{\xi^4} e^{2\pi\xi}$$

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³Barnaby & Peloso PRL 106 (2011), Barnaby et al. PRD85 (2012), Namba et al. JCAP 1601 (2016).
Ferreira & Sloth, JHEP 1412 (2014) 139. Anber & Sorbo PRD85 (2012) 123537. Lin & Ng (Taiwan, Inst.
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- **New features:**³

- Fields are **not** in the vacuum:

- Possibly large corrections to P_ζ
- Possibly large corrections to P_γ
- Possibly large corrections to $\langle \zeta\zeta\zeta \rangle$
- It can also backreact \implies non-trivial dynamics on ϕ :

$$\ddot{\phi} + 3H\dot{\phi} + V'(\phi) + \frac{1}{f} \langle F\tilde{F} \rangle = 0$$

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Naturalness issues

- After inflation ϕ oscillates around a minimum vev ϕ_M

- Approximating the potential around the minimum as
$$V(\phi) \approx m^2(\phi - \phi_M)^2$$

$\implies \phi$ oscillates with a (decreasing) amplitude and a frequency m

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- If $m \gg H$ we can ignore the expansion of the universe for simplicity: $\phi \approx \phi_M + \phi_0(t) \cos(m\tau)$

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$$V(\phi) \approx m^2(\phi - \phi_M)^2$$

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- If $m \gg H$ we can ignore the expansion of the universe for simplicity: $\phi \approx \phi_M + \phi_0(t) \cos(m\tau) \implies$

$$v_{\pm}'' + \left(k^2 \mp \frac{m\phi_0}{f} k \sin(m\tau) \right) v_{\pm} = 0$$

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$$v''_{\pm} + \left(k^2 \mp \frac{m\phi_0}{f} k \sin(m\tau) \right) v_{\pm} = 0$$

- Solution: “Mathieu” functions

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- Describes the (non-perturbative) decay of the inflaton into photons
 - ρ_{γ} grows
 - $\implies \rho_{\phi}$ must decrease $\implies \phi_0$ decreases

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- Describes the (non-perturbative) decay of the inflaton into photons
 - ρ_{γ} grows
 - $\implies \rho_{\phi}$ must decrease $\implies \phi_0$ decreases
- Eventually the decay products (the gauge fields in the amplified bands) will scatter and produce a plasma

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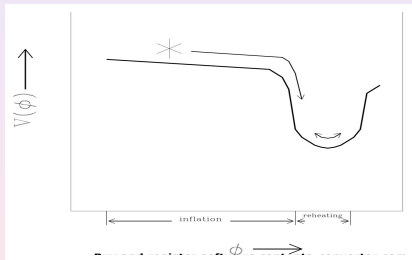
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- Inflation ends when ϵ or η are $\mathcal{O}(1)$

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- Example: quadratic potential

$$\ddot{\phi} + 3H\dot{\phi} + m^2\phi = 0$$

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$$\ddot{\phi} + 3H\dot{\phi} + m^2\phi = 0$$

- $\phi \lesssim M_{Pl} \implies H^2 \sim \frac{m^2\phi^2}{M_{Pl}^2} \lesssim m^2$

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- $\phi \lesssim M_{Pl} \implies H^2 \sim \frac{m^2\phi^2}{M_{Pl}^2} \lesssim m^2$

- Therefore $H^2 \ll m^2 \implies$ **fast oscillations** (frequency m)

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- E.o.m. can be rewritten as:

$$\rho_\phi \equiv V + \frac{\dot{\phi}^2}{2}$$

$$\dot{\rho}_\phi + 3H\dot{\phi}^2 = 0$$

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- Average an oscillator over many cycles $\langle \dot{\phi}^2 \rangle = \langle \rho \rangle$

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Naturalness issues

- Average an oscillator over many cycles $\langle \dot{\phi}^2 \rangle = \langle \rho \rangle$
- Effective equation for average energy

$$\dot{\rho}_\phi + 3H\rho_\phi = 0$$

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- Average an oscillator over many cycles $\langle \dot{\phi}^2 \rangle = \langle \rho \rangle$
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$$\dot{\rho}_\phi + 3H\rho_\phi = 0$$

- Solution $\rho_\phi \propto a^{-3}$
- Scales like nonrelativistic matter: treat it as collection of particles at rest

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- (Valid for **quadratic potential**)

Decay

- Inflaton needs to be coupled to other fields

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 - *E.g.* a scalar $\mathcal{L}_{int} = \mu\phi\chi^2$

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- This induces a decay rate $\Gamma = \dots$

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$$\boxed{\rho_\phi = \rho_0 \left(\frac{a_E}{a}\right)^3 e^{-\Gamma t}}$$

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- By conservation of energy, energy in decay products grows, then thermalizes and produces a plasma

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Non-perturbative Decay (“Preheating”)

- The Inflaton can also produce particles in a non-perturbative way
- Consider: $\mathcal{L}_{int} = \mu\phi\chi^2$

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Non-perturbative Decay (“Preheating”)

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- The Inflaton can also produce particles in a non-perturbative way

- Consider: $\mathcal{L}_{int} = \mu\phi\chi^2$

- The mass m_χ depends on ϕ and oscillates:

$$m_\chi^2 = \mu\phi(t) \implies \omega_\chi^2 = k^2 + m_\chi(t)^2$$

Non-perturbative Decay (“Preheating”)

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- \implies Resonant production (as we will see..)
- At large amplitude of oscillations can be more efficient than perturbative reheating

How “natural” is the inflaton potential?

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Naturalness issues

- In many inflationary models we have $\Delta\phi \gtrsim M_{Pl}$
- One may expect corrections (e.g. due to quantum gravity?)

$$V(\phi) = m^2\phi^2 + \lambda\phi^4 + \sum_n \alpha_n \frac{\phi^{4+n}}{M_{Pl}^n}$$

- Such corrections could spoil flatness!

How “natural” is this potential?

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- My point of view:
- Gravity only knows about $V(\phi)$ and $\dot{\phi}^2$, not about ϕ

How “natural” is this potential?

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- \implies corrections to V must go as $V(\frac{V}{M_{Pl}^4})^n$. If $V \ll M_{Pl}^4 \implies OK!$

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- \implies corrections to V must go as $V(\frac{V}{M_{Pl}^4})^n$. If $V \ll M_{Pl}^4 \implies OK!$
- Caveat: such dangerous corrections seem instead present in string theory

How “natural” is this potential?

- However one must still
 - Tune the divergence $m^2 \propto \Lambda^2$ (as for the Higgs)
 - Fix the non-minimal coupling ($m^2 \propto R = 12H^2$) to be small

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- Solved for example by “Natural Inflation”

- $$L = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \frac{\phi}{f} F_{\mu\nu} F^{\mu\nu}$$

- It generates a potential (like the QCD “Axion”):

$$V = \Lambda^4 \cos(\phi/f),$$

(Λ is a strong coupling scale, non-abelian model)

- protected against quadratic corrections

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