

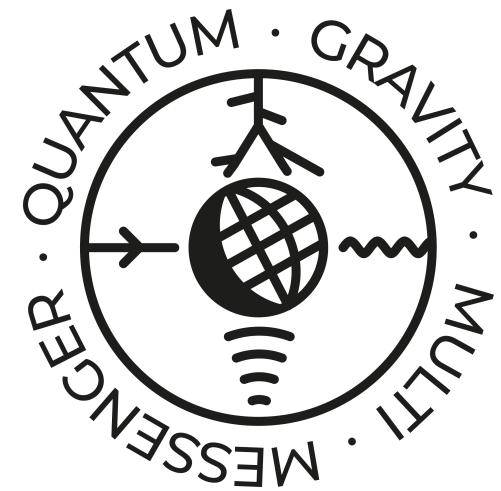
Review WG4: Low energy neutrino phenomenology

Mariam Tórtola
IFIC, Universitat de València/CSIC

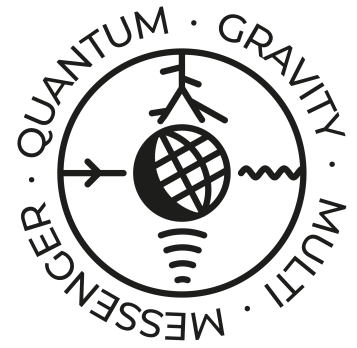


COST Action CA18108
(QG-MM) meeting

2–4 October 2019
Barcelona, Spain



Outline

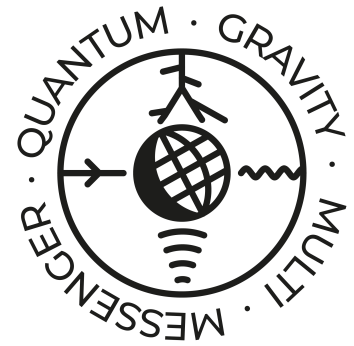


- ◆ Introduction to neutrino physics
- ◆ Three-flavour neutrino oscillations
- ◆ Beyond the three-neutrino scenario
- ◆ The Standard Model Extension (SME)
 - ✓ Lorentz invariance violation
 - ✓ CPT invariance violation



Introduction to neutrino physics

Neutrinos in the Standard Model



Elementary Particles

Quarks	u up	c charm	t top	Force Carriers	γ photon
	d down	s strange	b bottom		g gluon
					Z Z boson
Leptons	ν_e electron neutrino	ν_μ muon neutrino	ν_τ tau neutrino	W W boson	
	e electron	μ muon	τ tau		
I II III					
Three Families of Matter					

◆ neutrinos come in 3 flavours, corresponding to the charged lepton associated

◆ they belong to SU(2) lepton doublets

$$\begin{pmatrix} \nu_e \\ e \end{pmatrix}_L, \begin{pmatrix} \nu_\mu \\ \mu \end{pmatrix}_L, \begin{pmatrix} \nu_\tau \\ \tau \end{pmatrix}_L$$

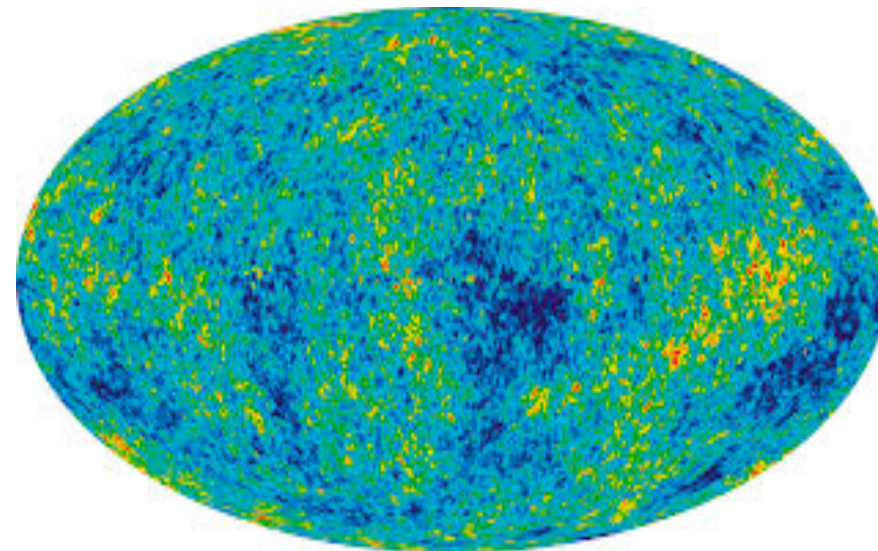
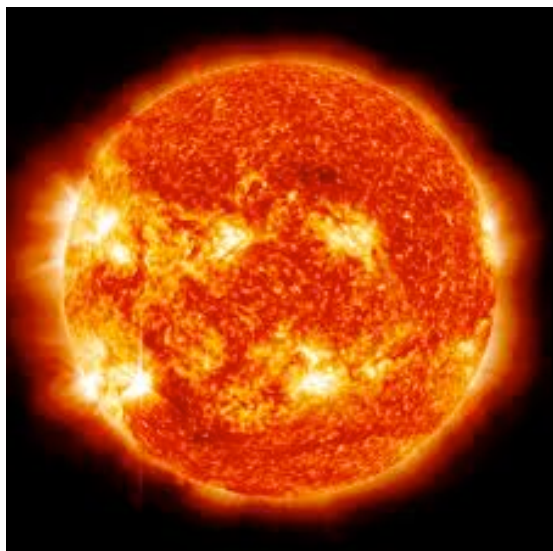
◆ There are no SU(2) neutrino singlets (alike e_R, μ_R, τ_R): neutrinos are left handed and antineutrinos right handed

◆ Neutrinos are massless in the SM: there is no mechanism to implement neutrino masses

Why neutrinos are so important?



- ◆ they can probe environments that other techniques cannot: SN explosions, core of the Sun,...
- ◆ their role is crucial for the evolution of the universe (Big Bang Nucleosynthesis, structure formation)
- ◆ they could help explaining the matter-antimatter asymmetry of the Universe (leptogenesis mechanism)
- ◆ they could be a component of the dark matter of the universe.
- ◆ they provide the first evidence for physics beyond the SM!!!



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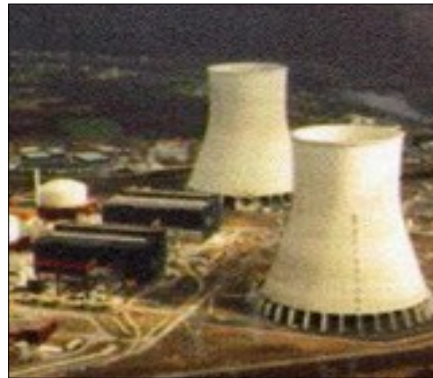
However: there are still many open questions
in neutrino physics

What we know about neutrinos



◆ We have observed neutrinos from a variety of sources

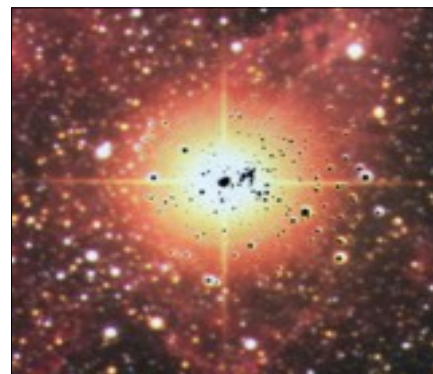
✓ Nuclear Reactors



Sun



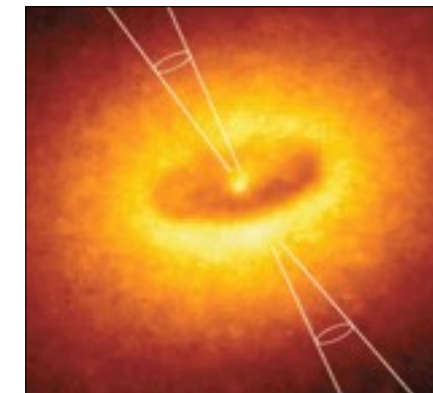
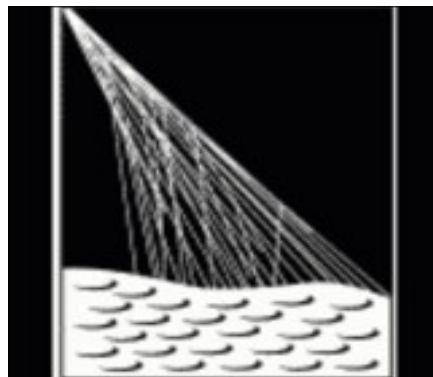
✓ Particle Accelerators



Supernova explosions

SN 1987A ✓

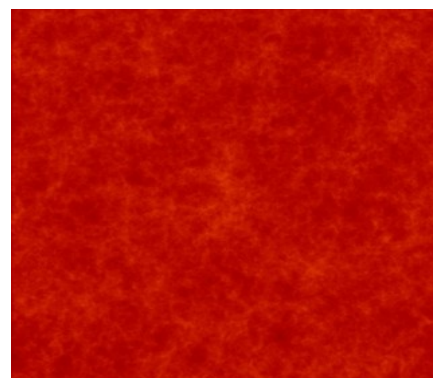
✓ Earth Atmosphere
(Comic Rays)



Astrophysical Sources

TXS 0506 + 056 ✓

✓ Earth Crust
(Natural Radioactivity)



Origin of Universe

Indirect evidence

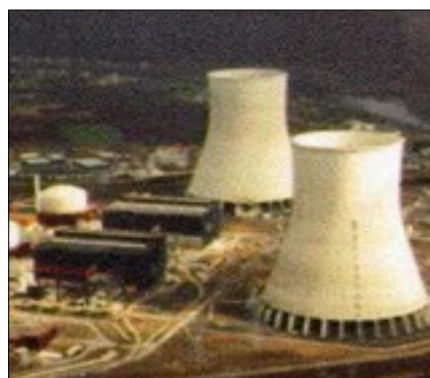
What we know about neutrinos



- ◆ They are extremely abundant (9 orders of magnitude wrt p, e⁻)

10.000 millions

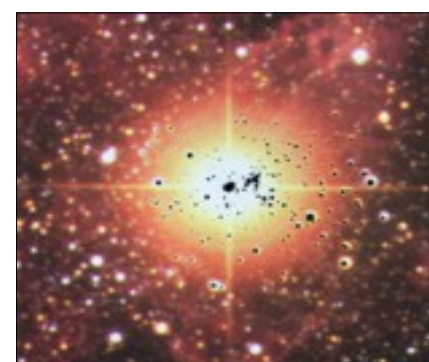
✓ Nuclear Reactors



600 billions

Sun ✓

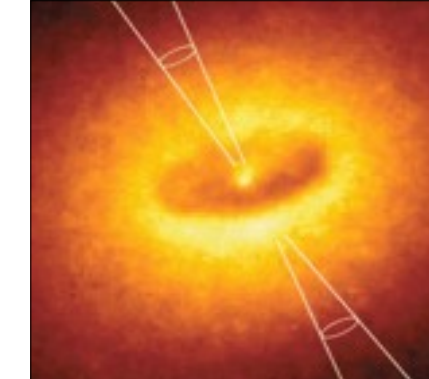
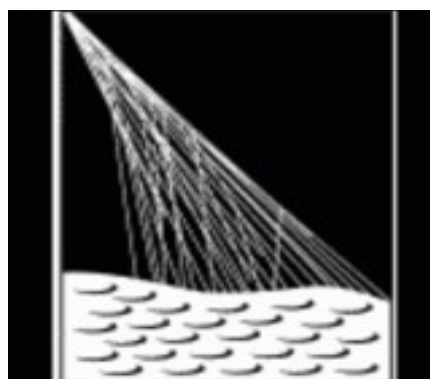
✓ Particle Accelerators



Supernova explosions

SN 1987A ✓

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(Comic Rays)

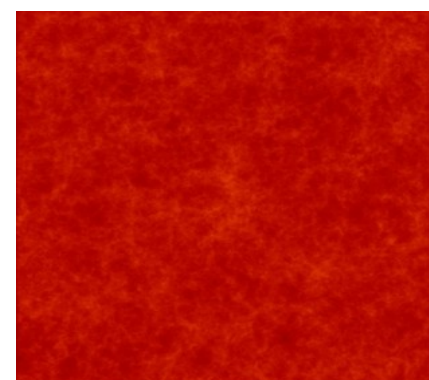


Astrophysical Sources

TXS 0506 + 056 ✓

50.000 millions

✓ Earth Crust
(Natural Radioactivity)



Origin of Universe

Indirect evidence

What we know about neutrinos



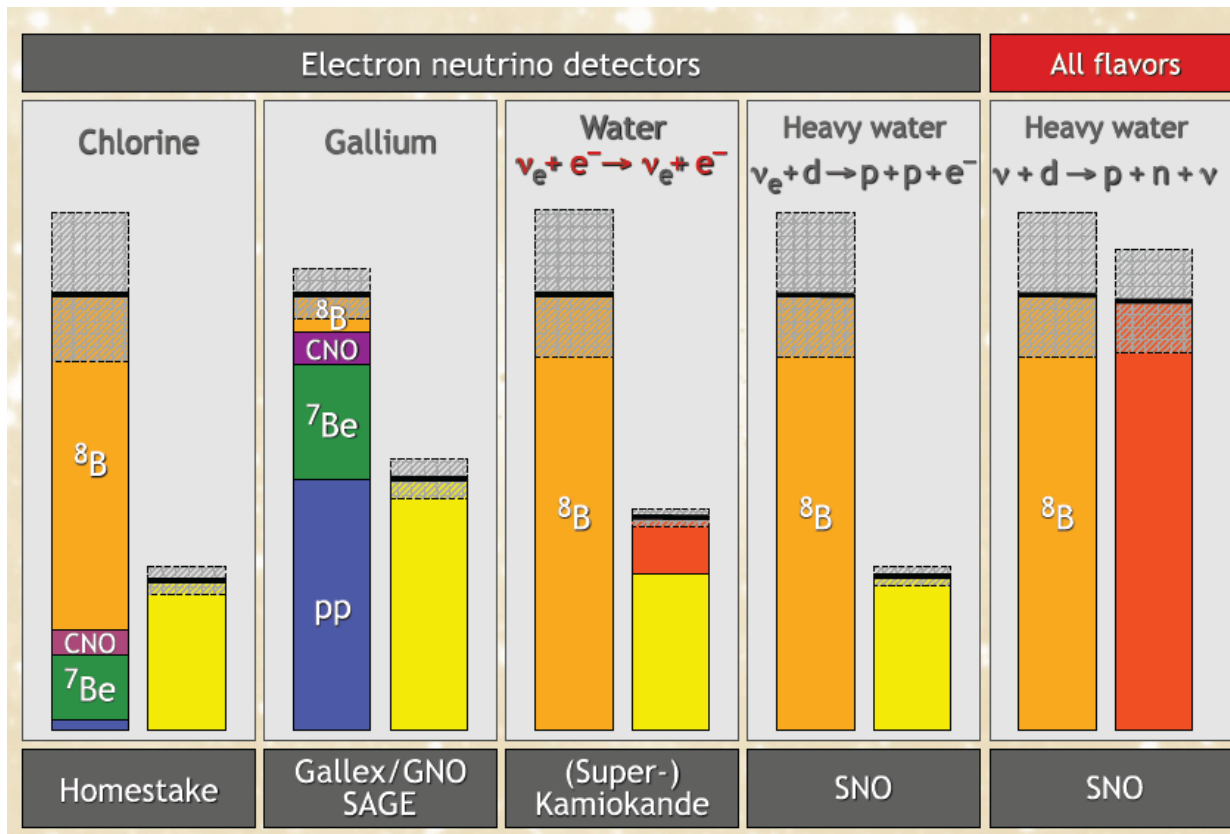
- ◆ They experience flavour oscillations: $\nu_\alpha \rightarrow \nu_\beta$ (L/E dependence)

What we know about neutrinos



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Solar ν anomaly



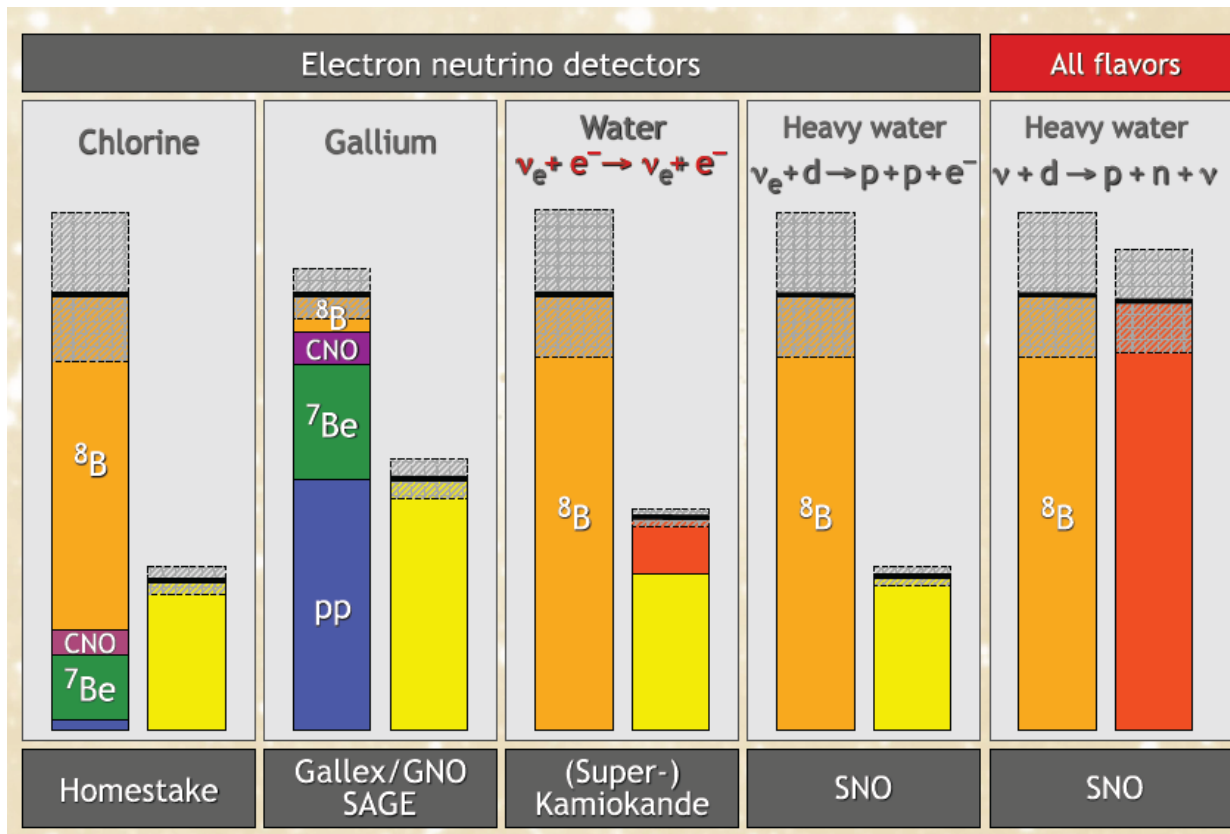
solar ν_e arrive to Earth as
 $1/3 \nu_e + 1/3 \nu_\mu + 1/3 \nu_\tau$

What we know about neutrinos



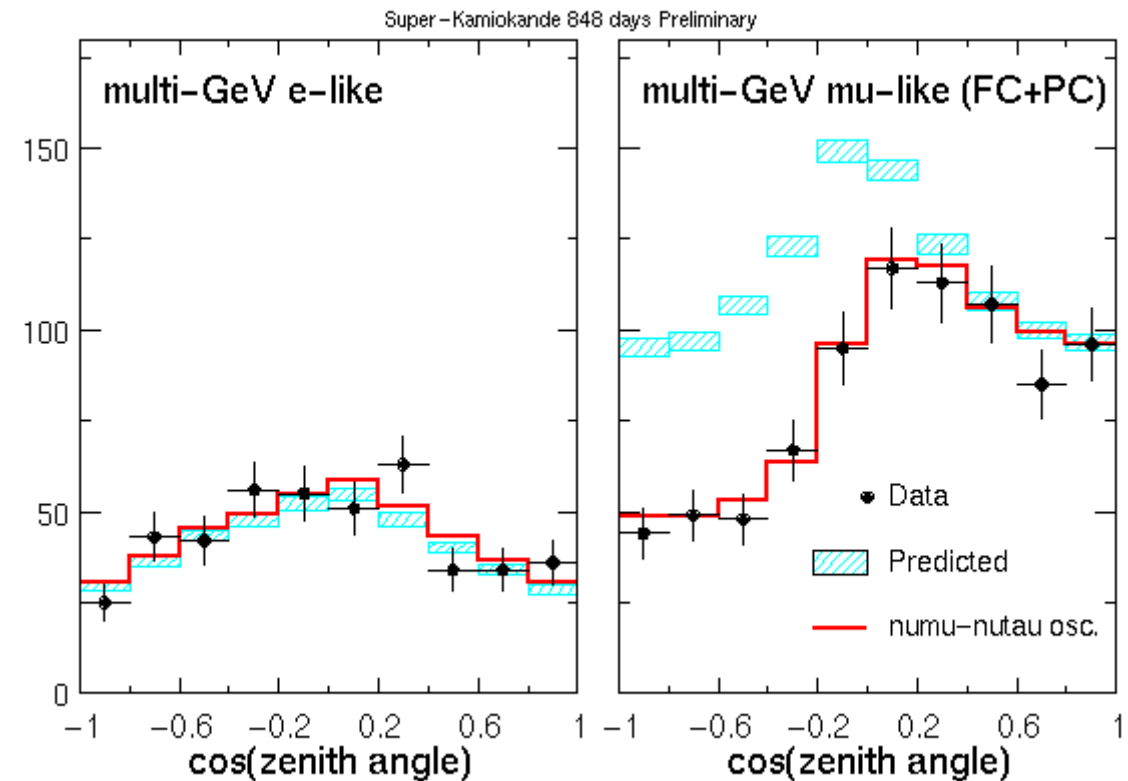
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Atmospheric ν anomaly



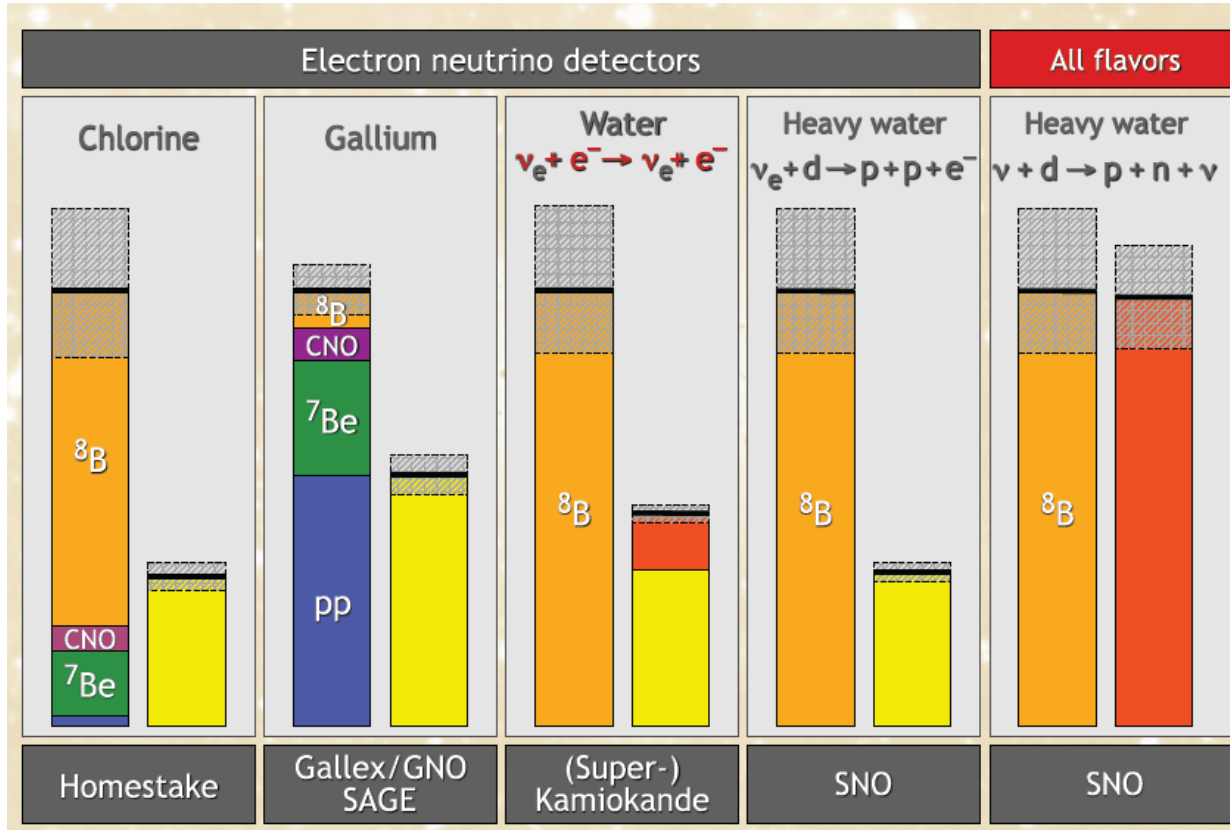
oscillation channel
 $\nu_\mu \rightarrow \nu_\tau$

What we know about neutrinos

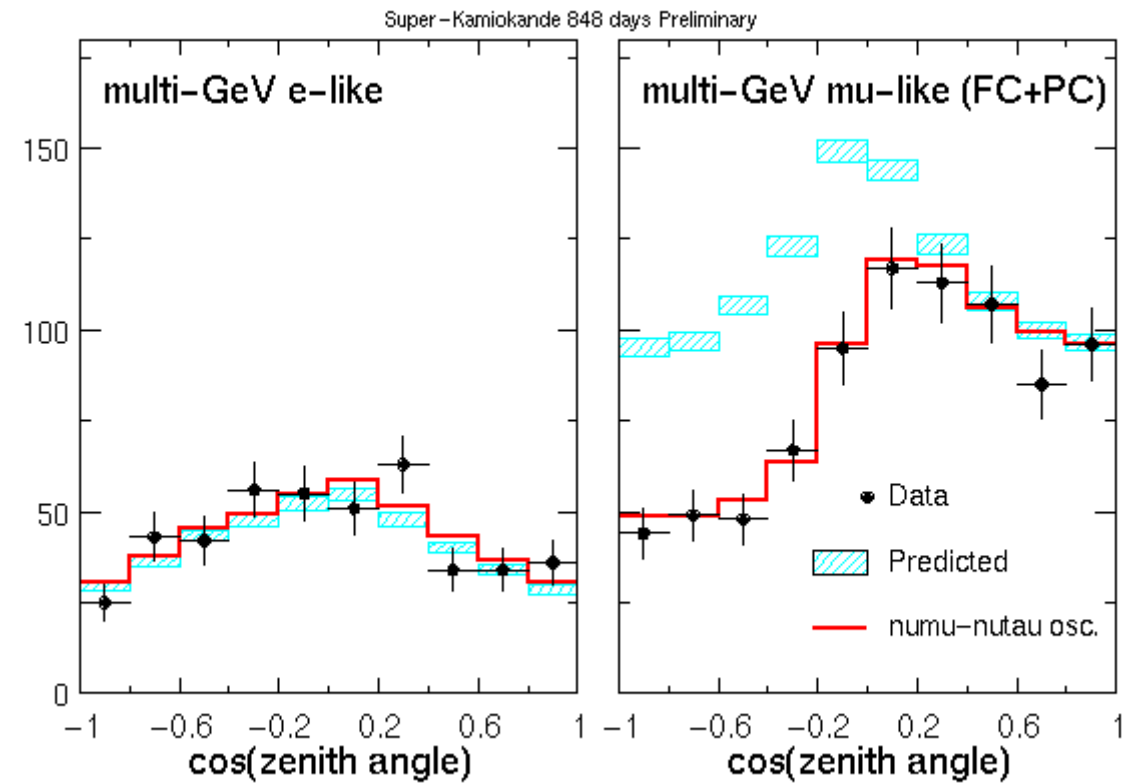


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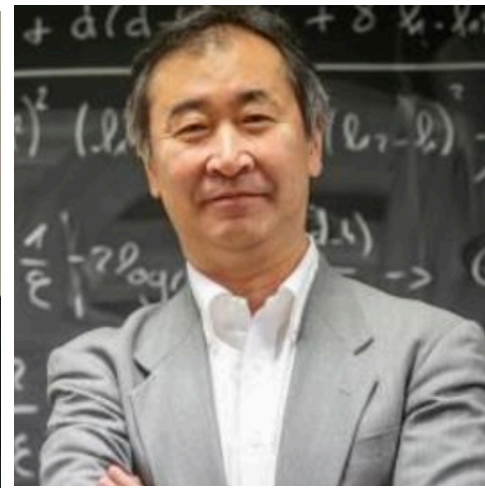
Solar ν anomaly



Atmospheric ν anomaly



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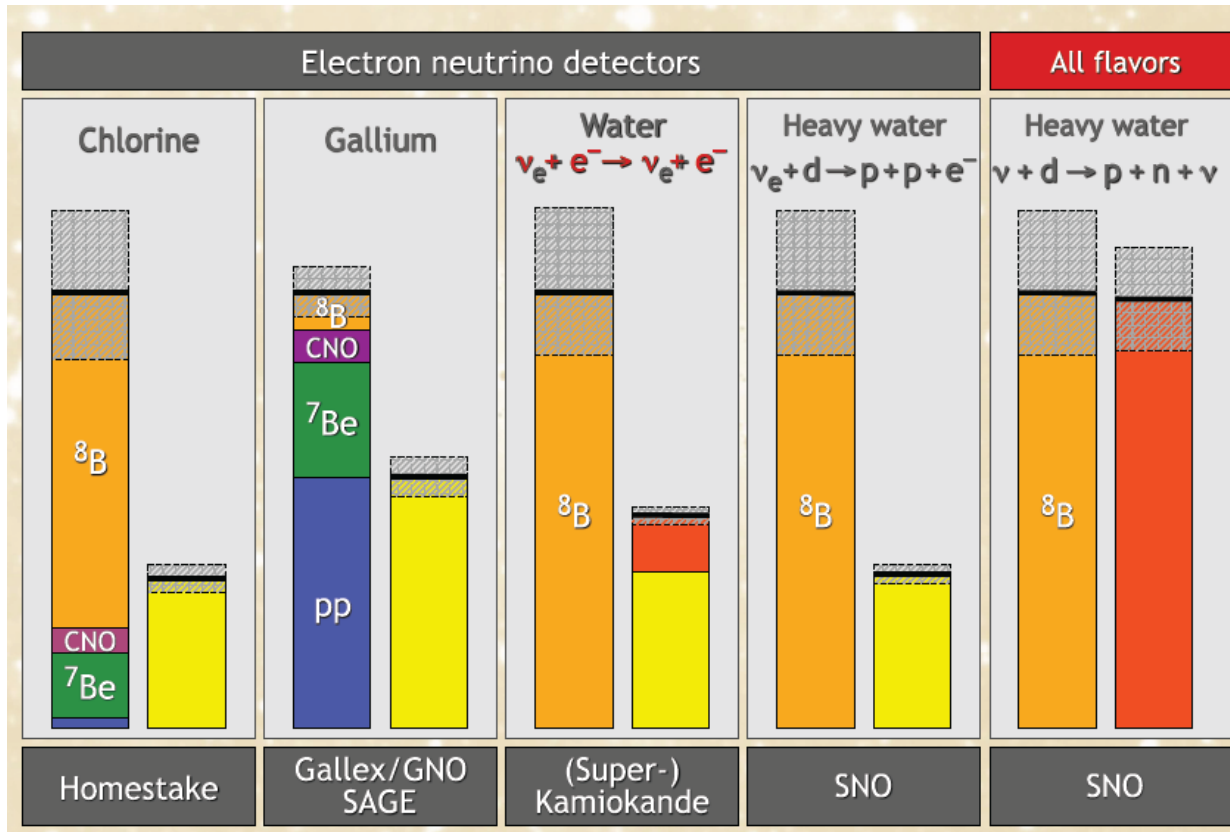
2015 Nobel Prize
 in Physics

What we know about neutrinos

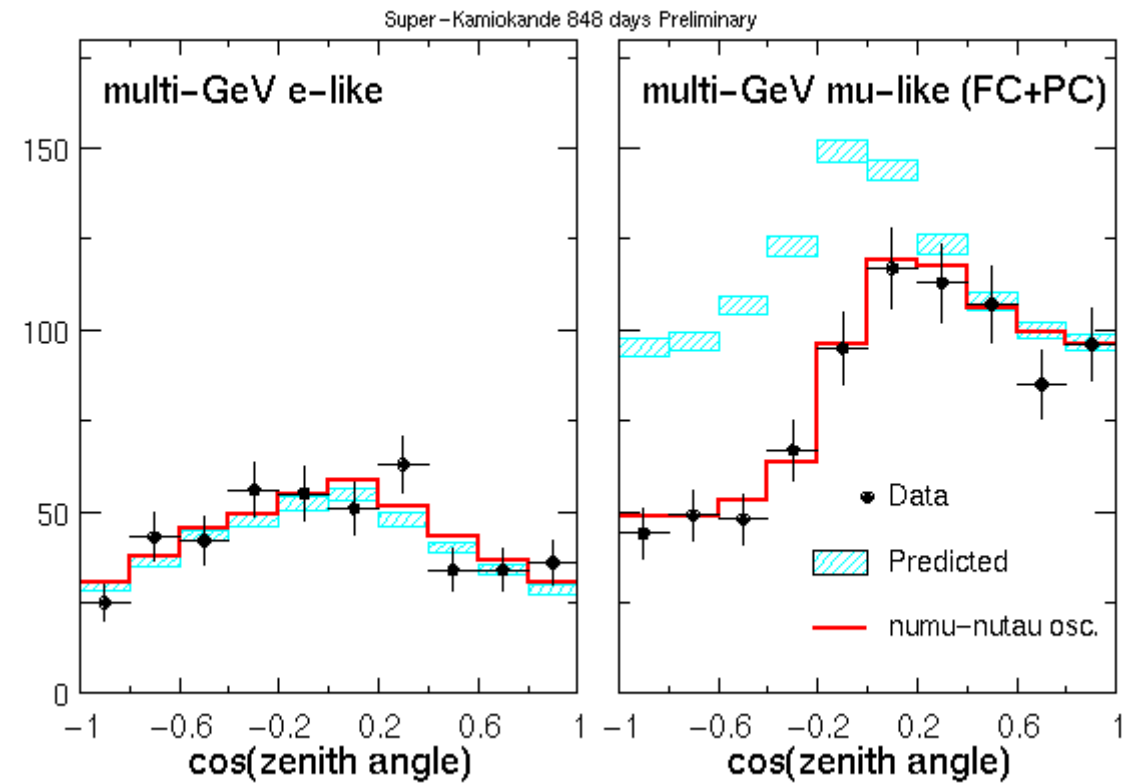


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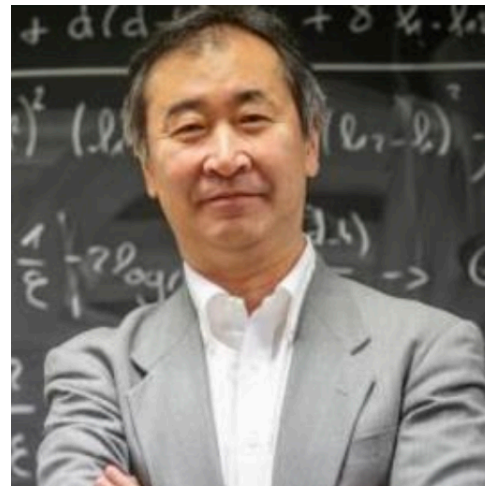
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solar ν_e arrive to Earth as
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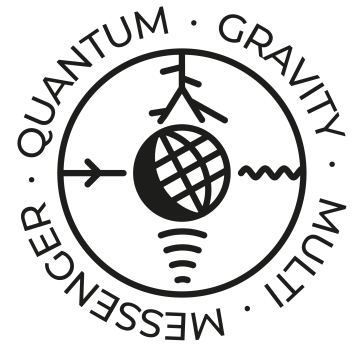
oscillation channel
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Confirmed by
 KamLAND !!

2015 Nobel Prize
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What we know about neutrinos

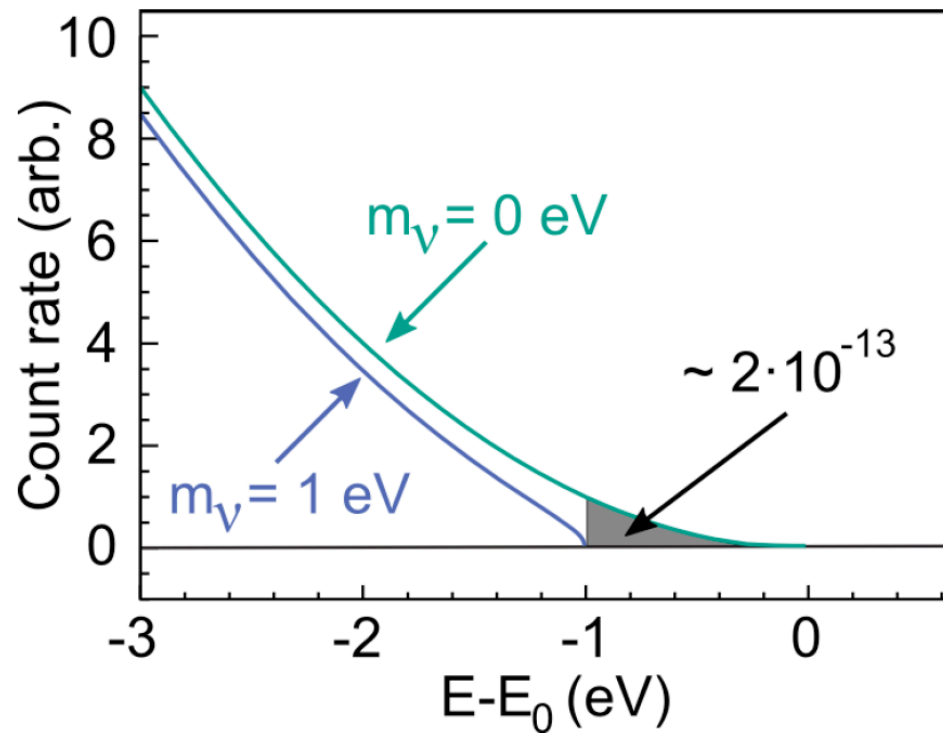


- ◆ Existence of flavour oscillations imply they are massive.
- ◆ Limits on neutrino masses:

What we know about neutrinos

- ◆ Existence of flavour oscillations imply they are massive.
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KATRIN (tritium decay)



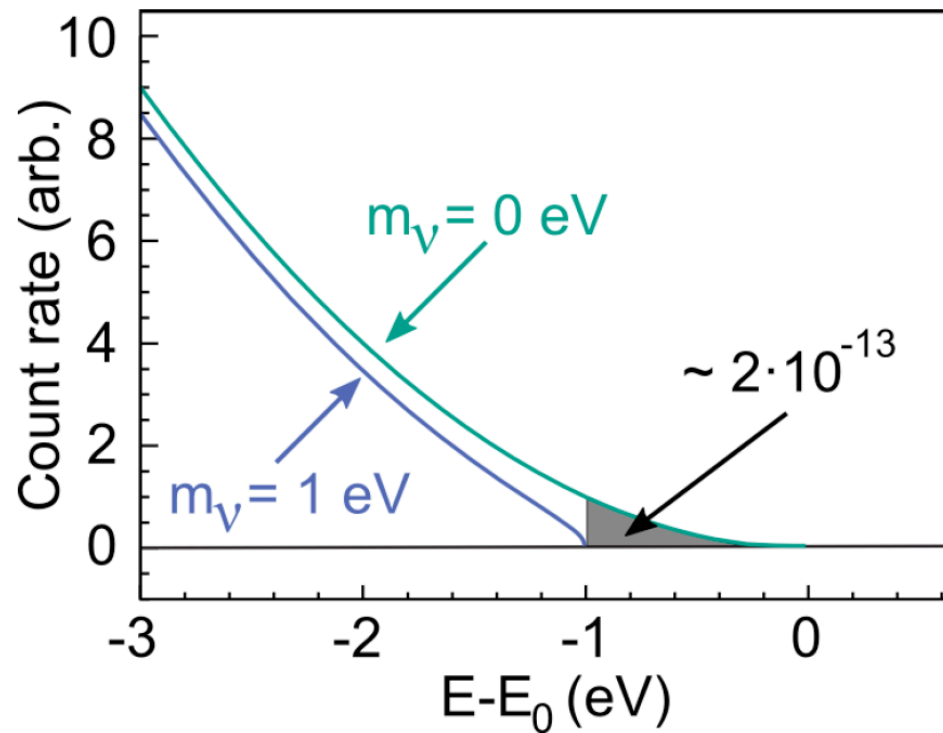
$m_\nu < 1.1 \text{ eV}$ (90% C.L.)

KATRIN Collab, arXiv:1909.06048

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Cosmological measurements

- anisotropies in the CMB spectrum
- Large Scale Structure formation
- weak gravitational lensing

Fit Λ CDM model + experimental data (WMAP, PLANCK, HST, LSS,...)

$$\Sigma m_{\nu i} < 0.14 - 0.72 \text{ eV (95\% C.L.)}$$

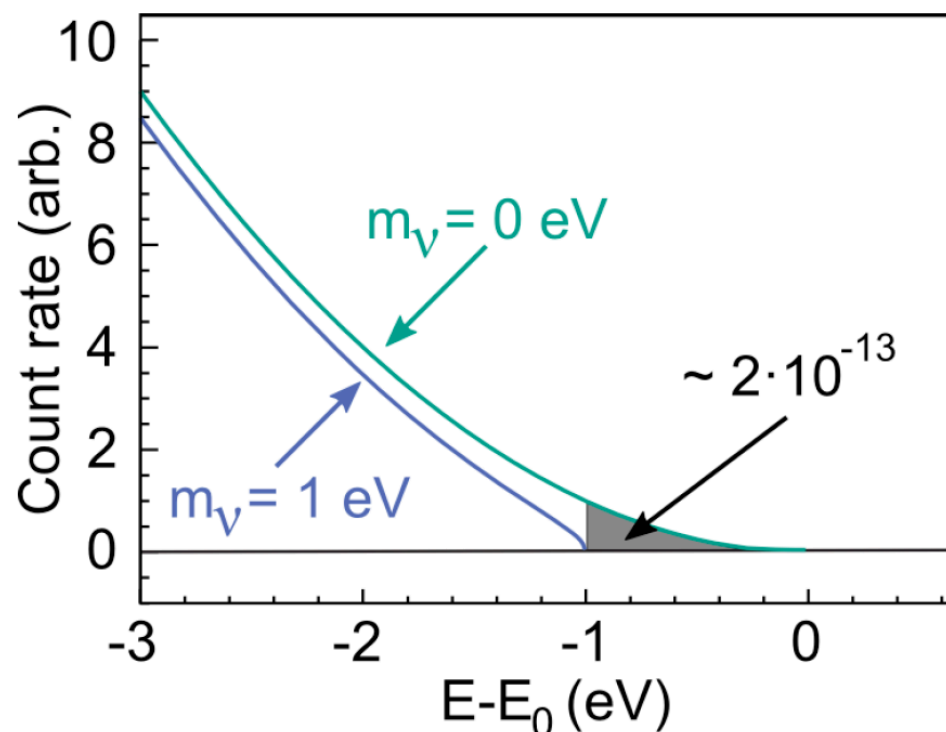
Lattanzi & Gerbino, arXiv:1712.07109

What we know about neutrinos

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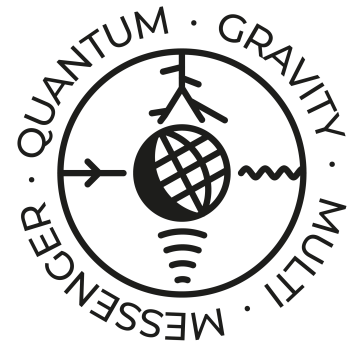
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$0\nu\beta\beta$ decay

[M. Manganaro, Neutrino Exp]
[S. Stoica WG discussion]



Three-flavour neutrino oscillations

The 3-flavour ν picture



neutrino mixing

$$U_{3 \times 3} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix} \begin{pmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{i\delta} & 0 & \cos \theta_{13} \end{pmatrix} \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

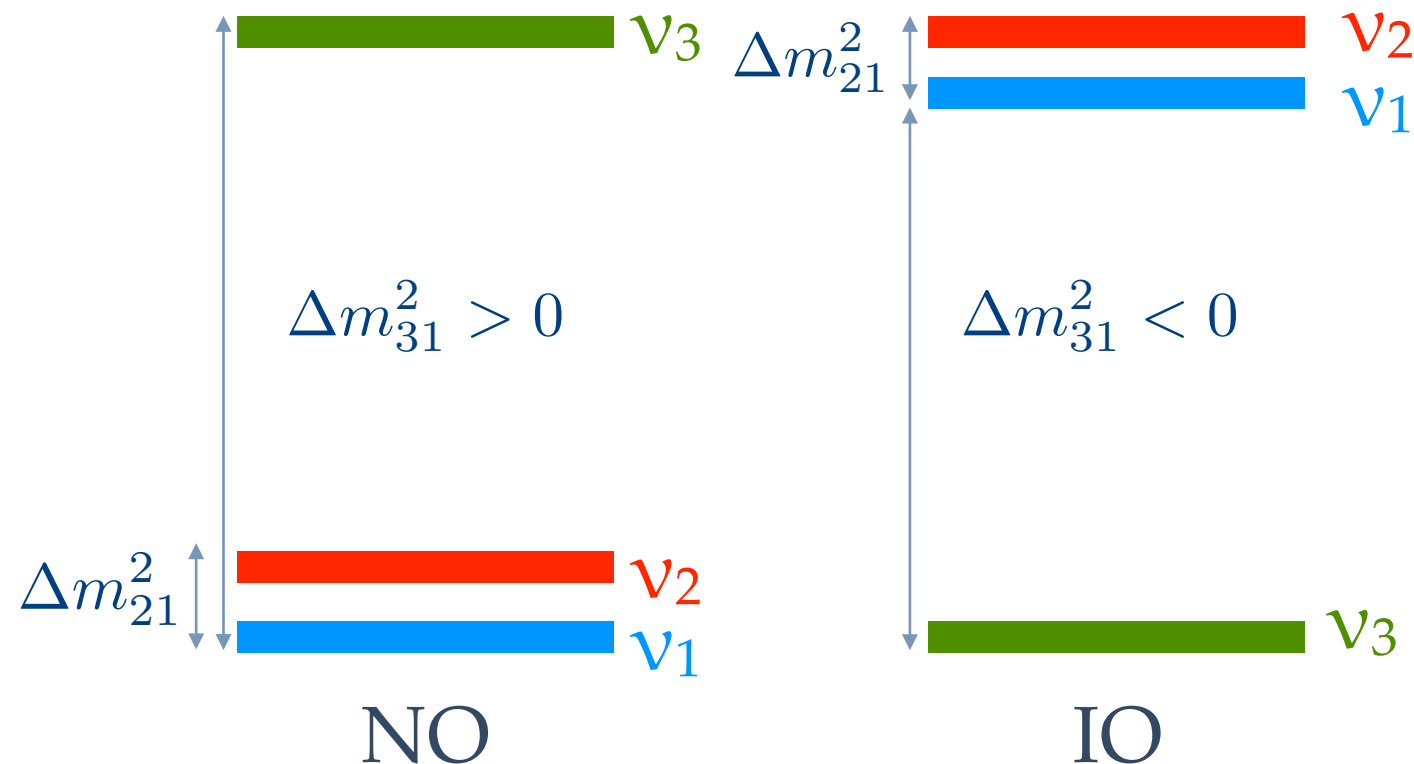
- ✓ 3 mixing angles: $\theta_{12}, \theta_{23}, \theta_{13}$
- ✓ 3 CP phases: 1 Dirac + 2 Majorana
- ✓ 3 masses: m_1, m_2, m_3

⇒ absolute neutrino mass: m_0

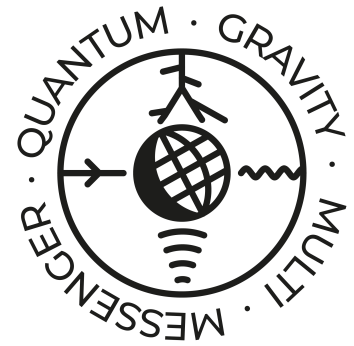
⇒ two mass splittings:

$$\Delta m_{21}^2, \Delta m_{31}^2$$

neutrino mass spectrum



Three-neutrino mixing



◆ Currently, we have evidence for neutrino oscillations in atmospheric, solar, reactor and accelerator experiments

◆ Each experiment is sensitive to different mixing parameters:

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atmospheric +
accelerator disapp

$$\Delta m^2_{31}$$

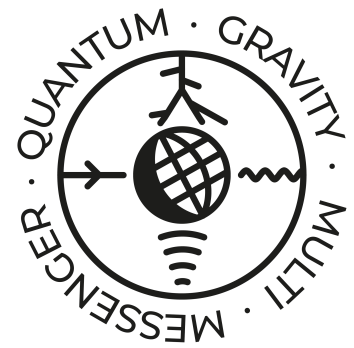
SBL reactor +
accelerator app

$$\Delta m^2_{31}$$

solar +
KamLAND

$$\Delta m^2_{21}$$

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atmospheric +
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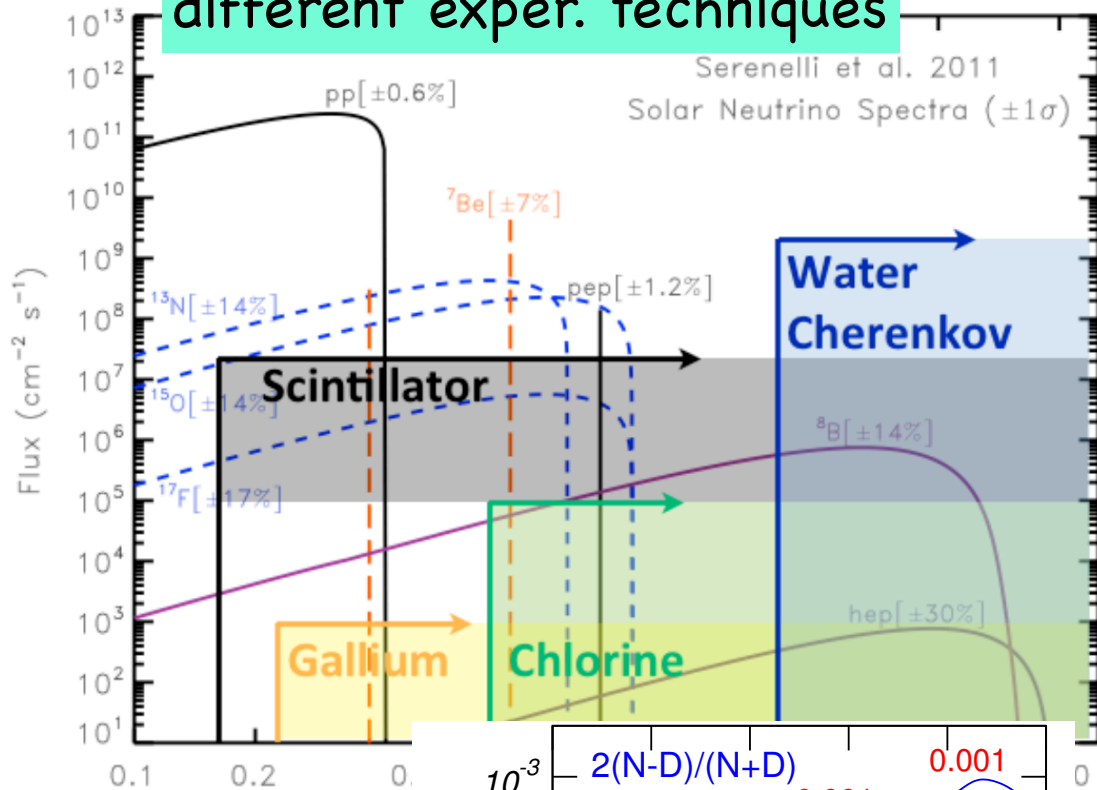
Δm^2_{31}
 Δm^2_{31}
 Δm^2_{21}

The solar neutrino sector

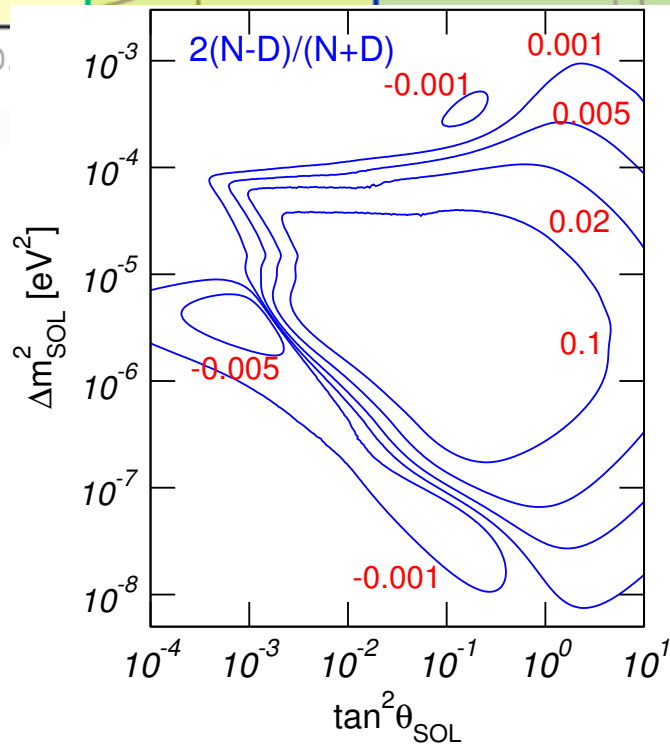


Solar experiments have measured neutrino disappearance for ~ 50 years

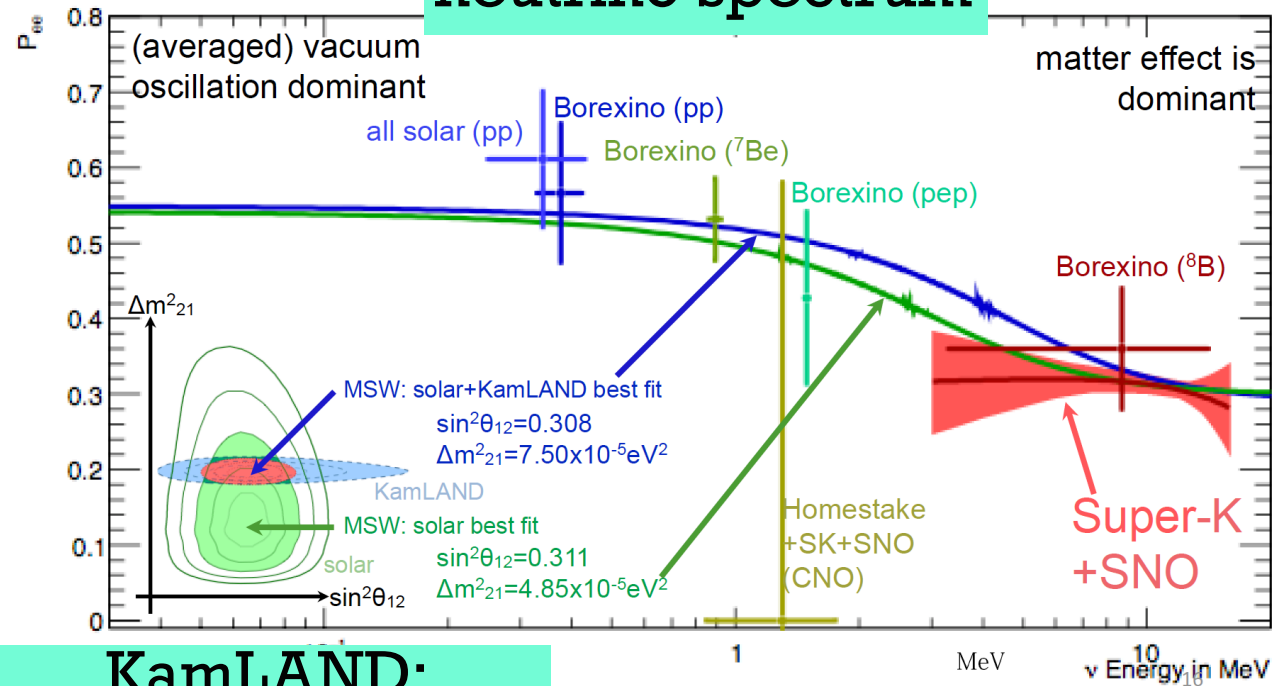
different exper. techniques



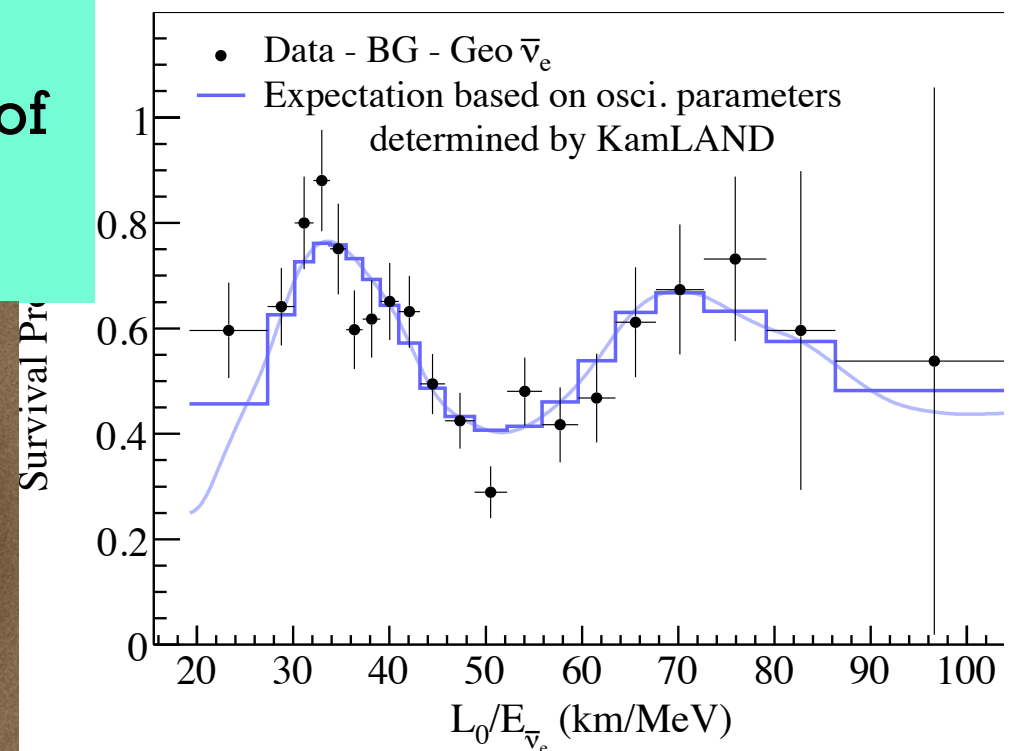
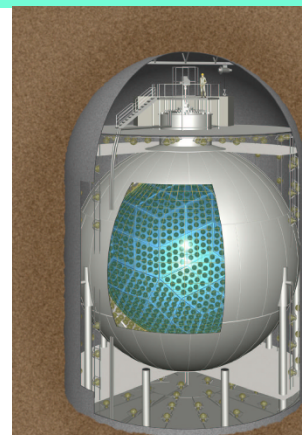
day/night asymmetry



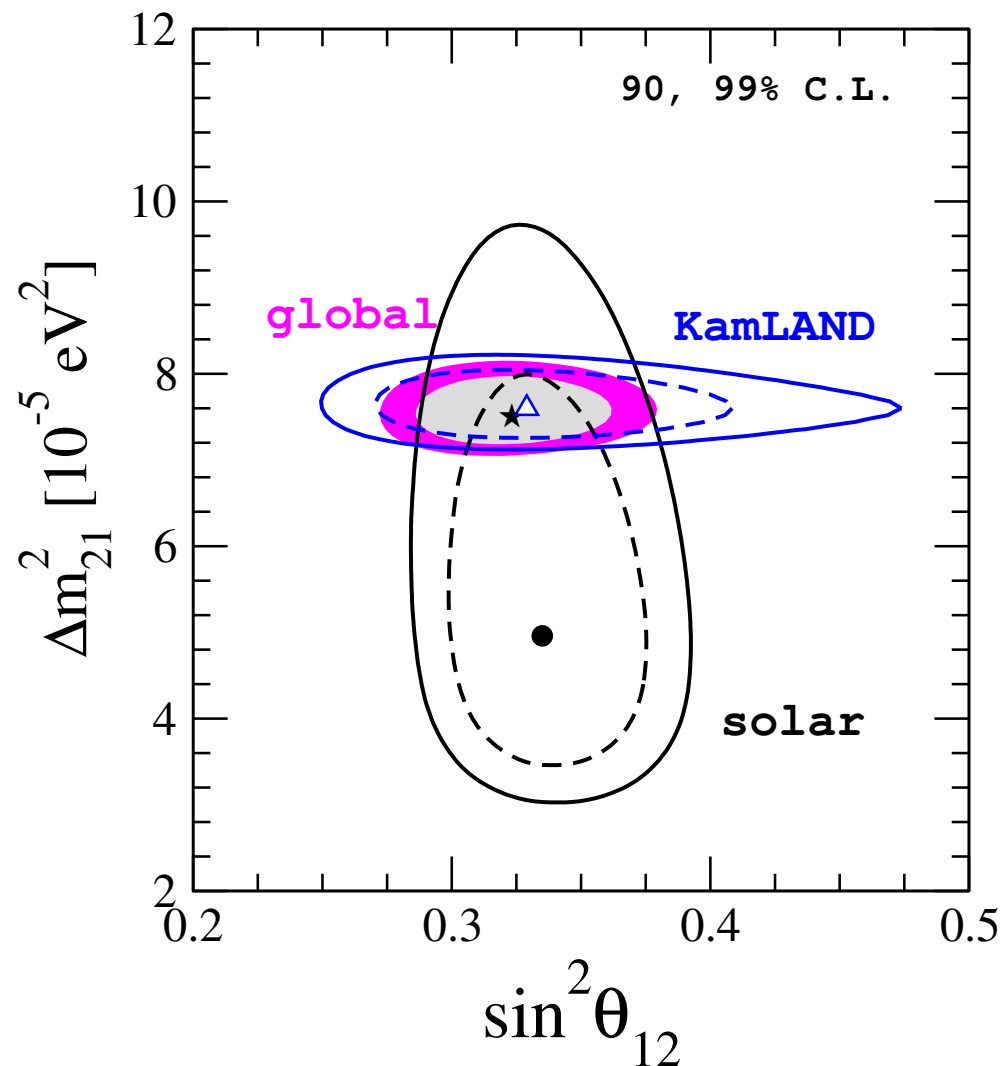
neutrino spectrum



KamLAND:
precise measurement of oscillation frequency



Combined analysis solar + KamLAND



◆ KamLAND confirms solar ν oscillations.

◆ Best fit point:

$$\sin^2\theta_{12} = 0.321^{+0.018}_{-0.016}$$

$$\Delta m^2_{21} = (7.56 \pm 0.19) \times 10^{-5} \text{ eV}^2$$

◆ max. mixing excluded at more than 7σ

➔ Bound on θ_{12} dominated by solar data.

➔ Bound on Δm^2_{21} dominated by KamLAND.

➔ mismatch between Δm^2_{21} from solar and KamLAND

de Salas et al,
PLB782 (2018) 633

Three-neutrino mixing



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- ◆ Each experiment is sensitive to different mixing parameters:

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atmospheric +
accelerator disapp

Δm^2_{31}

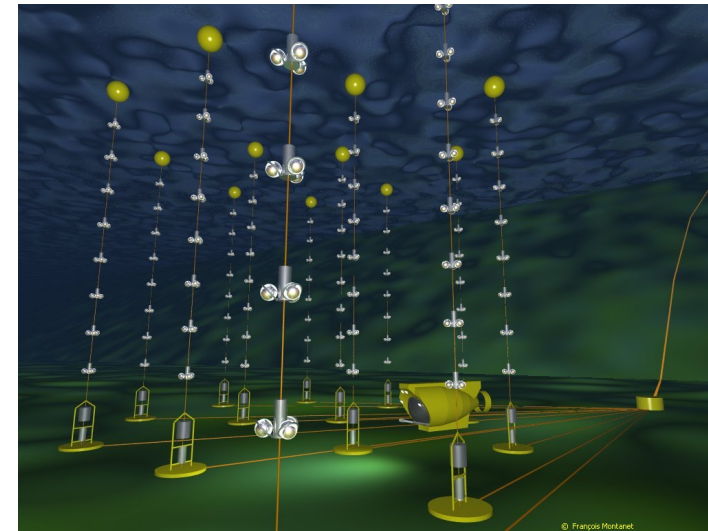
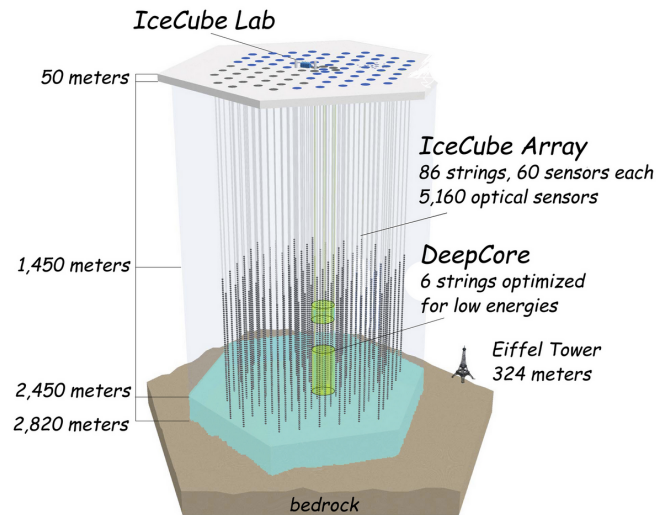
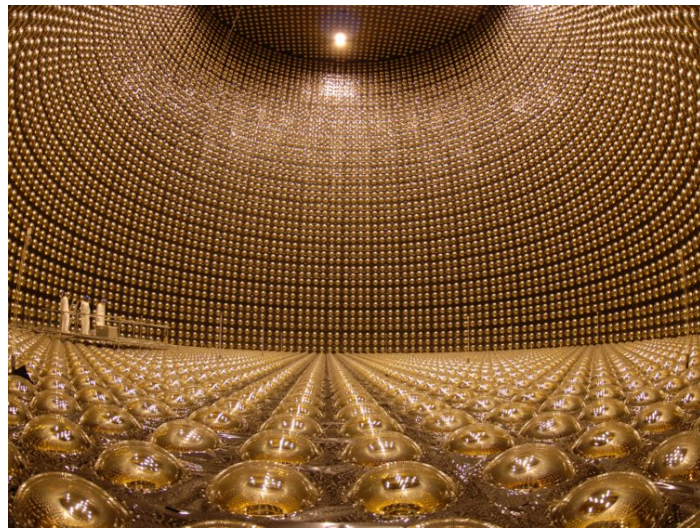
SBL reactor +
accelerator app

Δm^2_{31}

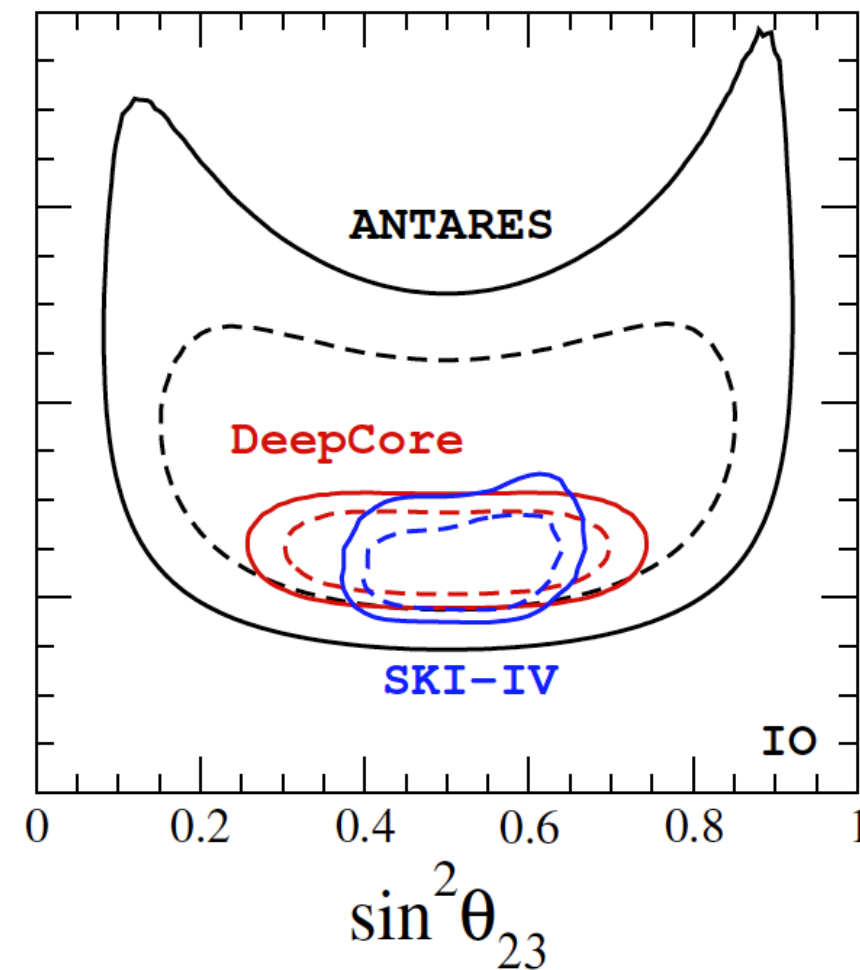
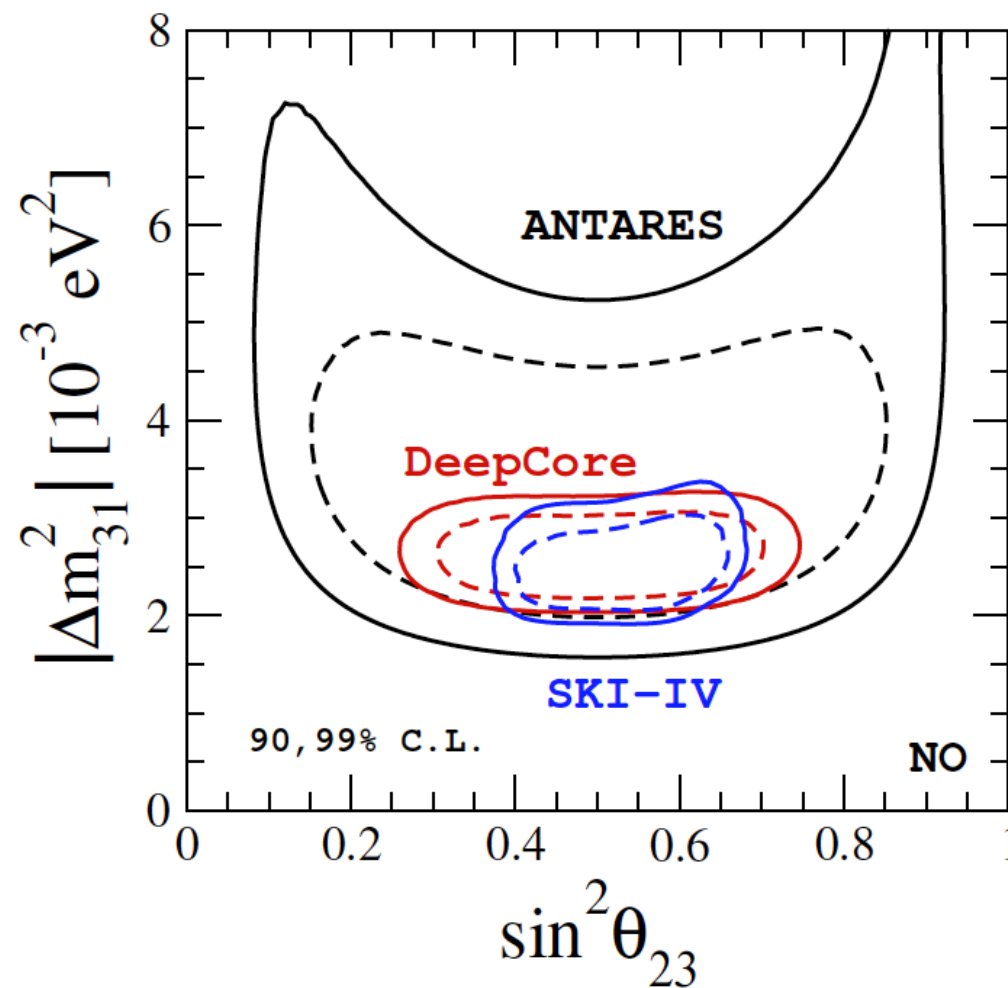
solar +
KamLAND

Δm^2_{21}

Atmospheric experiments



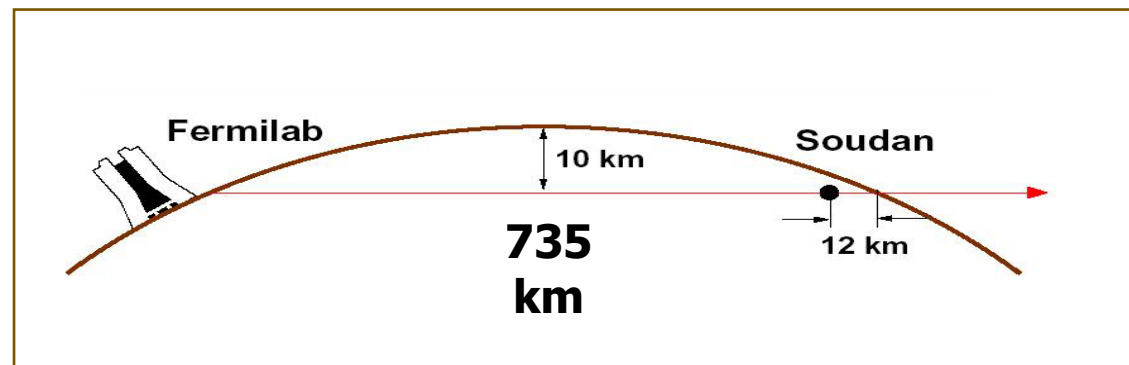
de Salas et al, PLB782 (2018) 633



LBL accelerator experiments

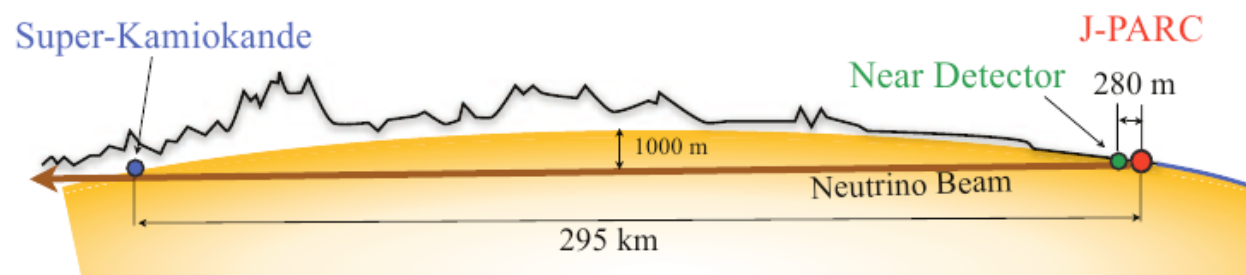


MINOS



Feb2005 - Jun2016

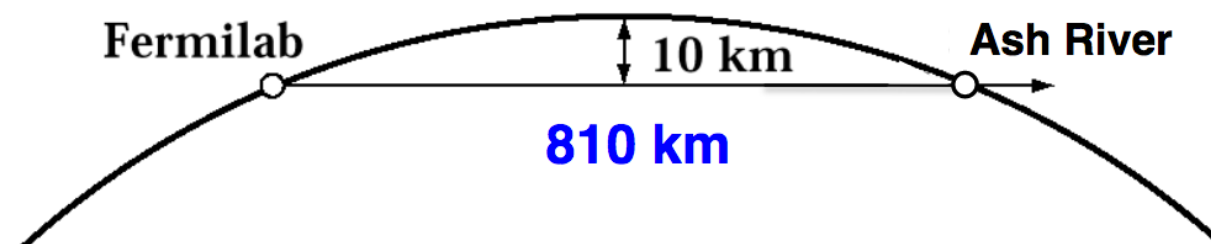
T2K



From Jan2010

now running in
antineutrino channel

NOvA



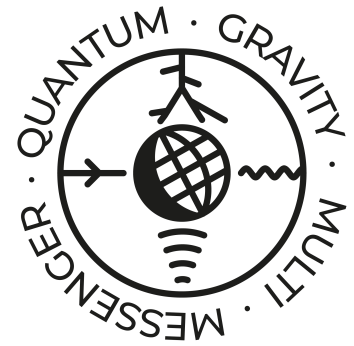
From Oct2014

now running in
antineutrino channel

GOAL: observation of ν_μ disappearance, ν_e appearance and spectral distortions expected in the case of neutrino oscillations

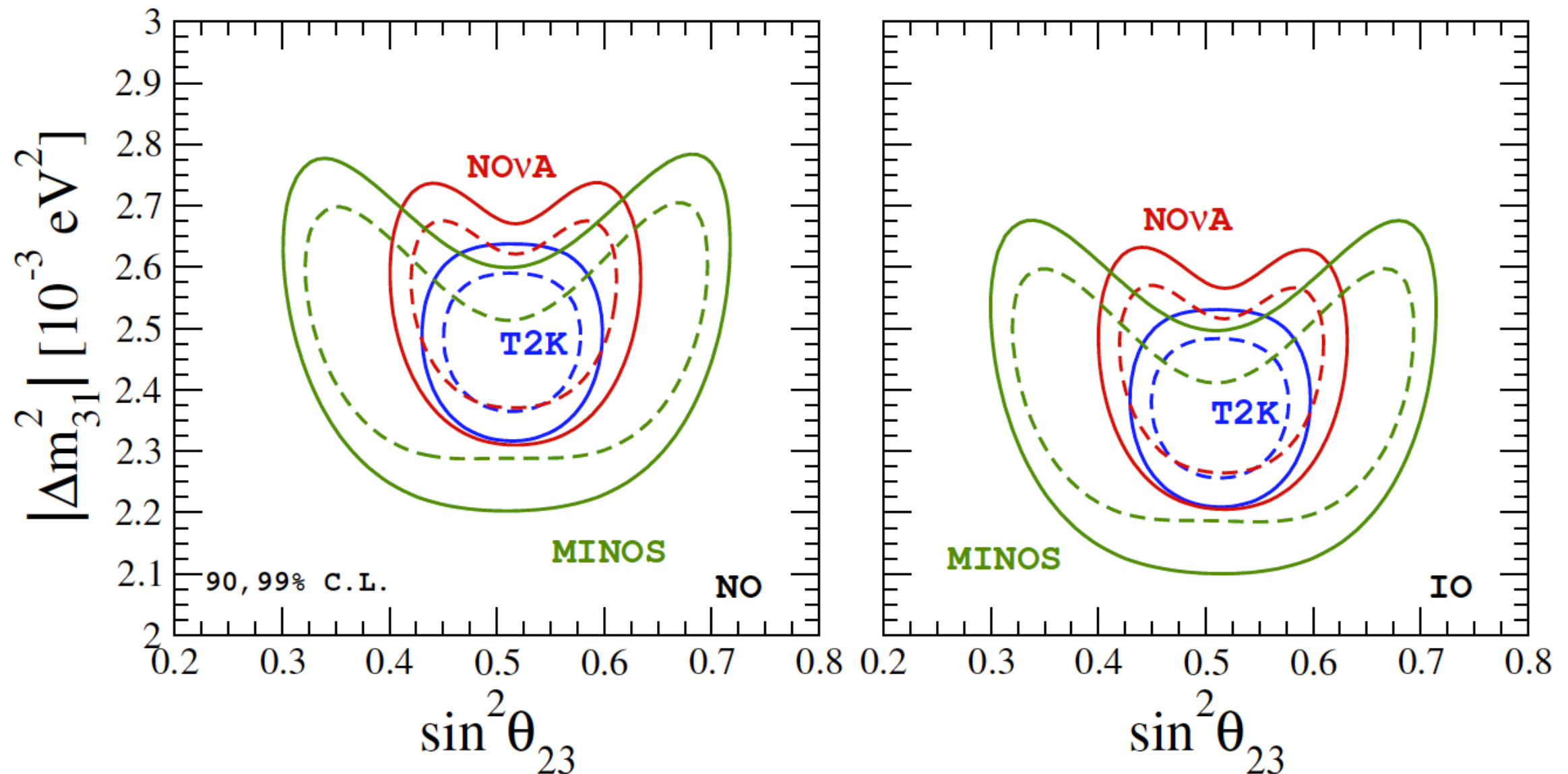
- consistent with atmospheric data
- atm ν oscillations confirmed by laboratory exps

LBL accelerator experiments



- ◆ MINOS (neutrino + antineutrino)
- ◆ T2K (neutrino + antineutrino)
- ◆ NOvA (only neutrino data)

Update in progress

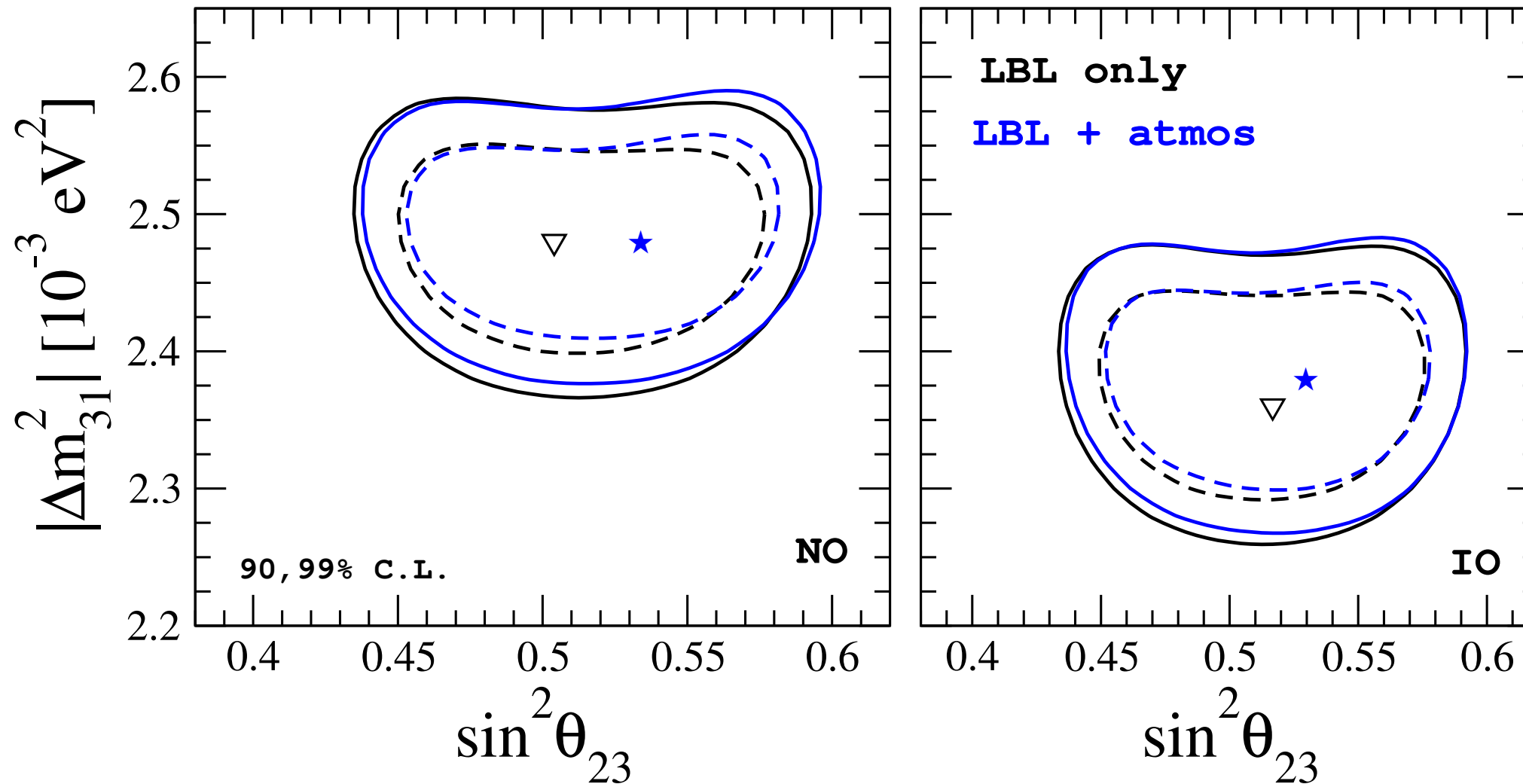


all experiments prefer mixing angle close to maximal

Atmospheric parameters



Combined analysis atmospheric + accelerator data



atmospheric parameters are mostly constrained by LBL data

de Salas et al, PLB 782 (2018) 633

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atmospheric +
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Δm^2_{31}

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solar +
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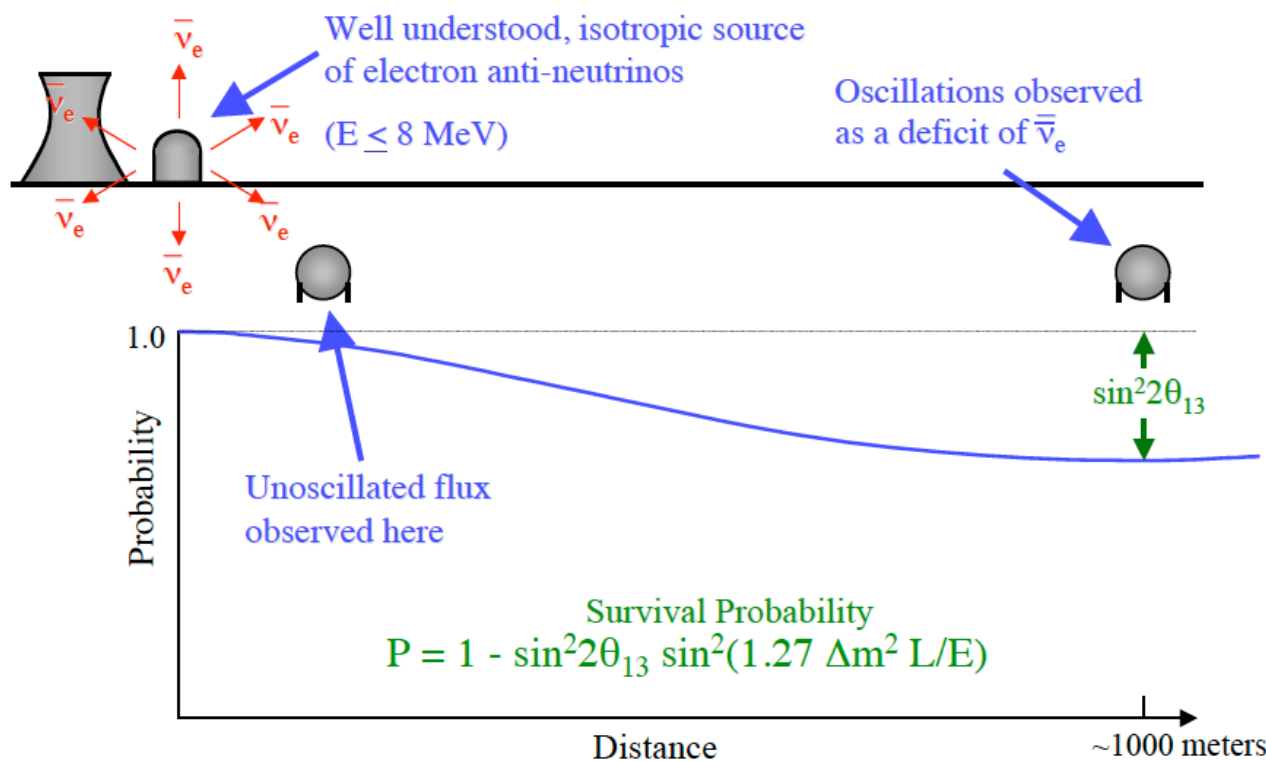
Δm^2_{21}

Most recent measurement: 2012 !!

New generation of reactor experiments



6 cores + 4 ND + 4FD 2 cores + 1 ND + 1 FD 6 cores + 1 ND + 1 FD

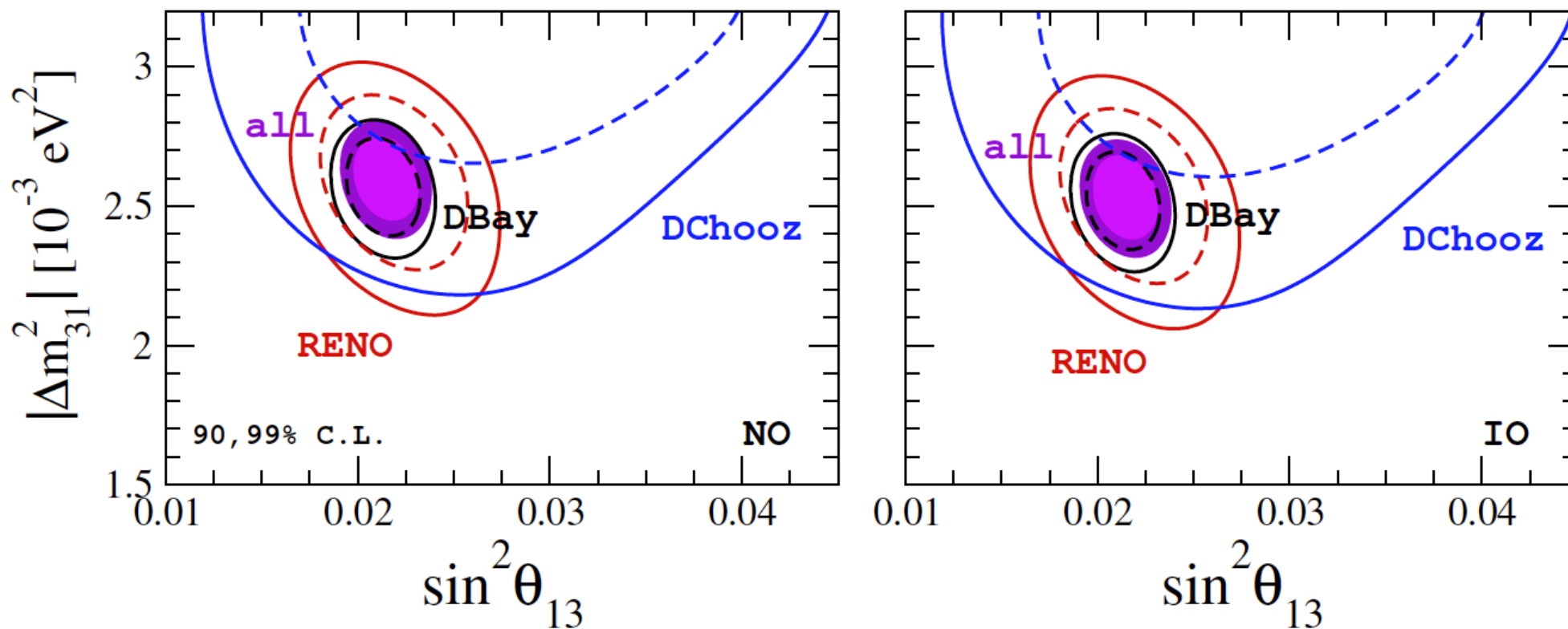


- ◆ more powerful reactors
- ◆ larger detector volume
- ◆ 2-8 detectors at 100 m – 1 km

New generation of reactor experiments



de Salas et al, PLB 782 (2018)



Precision dominated by Daya Bay

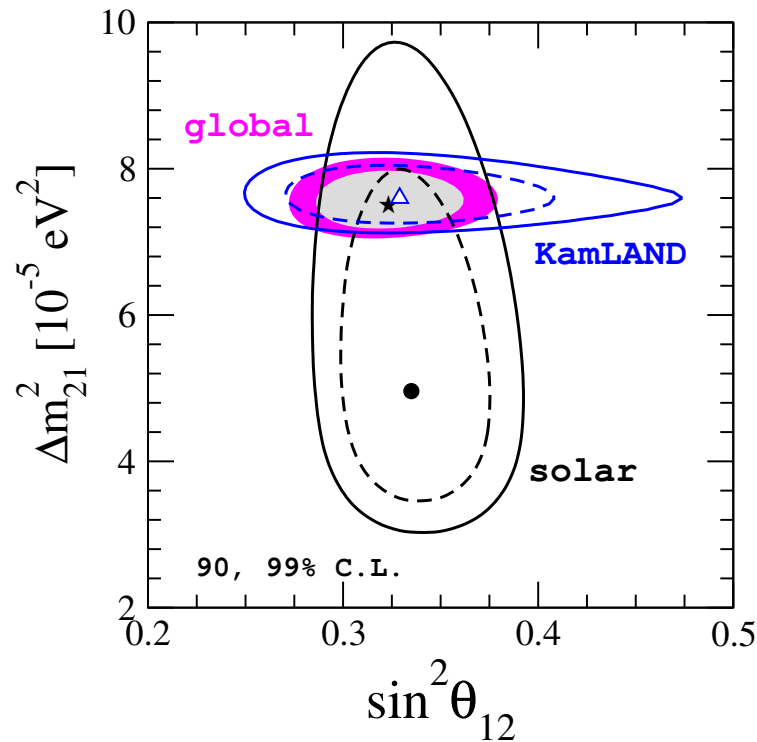
What we know about neutrinos



de Salas et al, PLB782 (2018) 633

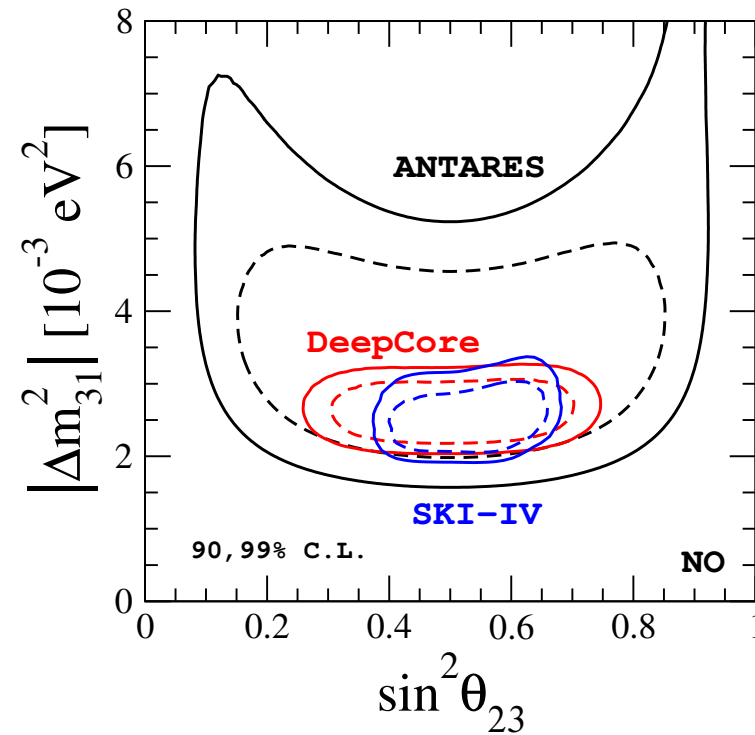
solar sector

Cl, Ga, SK
SNO, Borexino
KamLAND



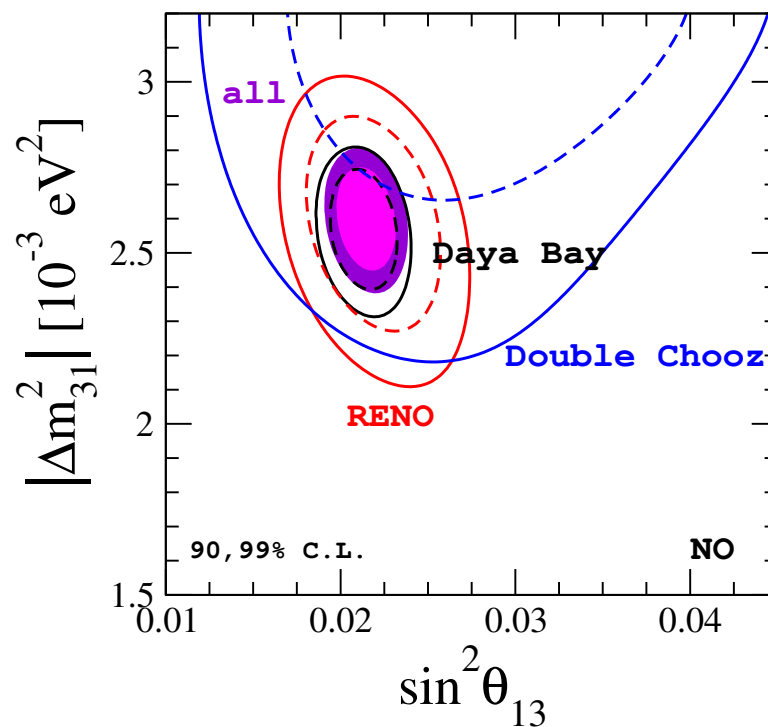
atmospheric results

SK (official χ^2 maps)
IC-DeepCore
ANTARES



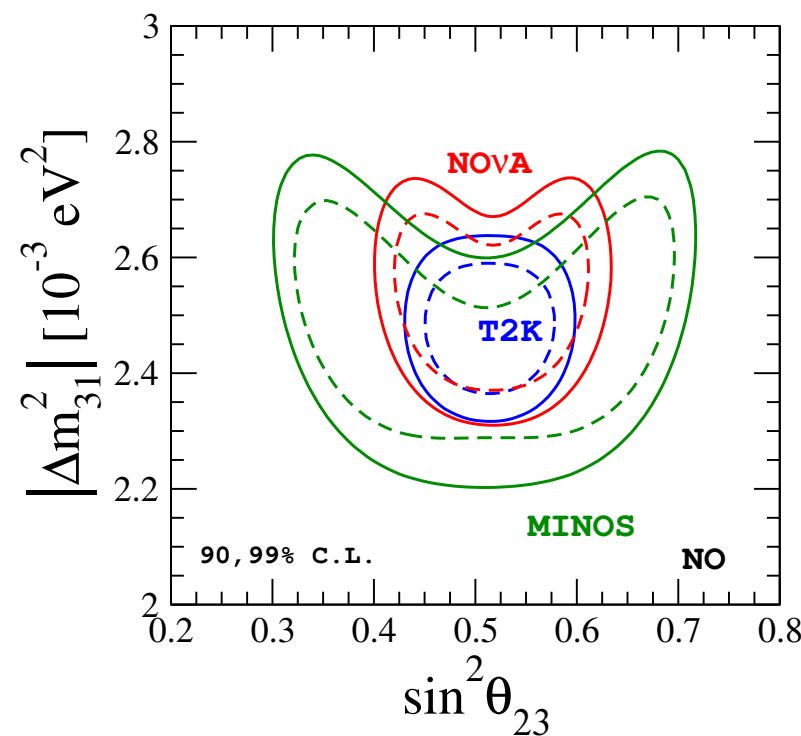
SBL reactors

Daya Bay
RENO
Double Chooz



LBL experiments

MINOS
T2K
NOvA



Neutrino oscillation parameters



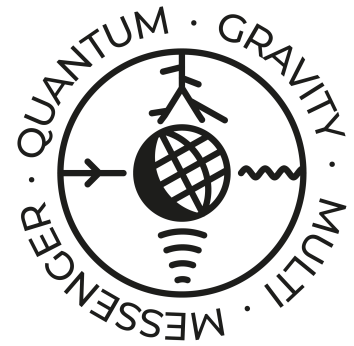
de Salas et al, PLB782 (2018) 633

parameter	best fit $\pm 1\sigma$	3σ range	
Δm_{21}^2 [10^{-5}eV^2]	$7.55^{+0.20}_{-0.16}$	7.05–8.14	2.4%
$ \Delta m_{31}^2 $ [10^{-3}eV^2] (NO)	2.50 ± 0.03	2.41–2.60	1.3%
$ \Delta m_{31}^2 $ [10^{-3}eV^2] (IO)	$2.42^{+0.03}_{-0.04}$	2.31–2.51	
$\sin^2 \theta_{12}/10^{-1}$	$3.20^{+0.20}_{-0.16}$	2.73–3.79	5.5%
$\sin^2 \theta_{23}/10^{-1}$ (NO)	$5.47^{+0.20}_{-0.30}$	4.45–5.99	4.7%
$\sin^2 \theta_{23}/10^{-1}$ (IO)	$5.51^{+0.18}_{-0.30}$	4.53–5.98	4.4%
$\sin^2 \theta_{13}/10^{-2}$ (NO)	$2.160^{+0.083}_{-0.069}$	1.96–2.41	3.5%
$\sin^2 \theta_{13}/10^{-2}$ (IO)	$2.220^{+0.074}_{-0.076}$	1.99–2.44	
δ/π (NO)	$1.32^{+0.21}_{-0.15}$	0.87–1.94	10%
δ/π (IO)	$1.56^{+0.13}_{-0.15}$	1.12–1.94	9%

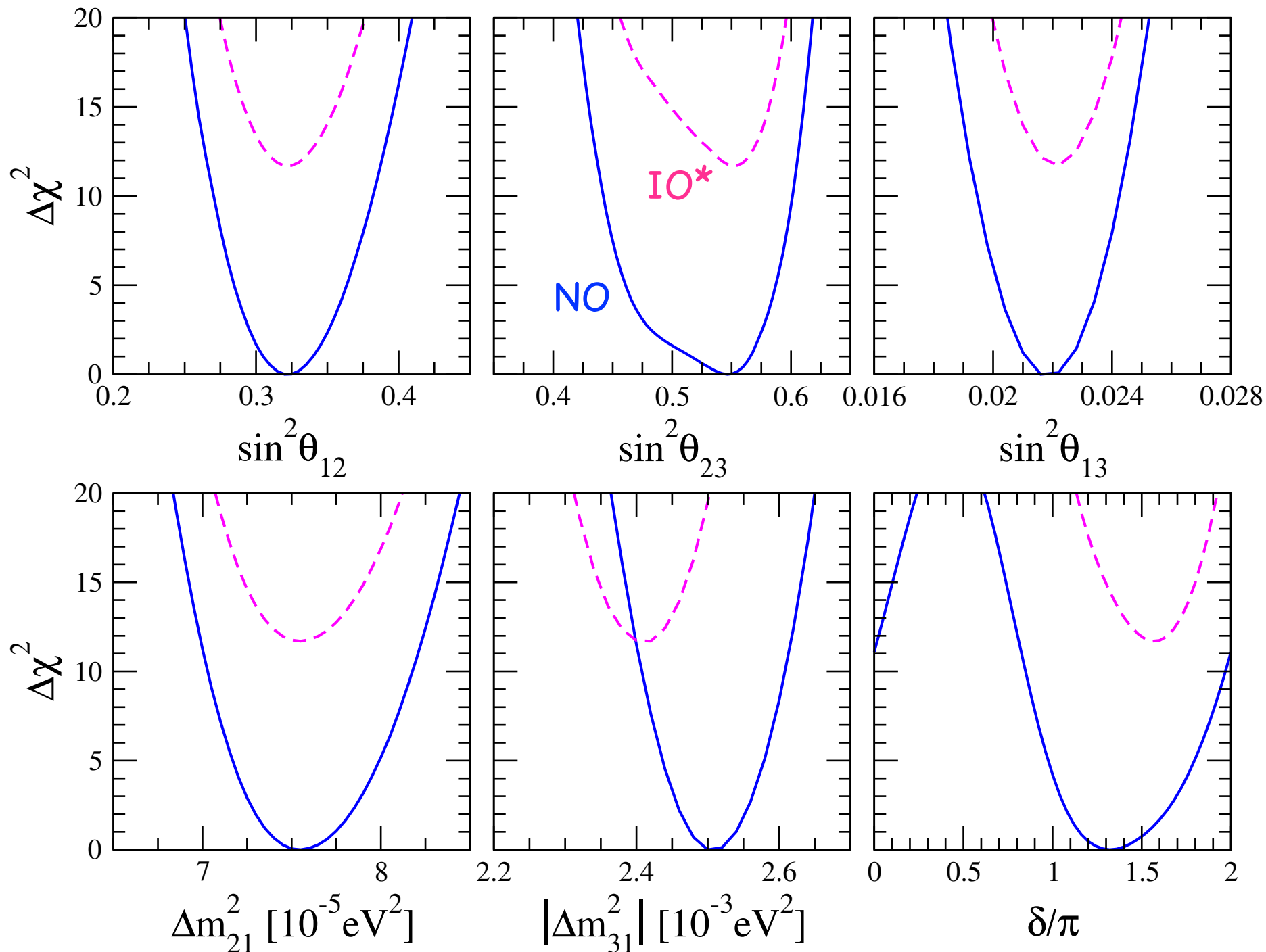
<https://globalfit.astroparticles.es/>

relative 1σ uncertainty

Neutrino oscillation parameters



de Salas et al, PLB782 (2018) 633

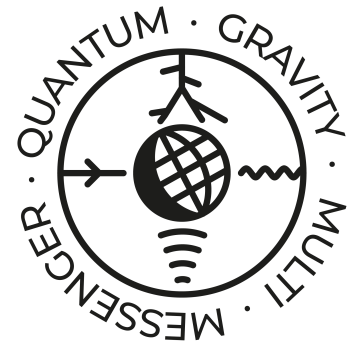


See also

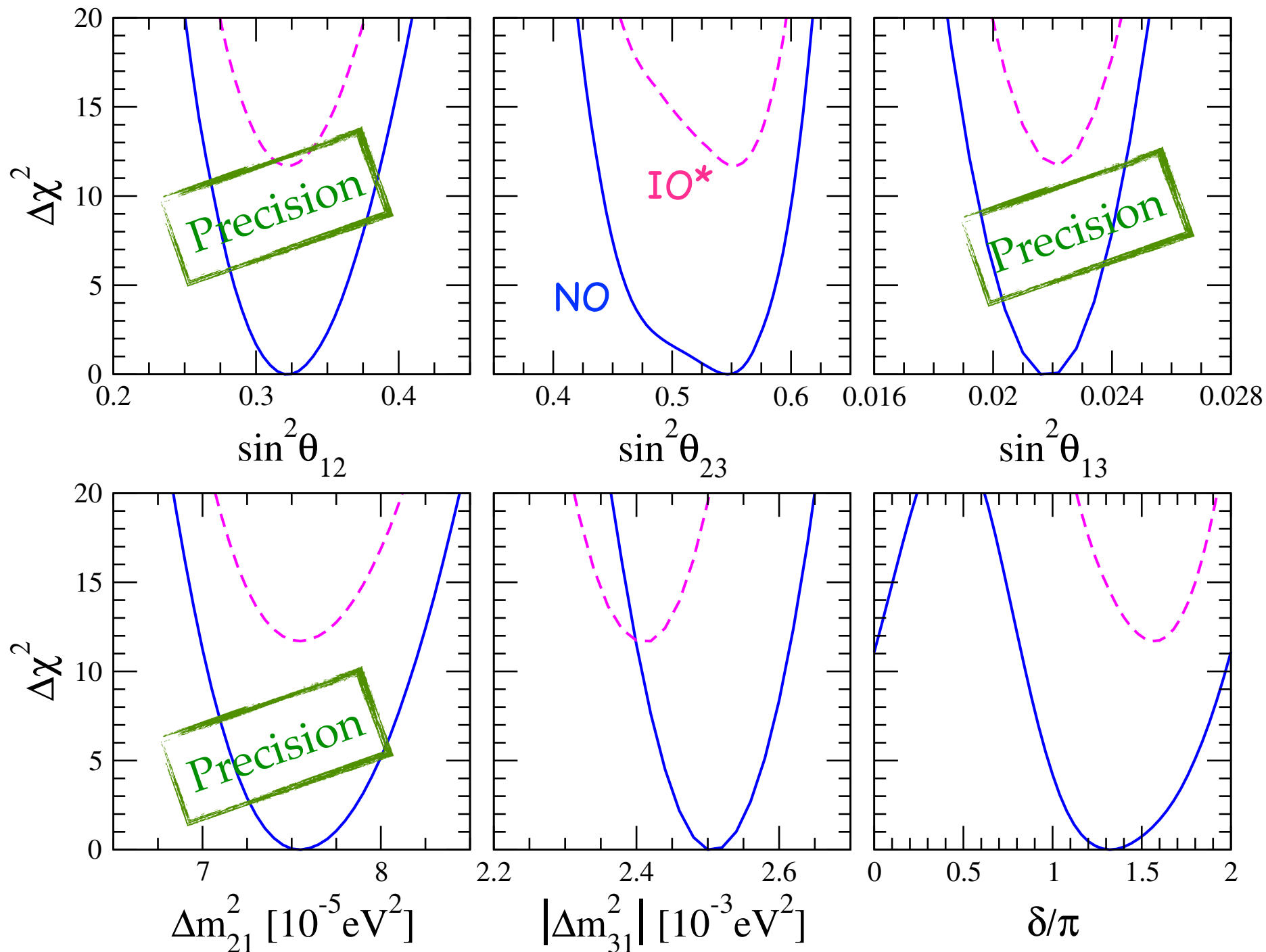
◆ NuFit Collab.

◆ Lisi et al.

Neutrino oscillation parameters



de Salas et al, PLB782 (2018) 633

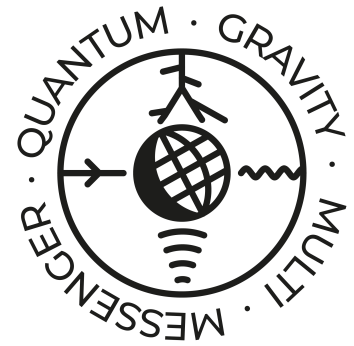


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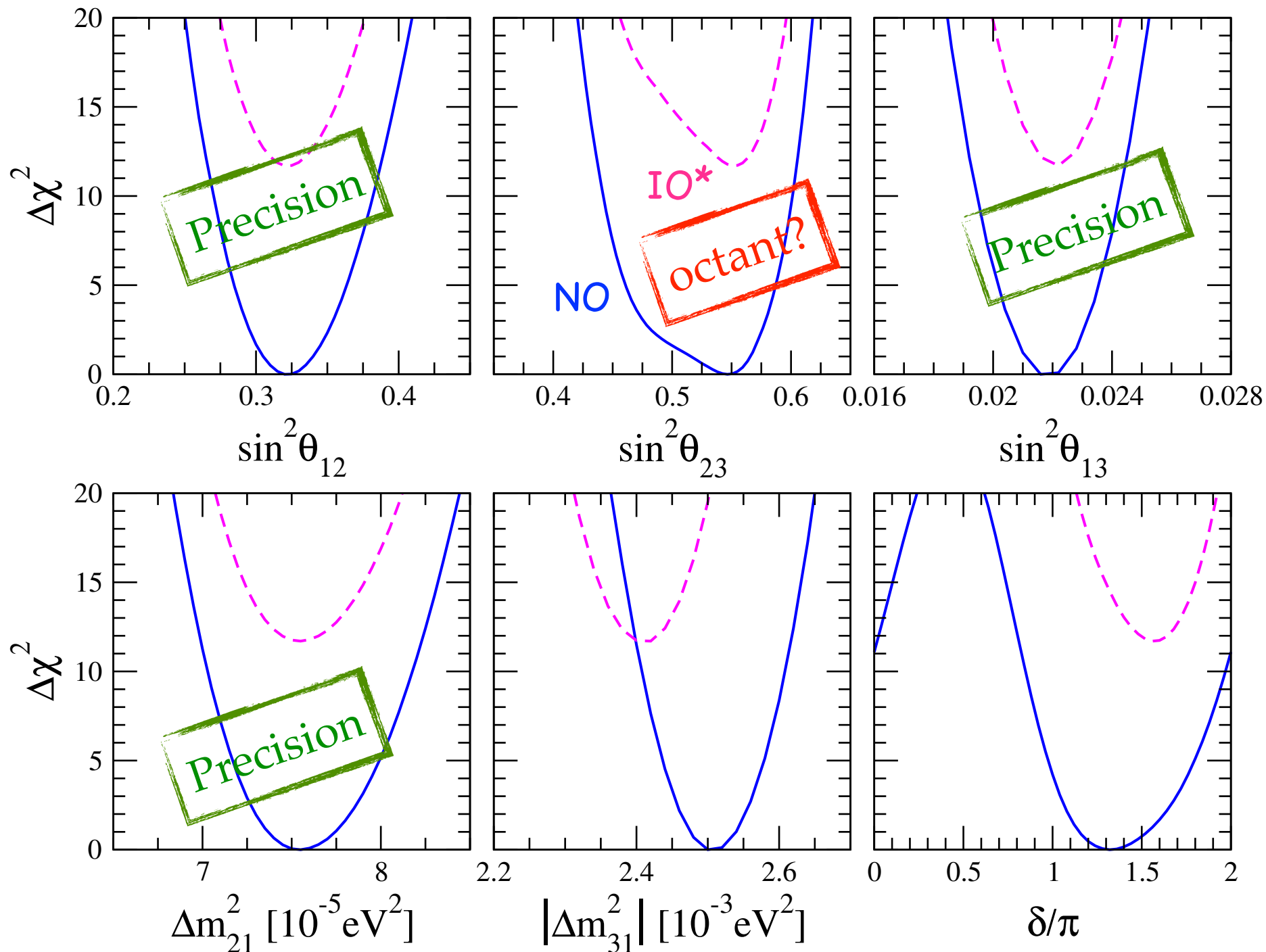
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Neutrino oscillation parameters



de Salas et al, PLB782 (2018) 633

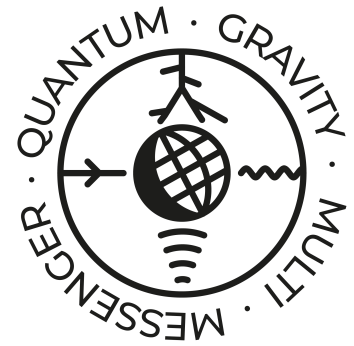


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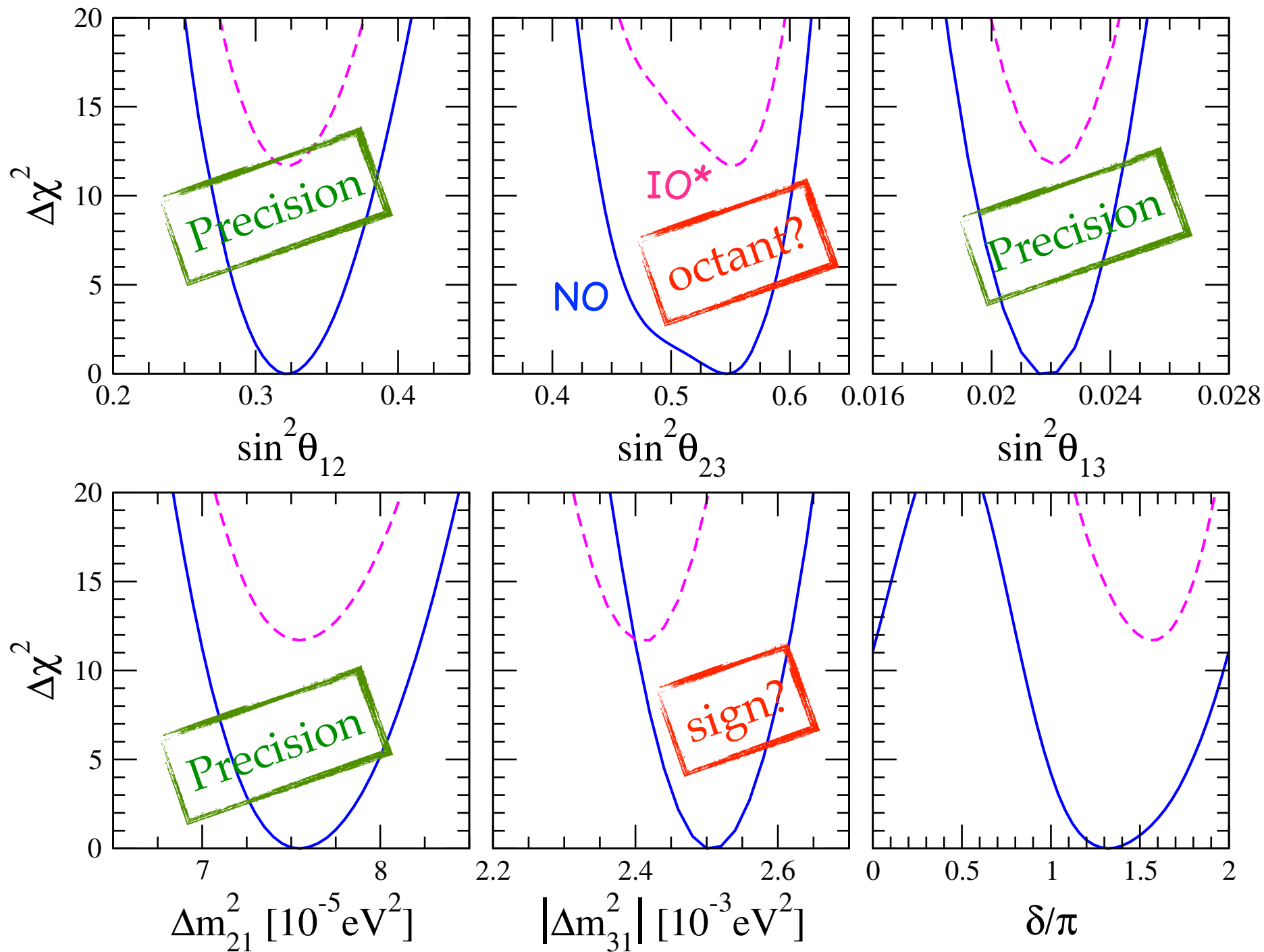
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Neutrino oscillation parameters



de Salas et al, PLB782 (2018) 633

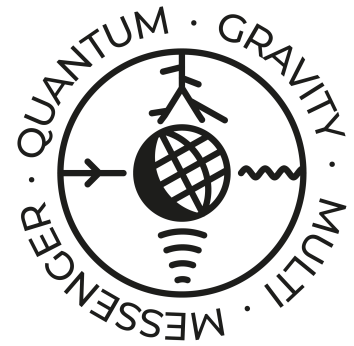


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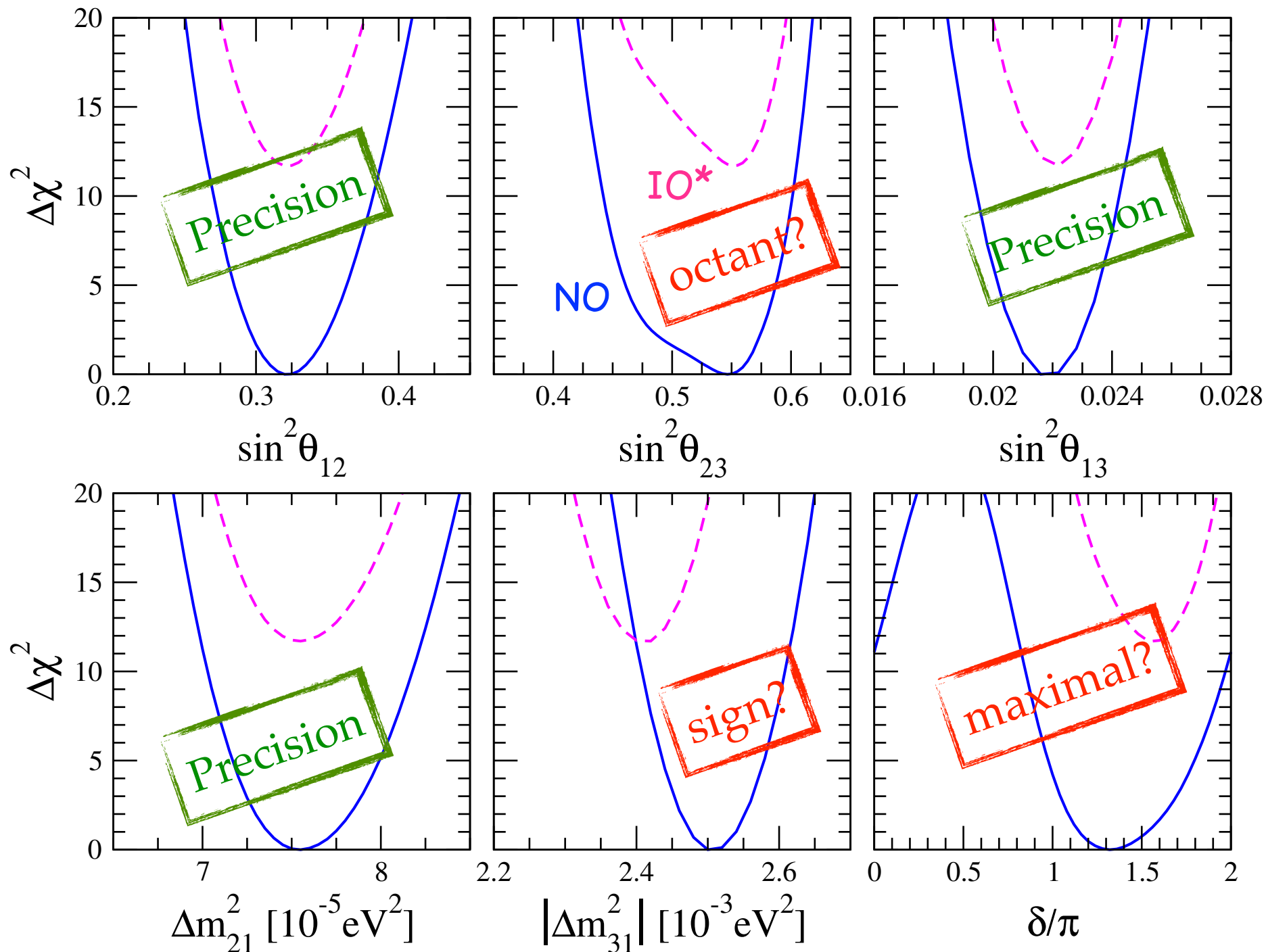
◆ NuFit Collab.

◆ Lisi et al.

Neutrino oscillation parameters



de Salas et al, PLB782 (2018) 633



See also

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◆ Lisi et al.



Beyond the 3-neutrino scenario

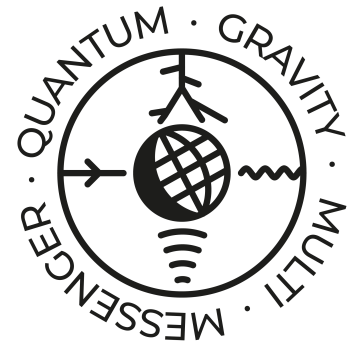
Physics Beyond the SM



- ◆ Neutrino results suggest the presence of **physics BSM** to explain:
 - ✓ light neutrino masses (mass generation mechanism?)
 - ✓ large neutrino mixing compared to quark sector (flavour problem?)
 - ✓ short-distance anomalies

- ◆ Many different scenarios BSM considered:
 - ✓ neutrino non-standard interactions (NSI) with matter
 - ✓ exotic neutrino electromagnetic properties
 - ✓ presence of light sterile neutrinos
 - ✓ mixing with heavy sterile neutrinos: non-unitary neutrino mixing
 - ✓

How many neutrinos?



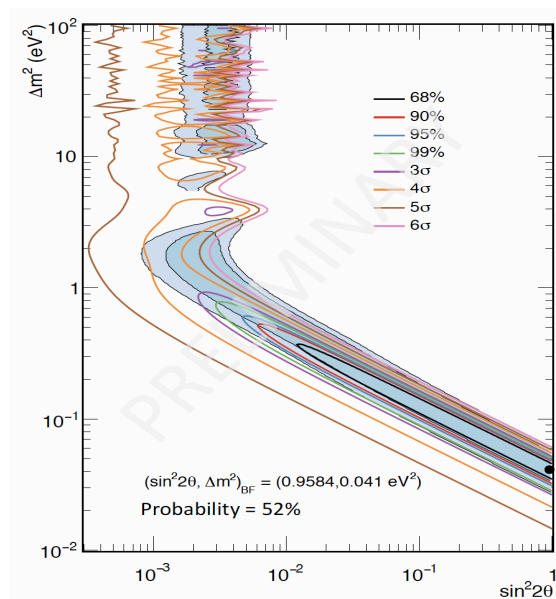
◆ LEP measurements of invisible Z decay width:

$$N_\nu = 2.984 \pm 0.008 \quad (\text{light, active neutrinos})$$

Experimental hints for a 4th sterile neutrino:

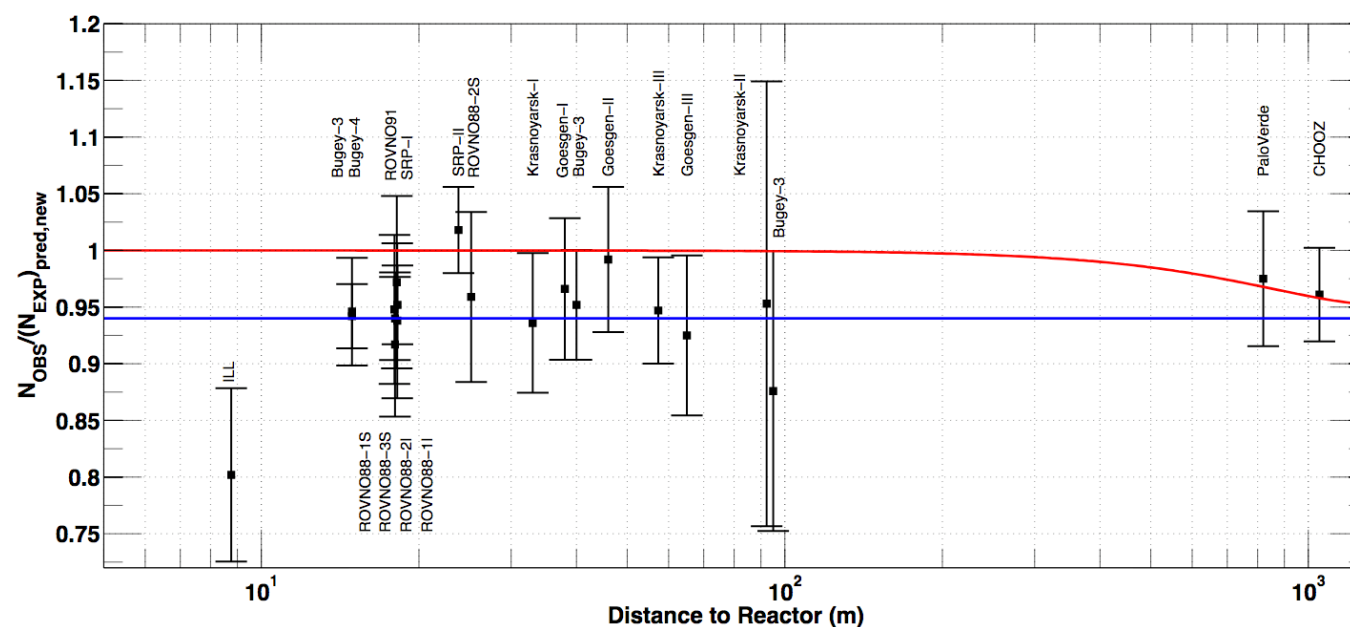
LSND & MiniBooNE

$$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$$



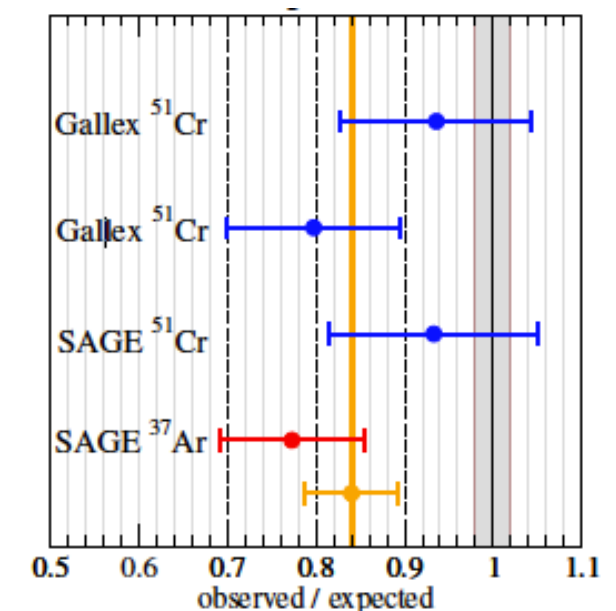
Reactor anomaly

$$\bar{\nu}_e \rightarrow \bar{\nu}_e$$

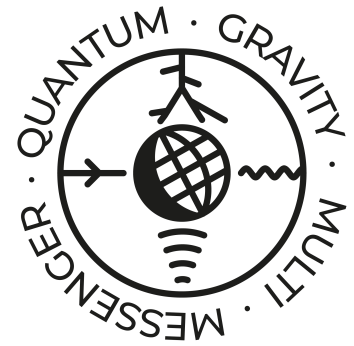


Gallium anomaly

$$\nu_e \rightarrow \nu_e$$

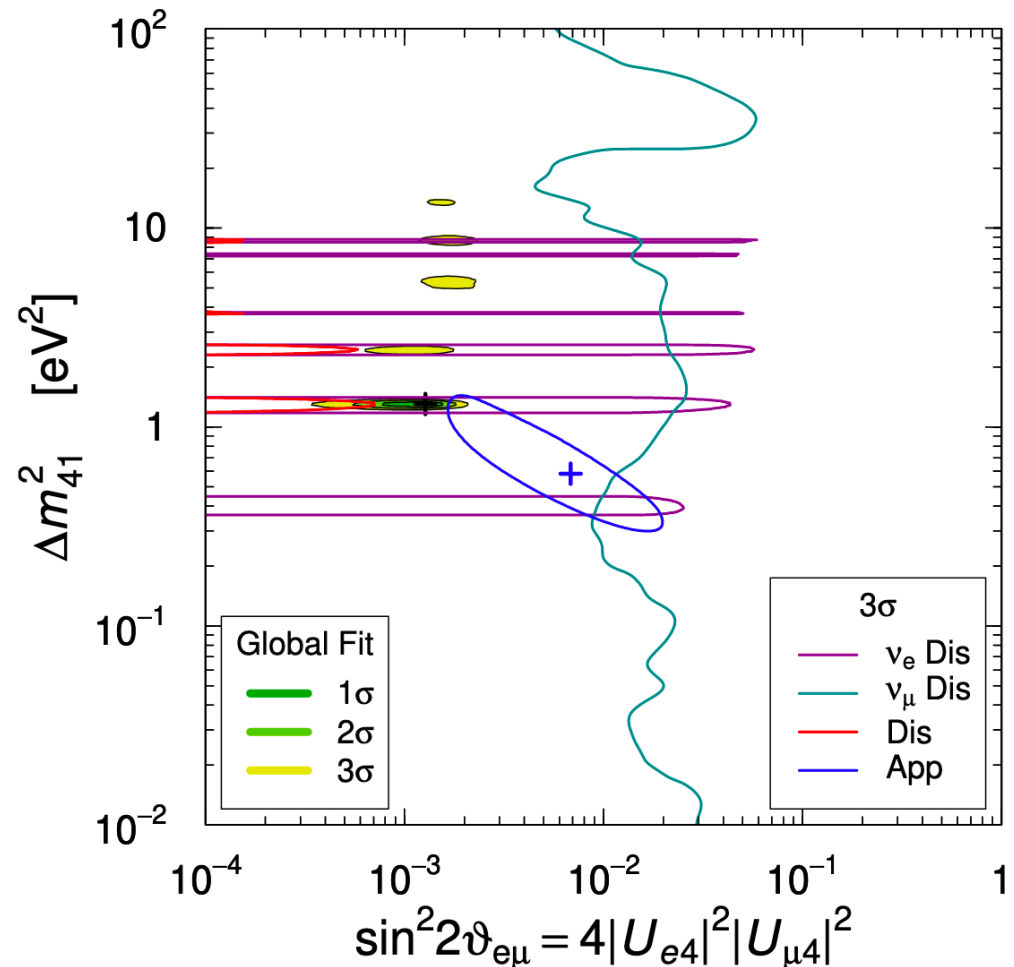


However...



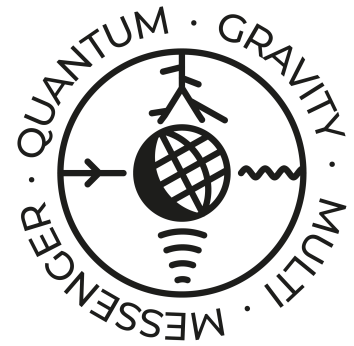
Global 3+1 oscillation analysis

strong tension between appearance
(LSND/MB) and disappearance exp.
(CDHS, SK, IceCube, MINOS/+)



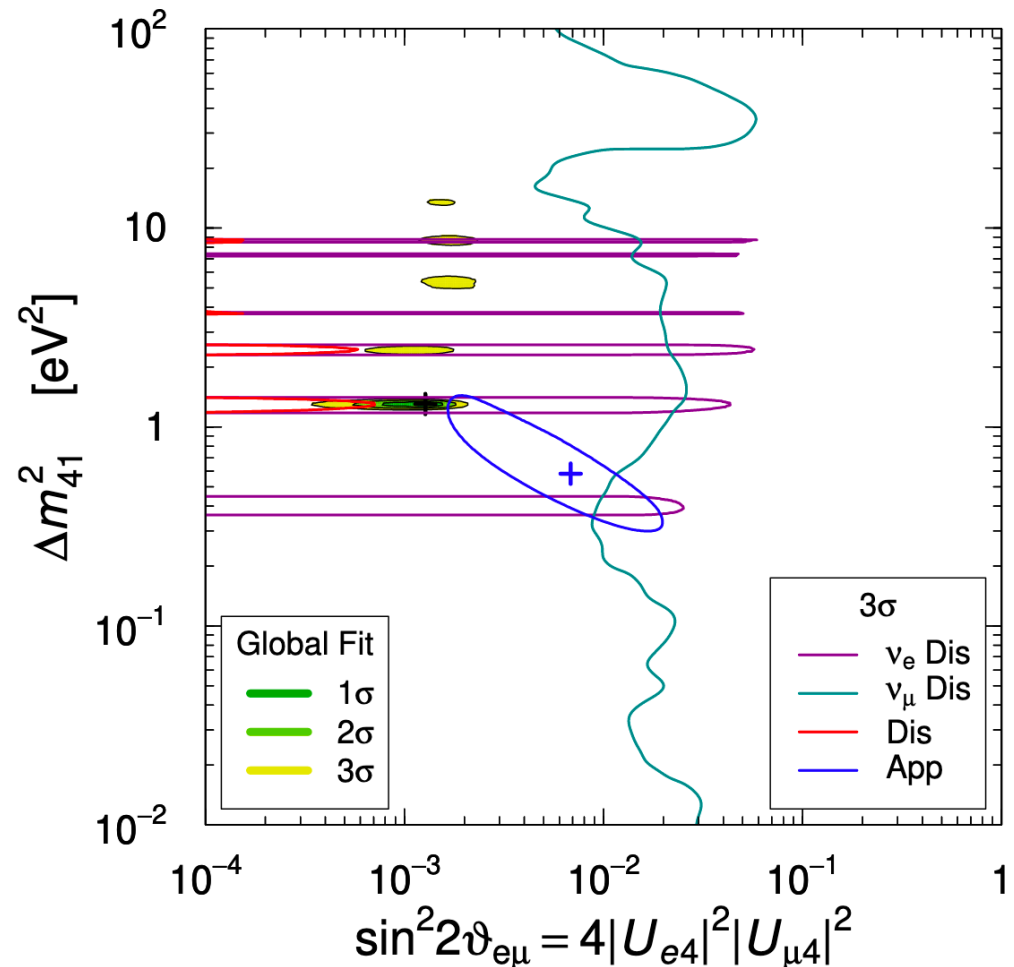
S. Gariazzo@ TAUP 2019

However...



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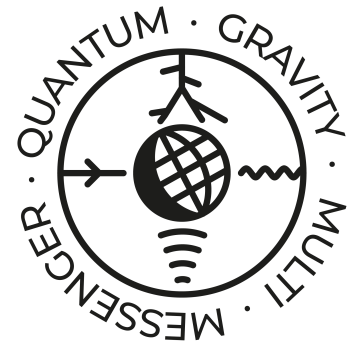
Cosmological observations

Σm_ν = sum of neutrino masses

N_{eff} = relativistic degrees of freedom

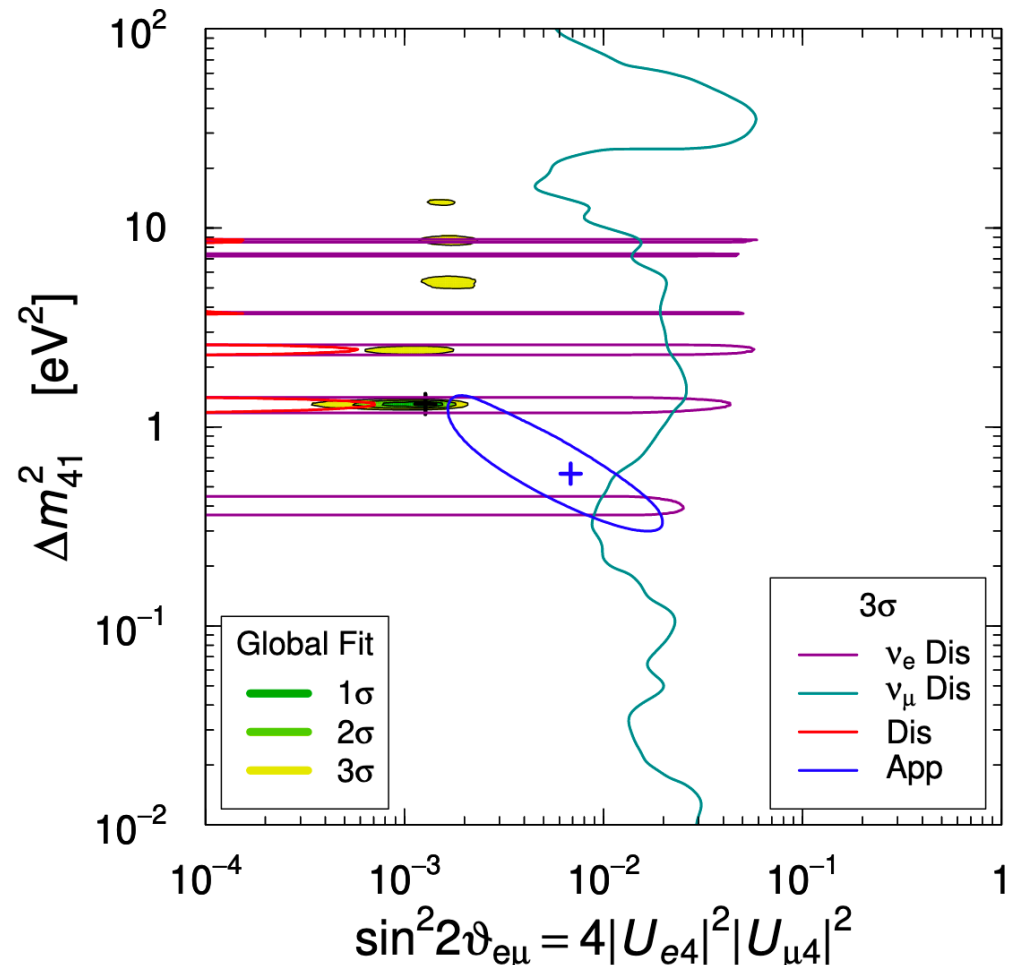
S. Gariazzo@ TAUP 2019

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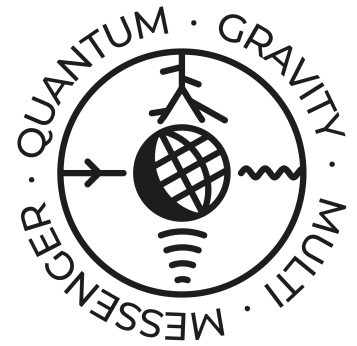
◆ The sterile hypothesis requires

$$\rightarrow \sum m_\nu \gtrsim 0.05 \text{ eV} + \sqrt{\Delta m_{41}^2} > 1 \text{ eV}$$

$$\rightarrow N_{\text{eff}} \approx 4$$

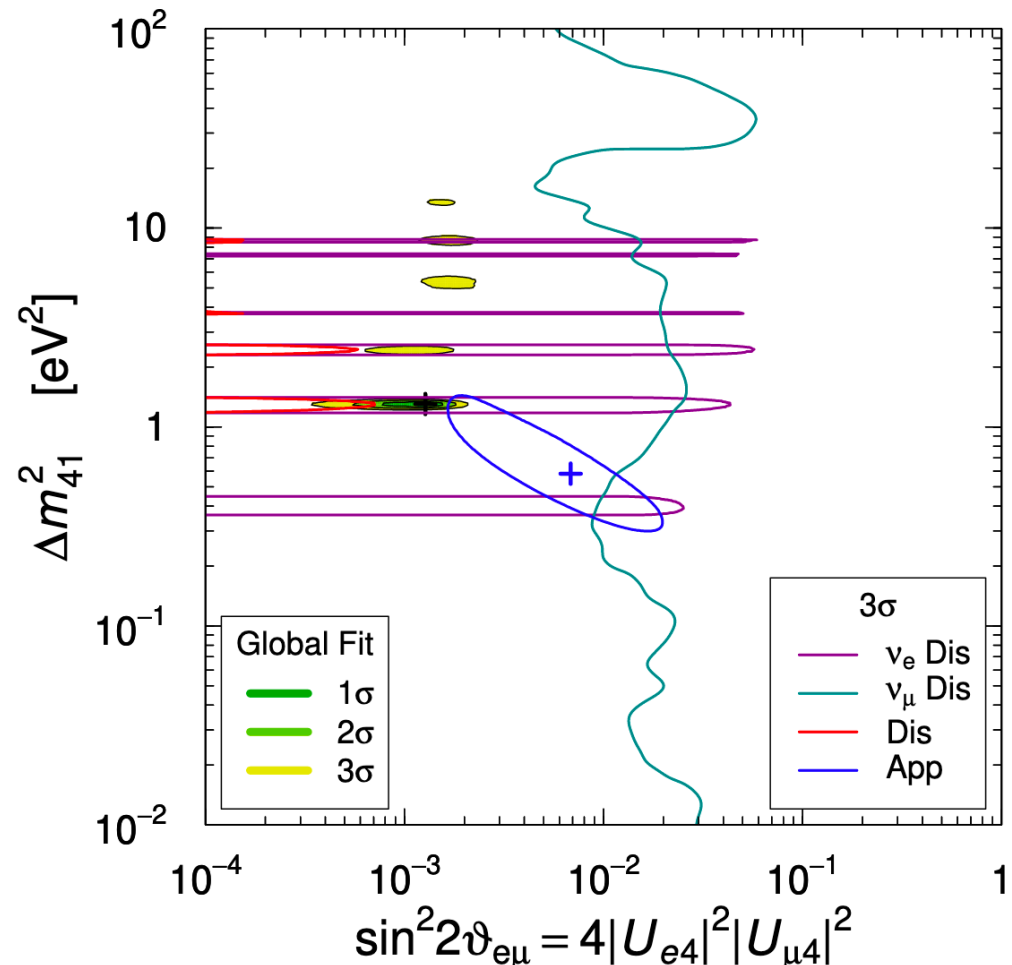
S. Gariazzo@ TAUP 2019

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S. Gariazzo@ TAUP 2019

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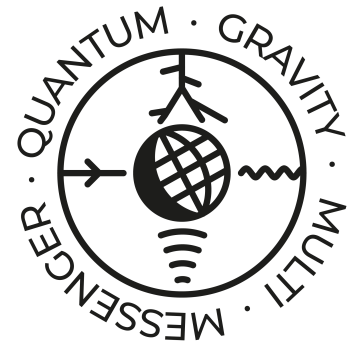
◆ Recent results:

$$\Sigma m_\nu < 0.14 - 0.72 \text{ eV} < 1 \text{ eV} !!!!$$

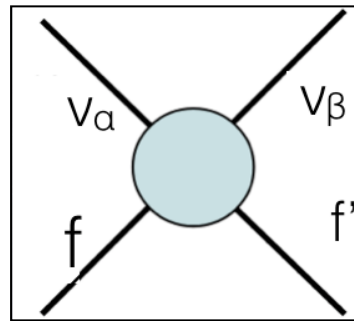
$$N_{\text{eff}} = 3.15 \pm 0.23 \text{ (PLANCK)}$$

$$N_{\text{eff}} = 3.03 \pm 0.18 \text{ (PLANCK + LSS)}$$

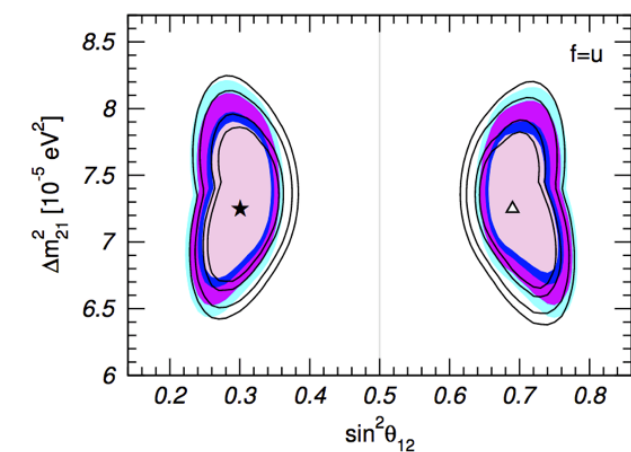
New physics beyond the SM



Non-standard interactions (NSI)

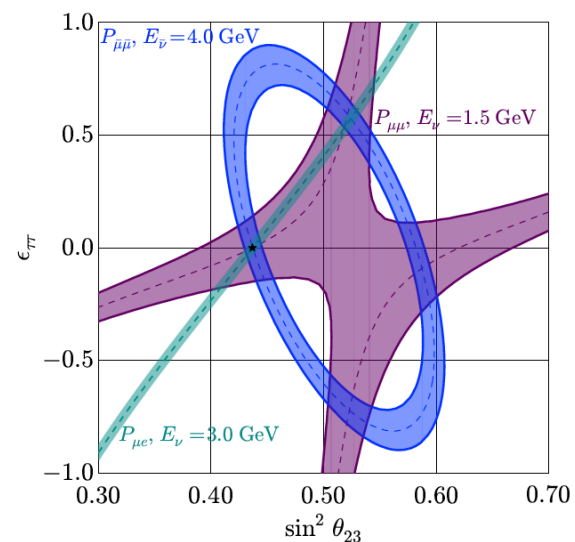


- ◆ appear in models of neutrino masses
- ◆ affect precision on oscillation parameters
- ◆ sensitivity reach of upcoming experiments



→ degenerate solution
with $\theta_{12} > \pi/4$

Miranda et al,
JHEP 2006



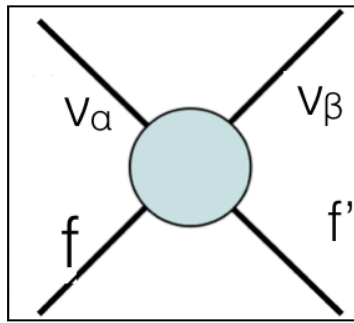
→ θ_{23} -degeneracy
in DUNE

Gouvea and Kelly,
NPB 2016

New physics beyond the SM



Non-standard interactions (NSI)



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- ◆ sensitivity reach of upcoming experiments

Non-unitary 3ν mixing

- ◆ Most models of neutrino masses → extra heavy states

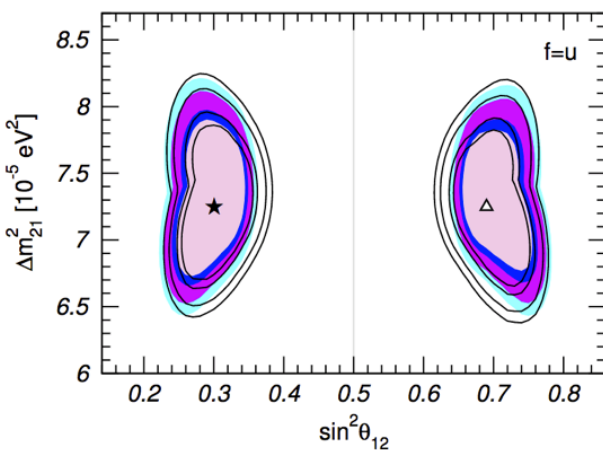
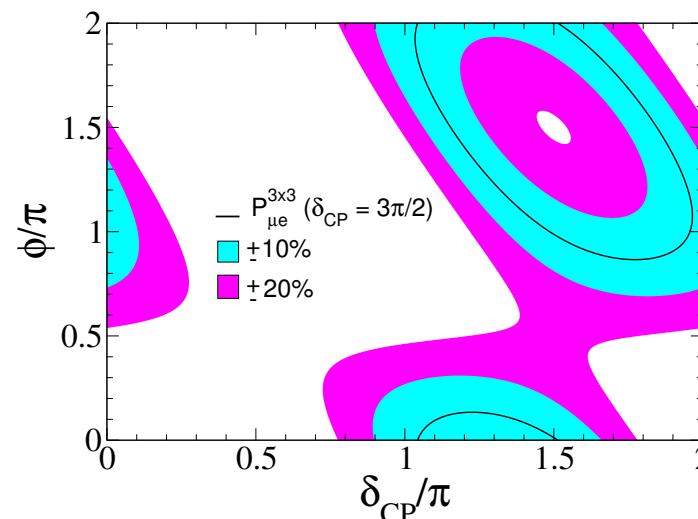
$$\begin{pmatrix} 0 & M_D \\ M_D^T & M_R \end{pmatrix} \begin{pmatrix} 0 & M_D & 0 \\ M_D^T & 0 & M \\ 0 & M^T & \mu \end{pmatrix}$$

- ◆ NxN unitary mixing matrix → (3x3) mixing matrix non-unitary:

$$N = \begin{pmatrix} \alpha_{11} & 0 & 0 \\ \alpha_{21} & \alpha_{22} & 0 \\ \alpha_{31} & \alpha_{32} & \alpha_{33} \end{pmatrix} U^{3 \times 3}$$

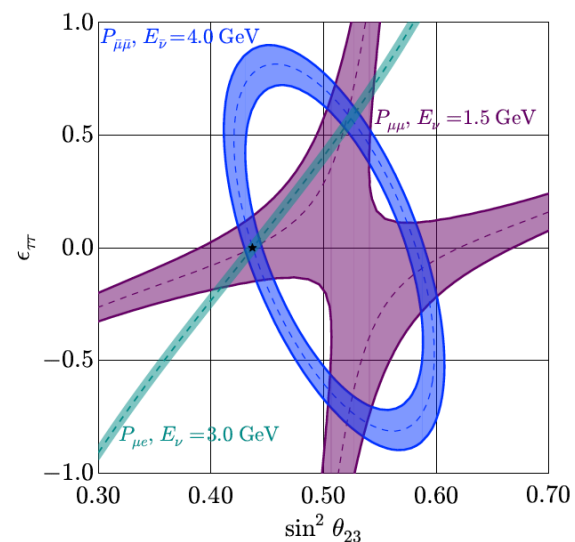
- degeneracies in δ determination in DUNE

Miranda, MT, Valle, PRL 2016



- degenerate solution with $\theta_{12} > \pi/4$

Miranda et al, JHEP 2006



- θ_{23} -degeneracy in DUNE

Gouvea and Kelly, NPB 2016



Lorentz and CPT invariance violation in ν oscillations

The Standard Model Extension



- ◆ **Lorentz** and **CPT** symmetries are the basis for local relativistic quantum field theories.
- ◆ Motivated by current **high-precision experiments**, one may try to probe such symmetries: the observation of Lorentz or CPT violation will provide a signal for new physics (Quantum Gravity theories, String Theories)
- ◆ The **SME**, developed by **Kostelecky and collaborators**:
 - ➔ contains all the properties of the SM + General Relativity except that Lorentz and CPT symmetry can be violated.
 - ➔ suggests that breaking of CPT and Lorentz symmetry might be observable at current or near-future experiments
 - ➔ the physical source of Lorentz and CPT violation is spontaneous symmetry breaking, that may happen in complicated theories.

More details in: <https://lorentz.sitehost.iu.edu/kostelecky/faq.html>

Lorentz violation in ν experiments



- ◆ In the SME, the neutrino sector is described by

Kostelecky et al.

$$\mathcal{L} = \frac{1}{2} \bar{\Psi} (i\gamma^\alpha \partial_\alpha - M + \mathcal{Q}) \Psi \quad \text{where } \mathcal{Q} \text{ is the Lorentz-violating operator}$$

- ◆ **Lorentz-violating** lagrangian is parametrized as

$$\mathcal{L}_{\text{LIV}} = -\frac{1}{2} \left[a_{\alpha\beta}^\mu \bar{\psi}_\alpha \gamma_\mu \psi_\beta + b_{\alpha\beta}^\mu \bar{\psi}_\alpha \gamma_5 \gamma_\mu \psi_\beta - ic_{\alpha\beta}^{\mu\nu} \bar{\psi}_\alpha \gamma_\mu \partial_\nu \psi_\beta - id_{\alpha\beta}^{\mu\nu} \bar{\psi}_\alpha \gamma_5 \gamma_\mu \partial_\nu \psi_\beta \right] + h.c.$$

with the observable effect on the left handed neutrinos is controlled by:

$$(a_L)_{\alpha\beta}^\mu = (a + b)_{\alpha\beta}^\mu, \quad (c_L)_{\alpha\beta}^{\mu\nu} = (c + d)_{\alpha\beta}^{\mu\nu},$$

- ➔ This scenario has been explored in the context of many neutrino experiments: **MINOS**, **IceCube**, **SNO**,...

[M. Mangano, Neutrino Exp]

Lorentz violation in DUNE



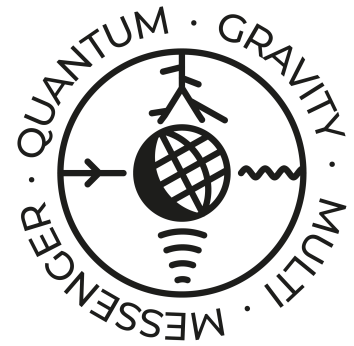
✓ The effective neutrino Hamiltonian in this scenario is given by

$$H = H_{vac} + H_{mat} + H_{LIV}$$

with

$$H_{LIV} = \begin{pmatrix} a_{ee} & a_{e\mu} & a_{e\tau} \\ a_{e\mu}^* & a_{\mu\mu} & a_{\mu\tau} \\ a_{e\tau}^* & a_{\mu\tau}^* & a_{\tau\tau} \end{pmatrix} - \frac{4}{3}E \begin{pmatrix} c_{ee} & c_{e\mu} & c_{e\tau} \\ c_{e\mu}^* & c_{\mu\mu} & c_{\mu\tau} \\ c_{e\tau}^* & c_{\mu\tau}^* & c_{\tau\tau} \end{pmatrix}$$

Lorentz violation in DUNE



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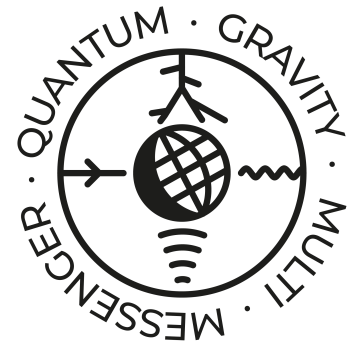
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highly
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atmospheric
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Lorentz violation in DUNE



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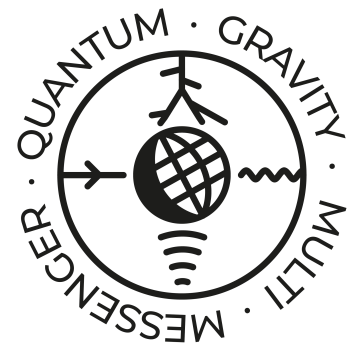
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we focus
on this matrix
(CPT-odd part)

Lorentz violation in DUNE



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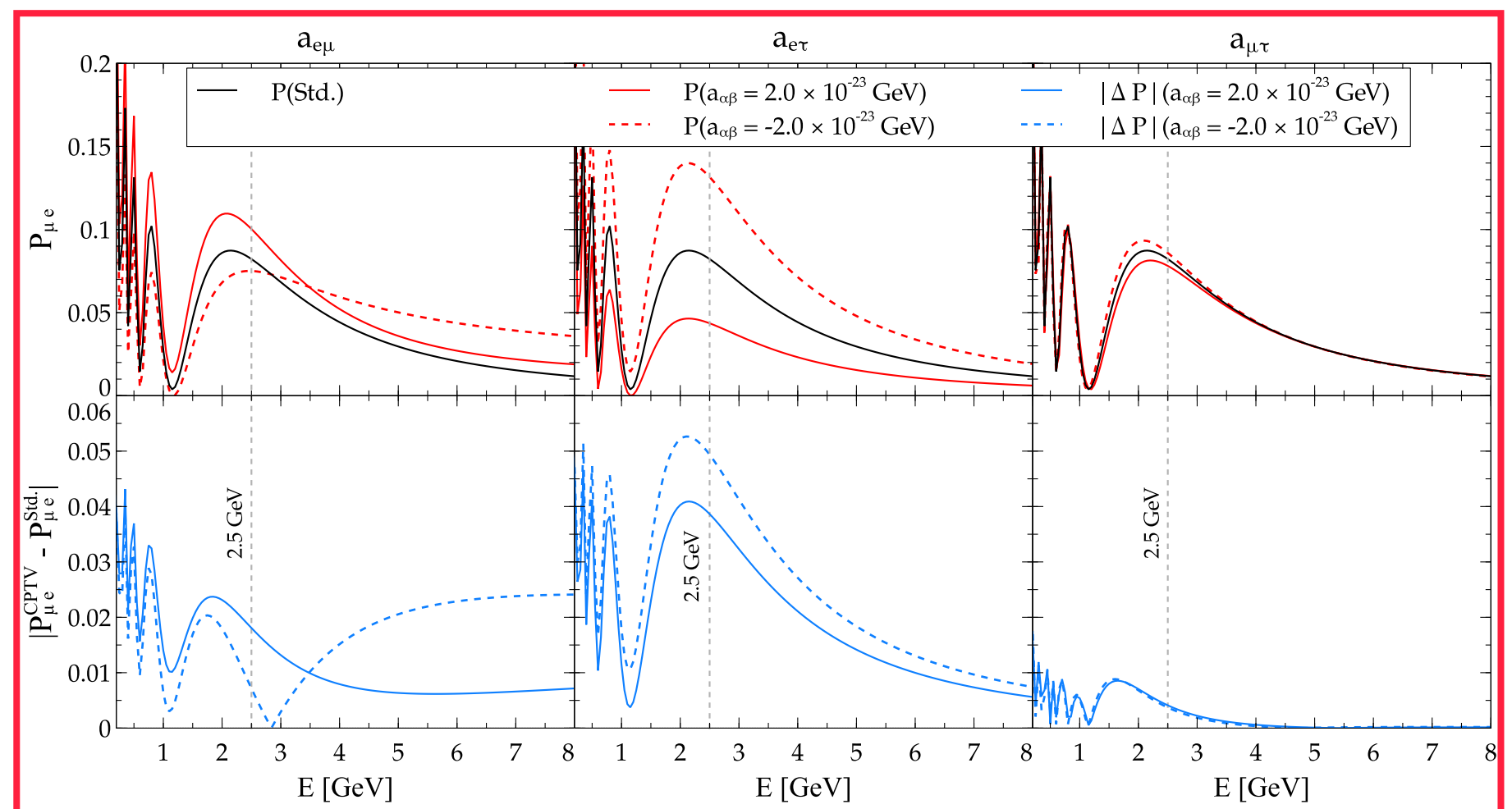
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highly constrained by atmospheric data (for LE exp)

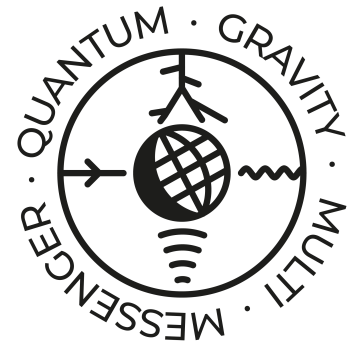
we focus on this matrix (CPT-odd part)

☑ $P_{\mu e}$ oscillation probabilities in DUNE are modified in presence of Lorentz violation

Barenboim, Masud, Ternes, MT, PLB2019



Lorentz violation in DUNE



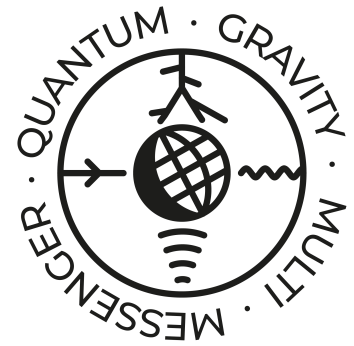
- ◆ DUNE simulation: → disapp + app channels
→ 3.5 yr neutrino + 3.5 yr antineutrino run
- ◆ DUNE's sensitivity to Lorentz-violating parameters

Parameter	Existing Bounds	This work (DUNE)
$ a_{e\mu} $ [GeV]	2.5×10^{-23}	7.0×10^{-24}
$ a_{e\tau} $ [GeV]	5.0×10^{-23}	1.0×10^{-23}
$ a_{\mu\tau} $ [GeV]	8.3×10^{-24}	1.7×10^{-23}
a_{ee} [GeV]	–	$-2.5 \times 10^{-23} < a_{ee} < 3.2 \times 10^{-23}$
$a_{\mu\mu}$ [GeV]	–	$-3.7 \times 10^{-23} < a_{\mu\mu} < 4.8 \times 10^{-23}$

(SK-atm)

Barenboim, Masud, Ternes, MT,PLB2019

Lorentz violation in DUNE

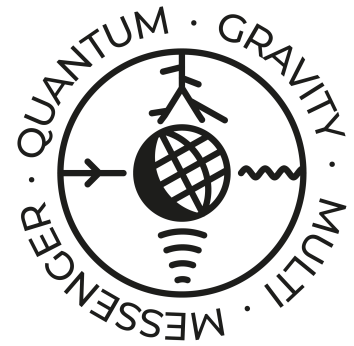


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$ a_{e\mu} $ [GeV]	2.5×10^{-23}	7.0×10^{-24}	Factor 5 improvement
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Barenboim, Masud, Ternes, MT,PLB2019

Lorentz violation in DUNE

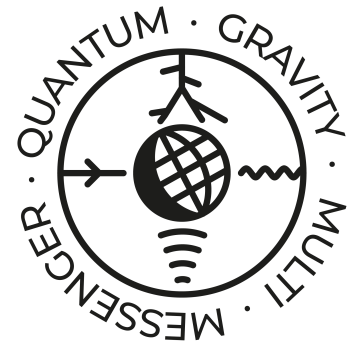


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Barenboim, Masud, Ternes, MT,PLB2019

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new bounds

Barenboim, Masud, Ternes, MT,PLB2019

Violation of CPT symmetry



- ◆ CPT is presently observed as an exact symmetry of nature.

Violation of CPT symmetry



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PHYSICAL REVIEW VOLUME 104, NUMBER 1 OCTOBER 1, 1956

Question of Parity Conservation in Weak Interactions*

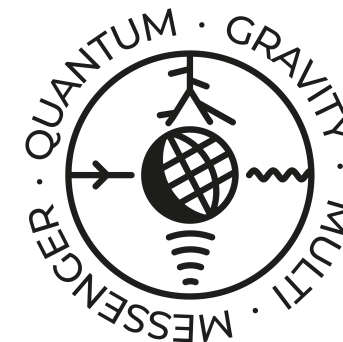
T. D. LEE, *Columbia University, New York, New York*

AND

C. N. YANG, † *Brookhaven National Laboratory, Upton, New York*

(Received June 22, 1956)

Parity violation in weak interactions?



Violation of CPT symmetry

- ◆ CPT is presently observed as an exact symmetry of nature.

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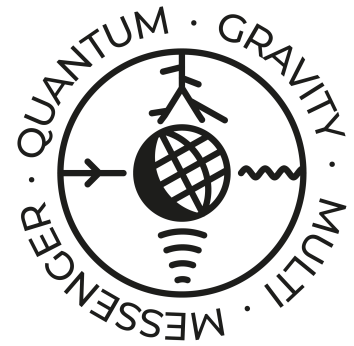
AND

E. AMBLER, R. W. HAYWARD, D. D. HOPPES, AND R. P. HUDSON,
National Bureau of Standards, Washington, D. C.

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VOLUME 13, NUMBER 4

PHYSICAL REVIEW LETTERS

27 JULY 1964

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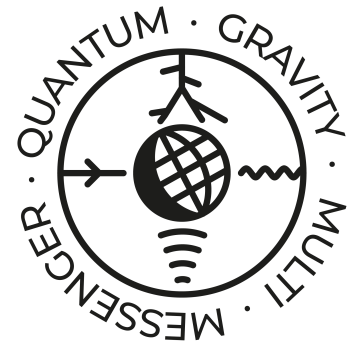
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Princeton University, Princeton, New Jersey

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→ Evidence for **CP** violation

Is **CPT** conserved?

If not: $P(\nu_\alpha \rightarrow \nu_\beta) \neq P(\bar{\nu}_\beta \rightarrow \bar{\nu}_\alpha)$

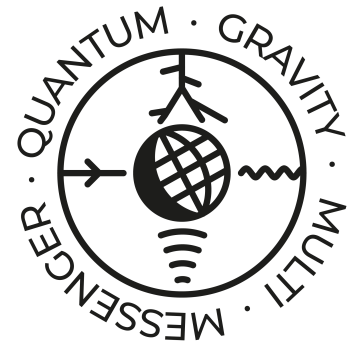
Neutrino oscillation data can be used to constrain CPT violation at the neutrino sector

~~CPT~~ in neutrino oscillations



- ◆ Test hypothesis: neutrino and antineutrino oscillations are ruled by different parameters: $(\Delta m_{ji}^2, \theta_{ij}, \delta)$ **vs** $(\overline{\Delta m_{ji}^2}, \overline{\theta_{ij}}, \overline{\delta})$

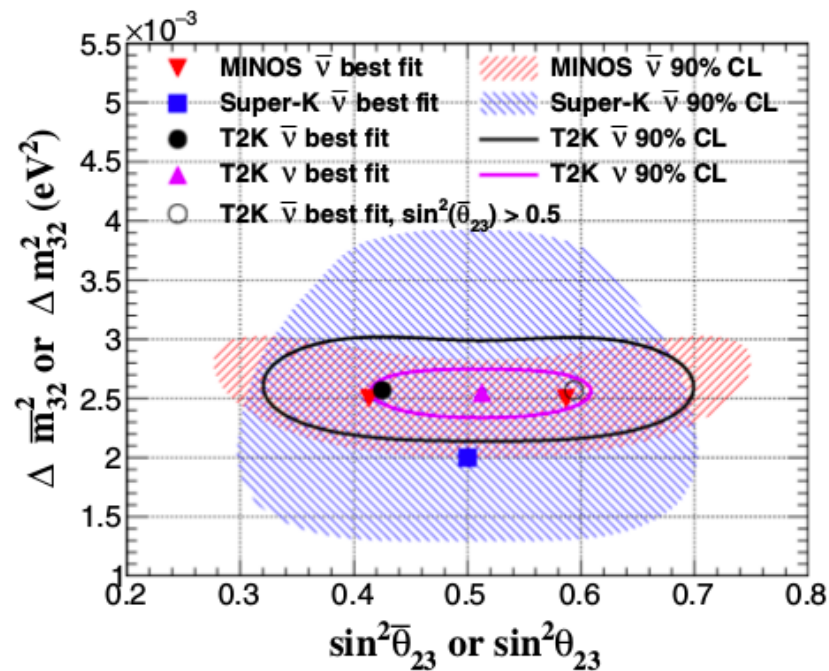
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- ◆ Separate neutrino and antineutrino analysis in T2K

T2K Coll, PRD2017



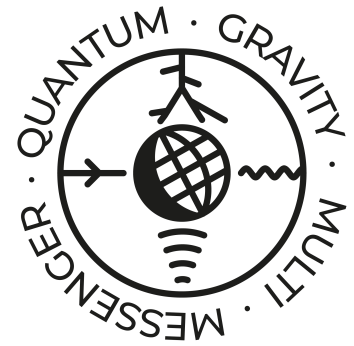
$$\sin^2 \theta_{23} = 0.51, \quad \Delta m_{32}^2 = 2.53 \times 10^{-3} \text{eV}^2$$

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→ different best fit values

→ consistent with CPT conservation

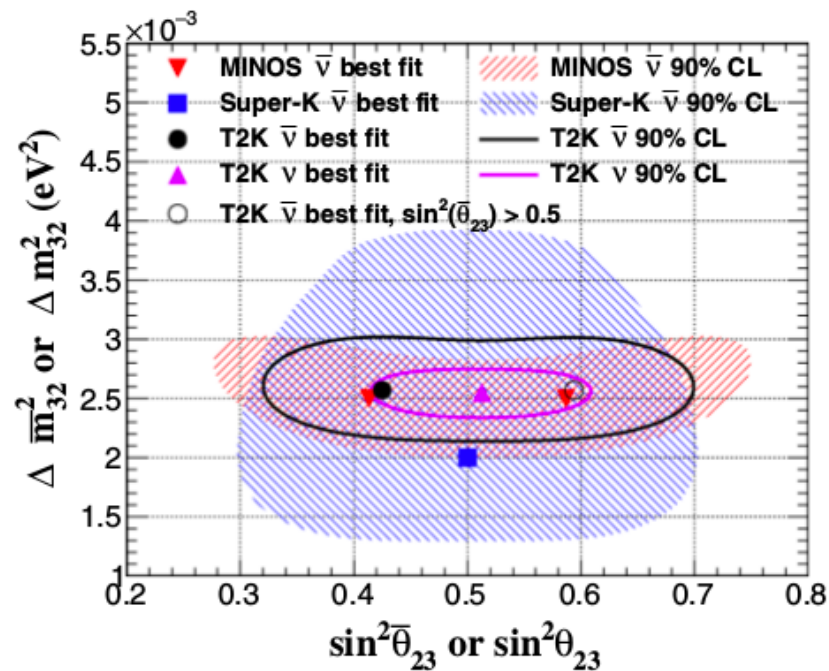
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- ◆ Current bounds at 3σ :

$$|\sin^2 \theta_{12} - \sin^2 \overline{\theta}_{12}| < 0.14,$$

$$|\Delta m_{21}^2 - \Delta \overline{m}_{21}^2| < 4.7 \times 10^{-5} \text{eV}^2$$

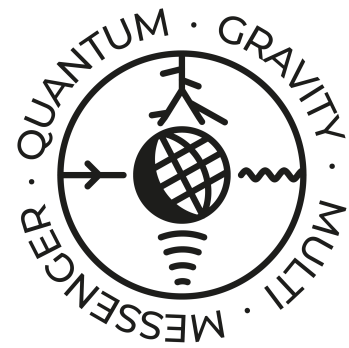
$$|\sin^2 \theta_{13} - \sin^2 \overline{\theta}_{13}| < 0.03,$$

$$|\Delta m_{31}^2 - \Delta \overline{m}_{31}^2| < 3.7 \times 10^{-4} \text{eV}^2$$

$$|\sin^2 \theta_{23} - \sin^2 \overline{\theta}_{23}| < 0.32$$

Barenboim, Ternes, MT, PLB2018

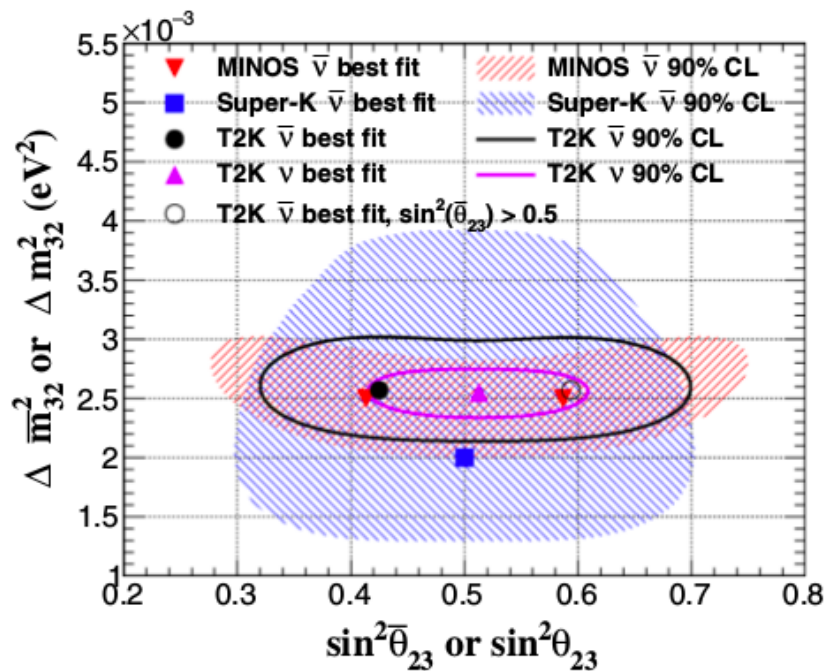
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$$0.03,$$

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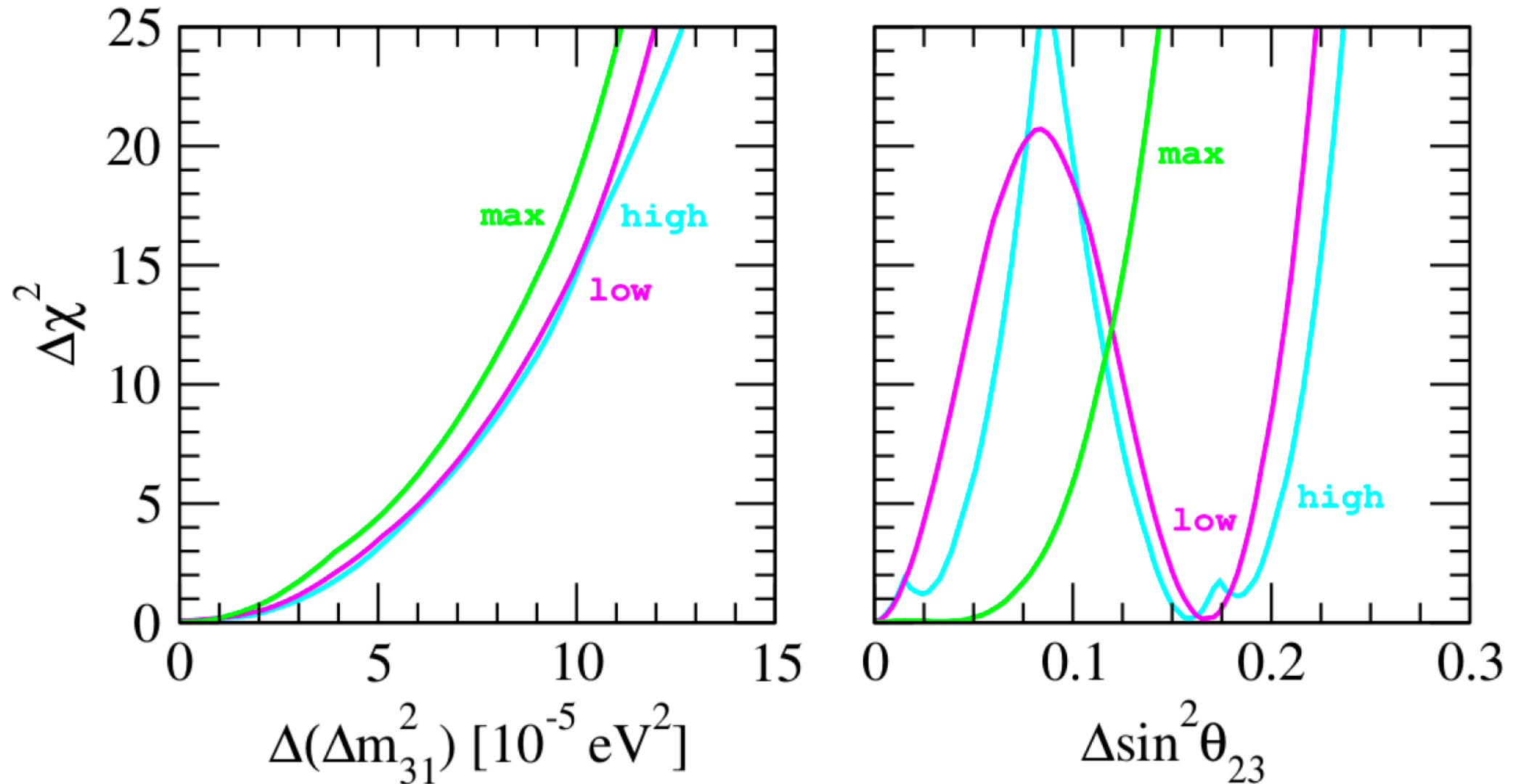
Can DUNE improve these bounds?

Barenboim, Ternes, MT, PLB2018

~~CPT~~ sensitivity in DUNE



- ◆ Very good sensitivity to differences in atmospheric parameters



→ one order of magnitude improvement:

$$\Delta(\Delta m_{31}^2) < 8.1 \times 10^{-5} \text{ eV}^2 \quad (3\sigma)$$

→ excellent sensitivity for max mixing

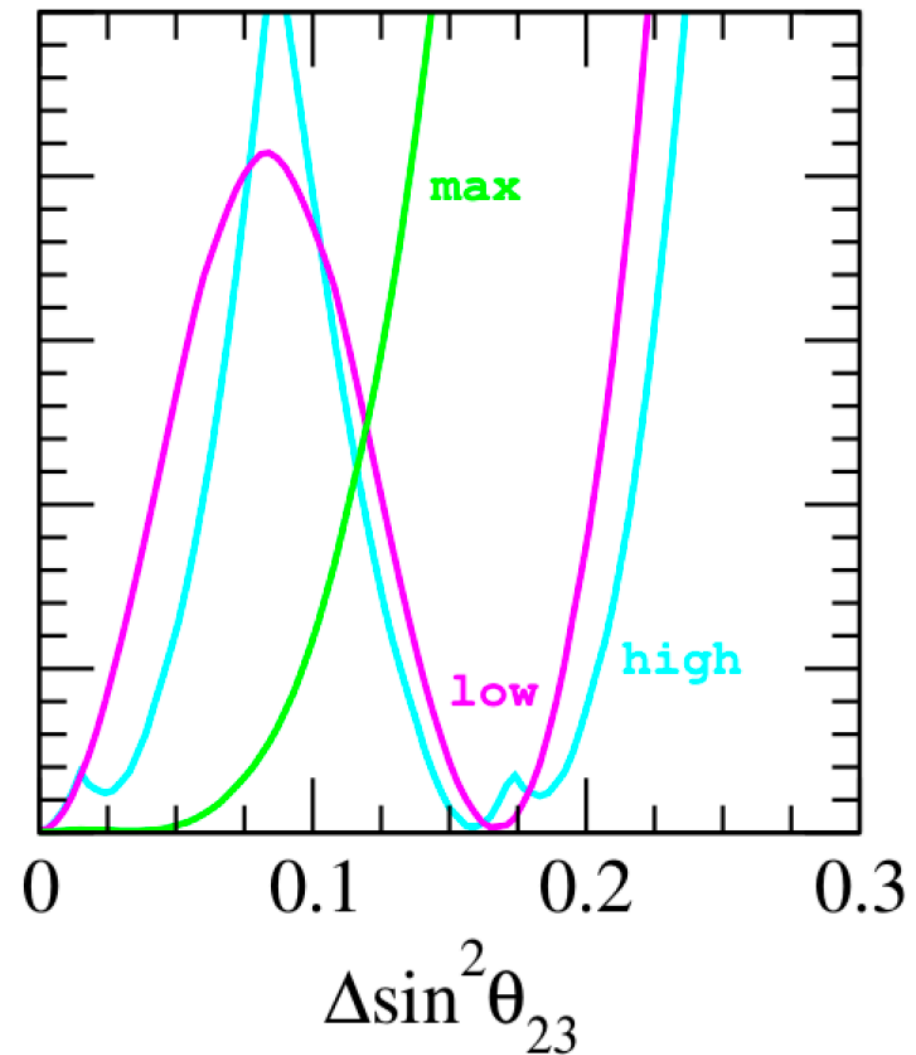
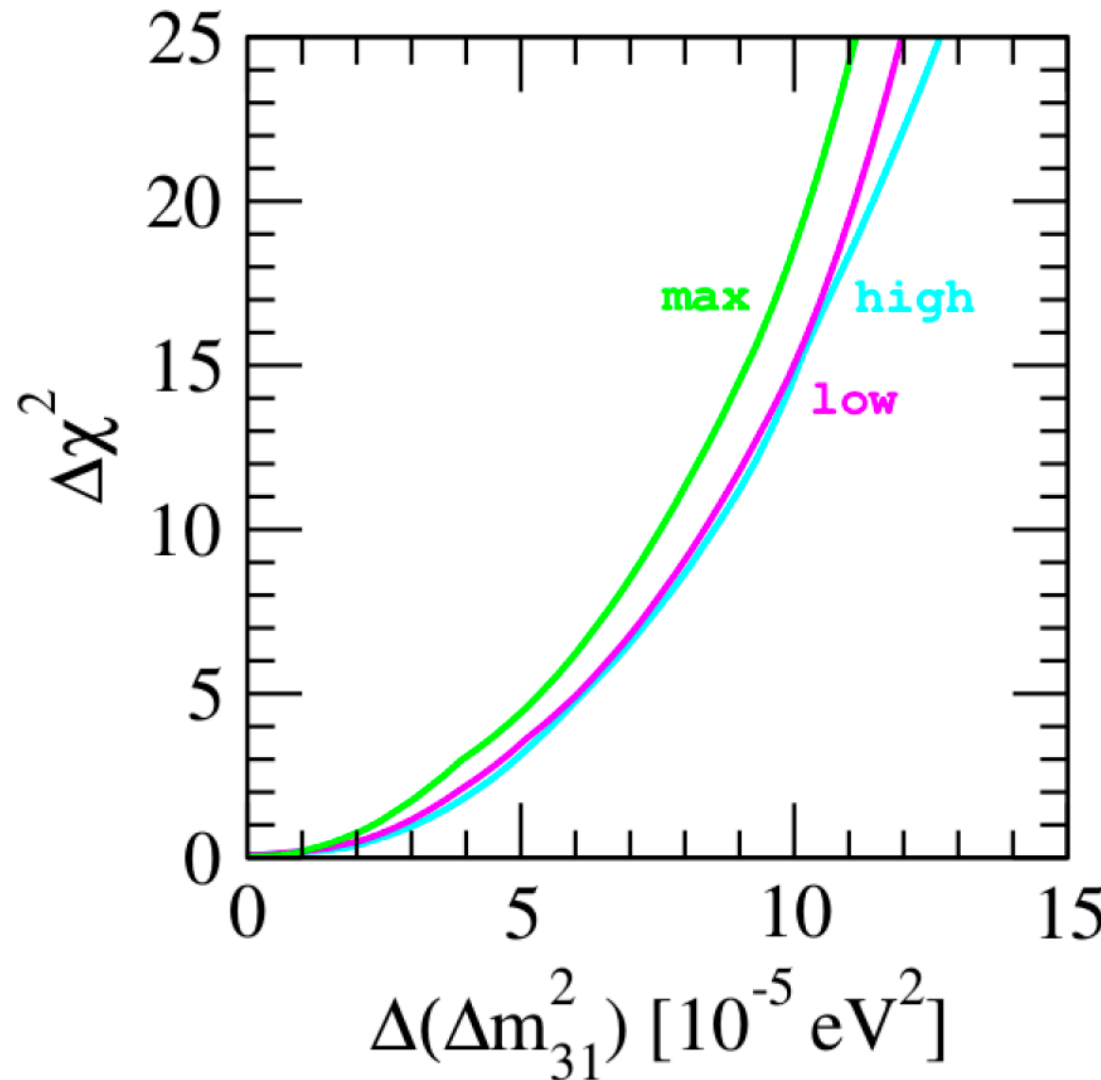
→ oscillating results for high and low octant due to degeneracies

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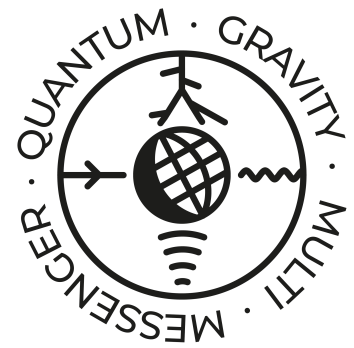
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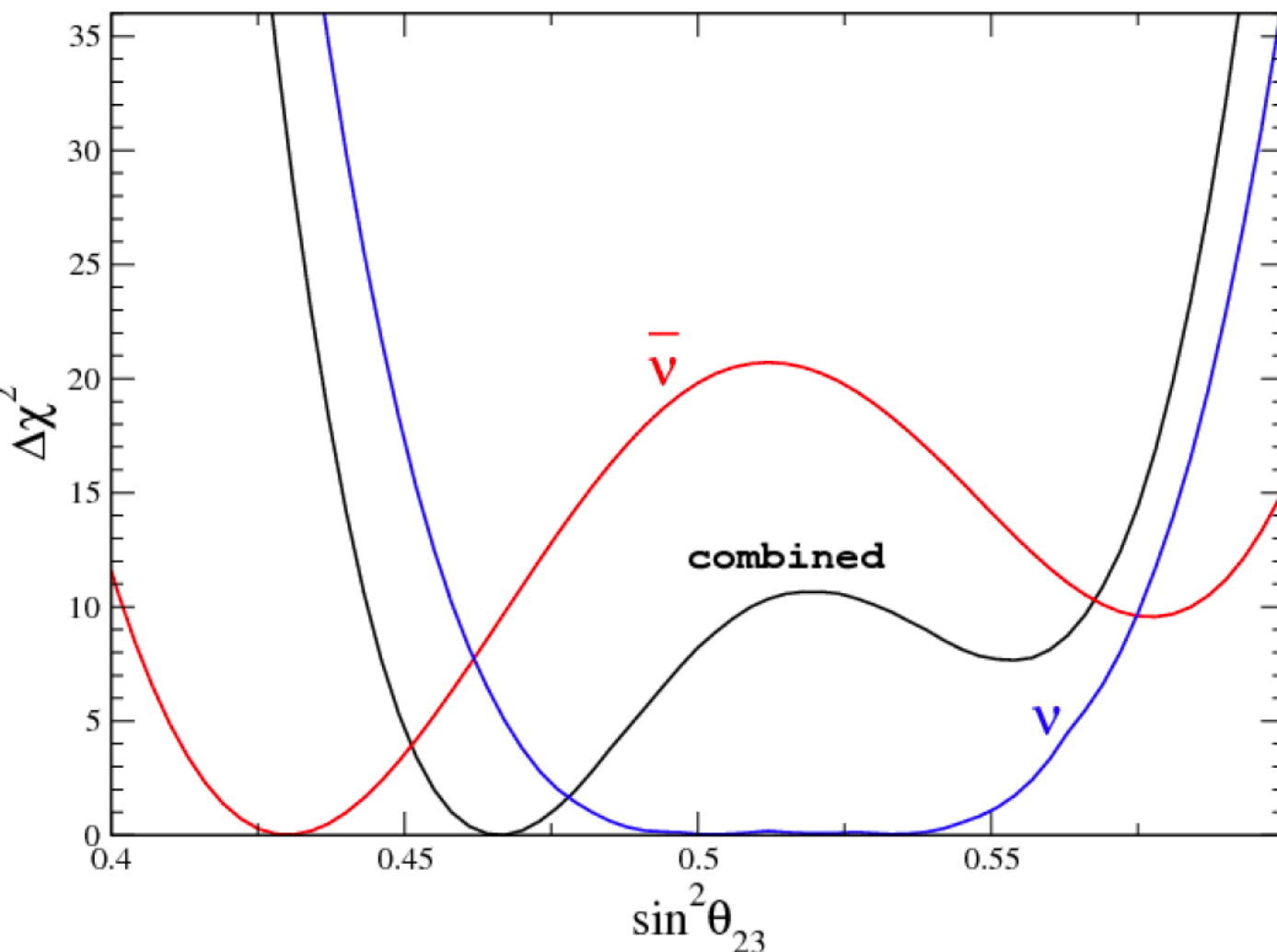
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~~CPT~~ oscillations: imposter solutions



- ◆ Standard analyses of oscillation data assume CPT conservation
- ◆ If CPT is violated one can obtain imposter solutions
- ◆ Ex: DUNE neutrino data simulated with $\sin^2 \theta_{23} = 0.5$, $\sin^2 \bar{\theta}_{23} = 0.43$



→ the combined analysis under CPT conservation gives the best fit value:

$$\sin^2 \theta_{23}^{\text{comb}} = 0.467$$

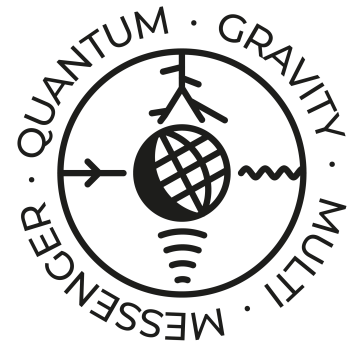
→ real true values disfavored at close to 3σ (neutrino) and more than 5σ (antineutrino)

Barenboim, Ternes, MT, PLB2018

Summary



- ◆ Important discoveries on neutrino physics along last century have provided the **first evidence for physics beyond the Standard Model**.
- ◆ **Neutrino oscillations** are well established with observations in several experiments, with natural and artificial sources.
 - ➔ Oscillation parameters accurately measured ($\approx 6\%$) by the combination of different experiments.
 - ➔ First indications for normal mass ordering and maximal CP violation.
- ◆ Several **scenarios of physics BSM** motivated by the building of neutrino mass models and the observation of anomalies are being explored (NSI, sterile neutrinos, Non-unitary 3-neutrino mixing).
- ◆ Scenarios motivated by **Quantum Gravity** developments may also produce interesting phenomenology in neutrino experiments (violation of CPT and Lorentz invariance symmetries).



Backup slides

~~CPT~~ sensitivity in DUNE



- ☑ DUNE's simulation:
 - disappearance + appearance channels
 - 3.5 yr neutrino run + 3.5 yr antineutrino run

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- ☑ DUNE's simulation:
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 - 3.5 yr neutrino run + 3.5 yr antineutrino run
- ☑ Sensitivity to the difference between neutrino and antineutrino params.

$$\Delta x = |x - \bar{x}| \quad \text{for} \quad x \equiv \Delta m_{31}^2, \theta_{23}, \theta_{13}, \delta$$

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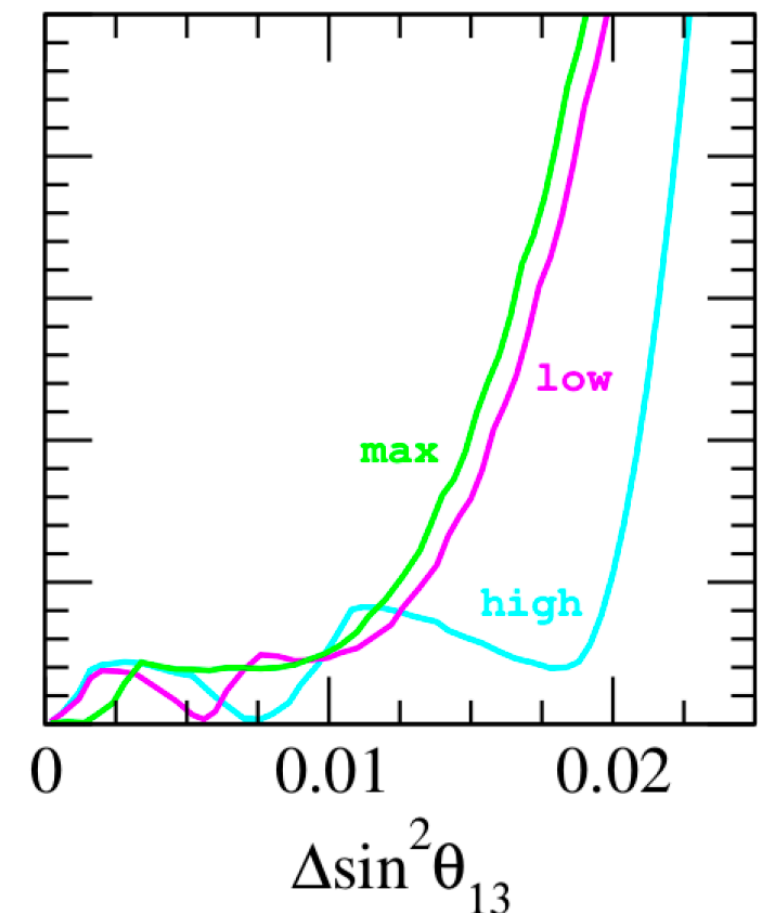
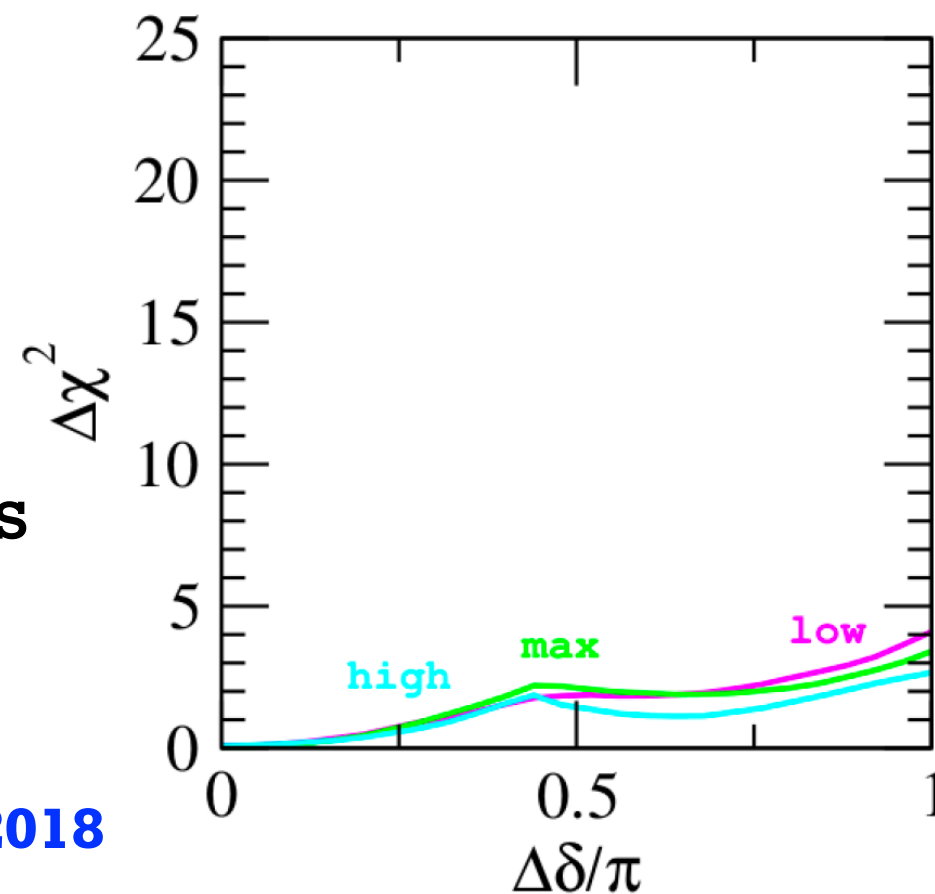
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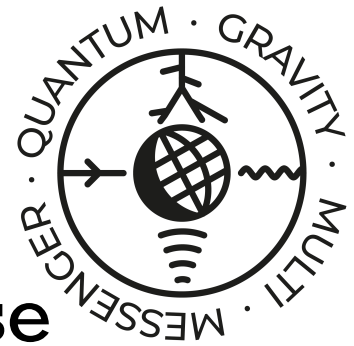
- ✓ Poor sensitivity to differences in CP phase and reactor angle

→ three different values of θ_{23} considered

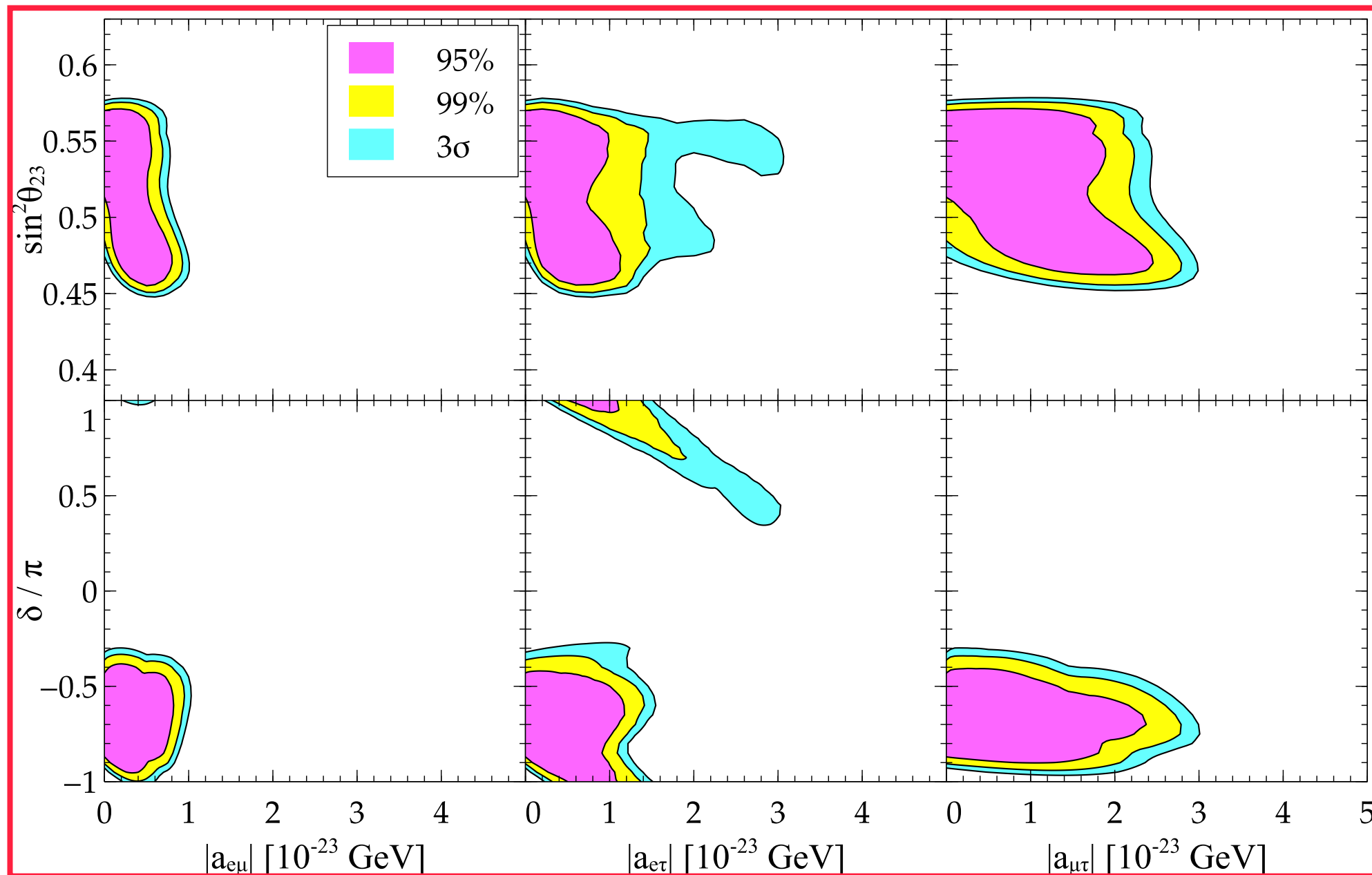


Barenboim, Ternes, MT, PLB2018

Lorentz violation in ν oscillations

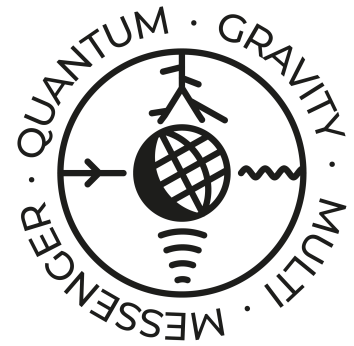


☑ The sensitivity to the standard parameters gets worse

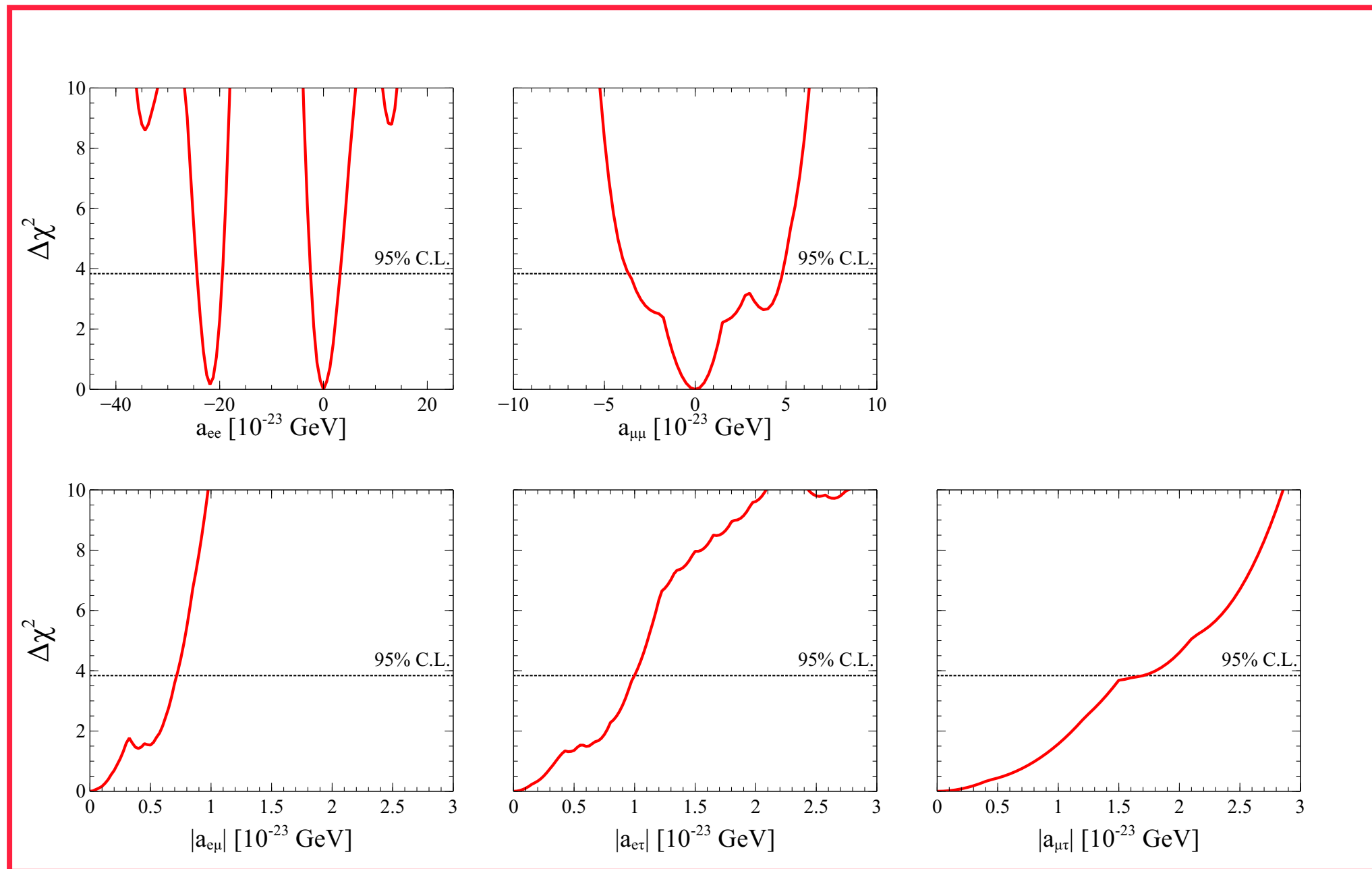


Barenboim, Masud, Ternes, MT,PLB2019

Lorentz violation in ν oscillations



☑ DUNE's sensitivity to Lorentz-violating parameters



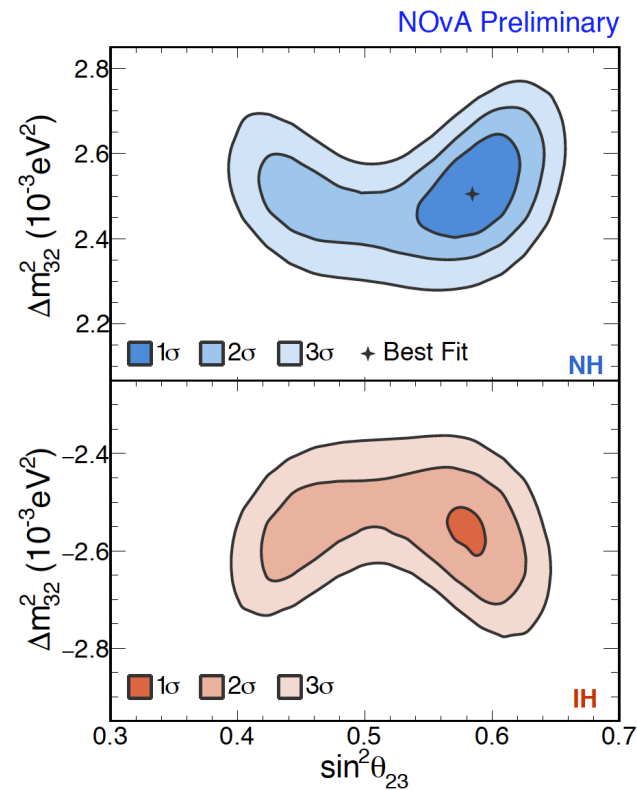
Barenboim, Masud, Ternes, MT,PLB2019

New experimental data



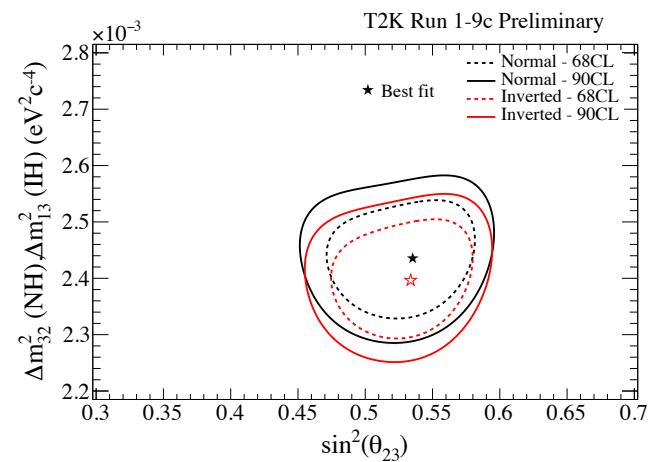
First NOvA
antineutrino
data

M. Sánchez,
Neutrino'18

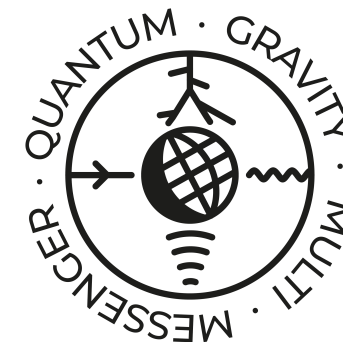


New T2K
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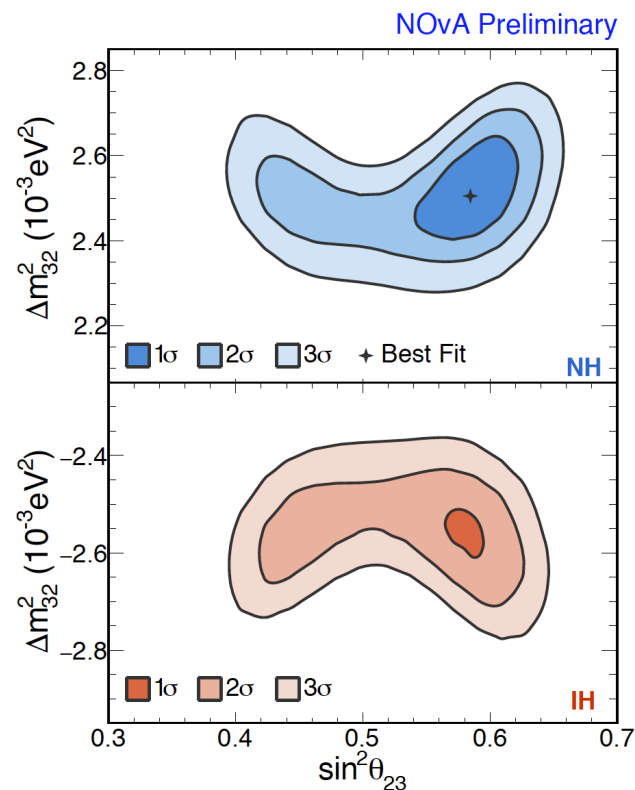


New experimental data



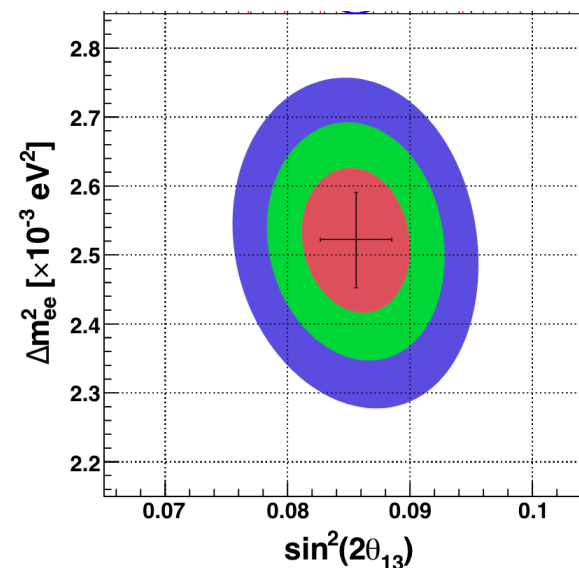
First NOvA antineutrino data

M. Sánchez, Neutrino'18



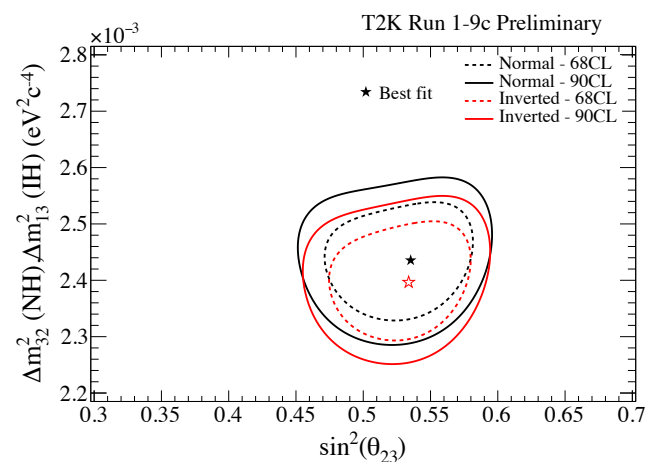
Daya Bay 1958-day data

Adey et al, 1809.02261



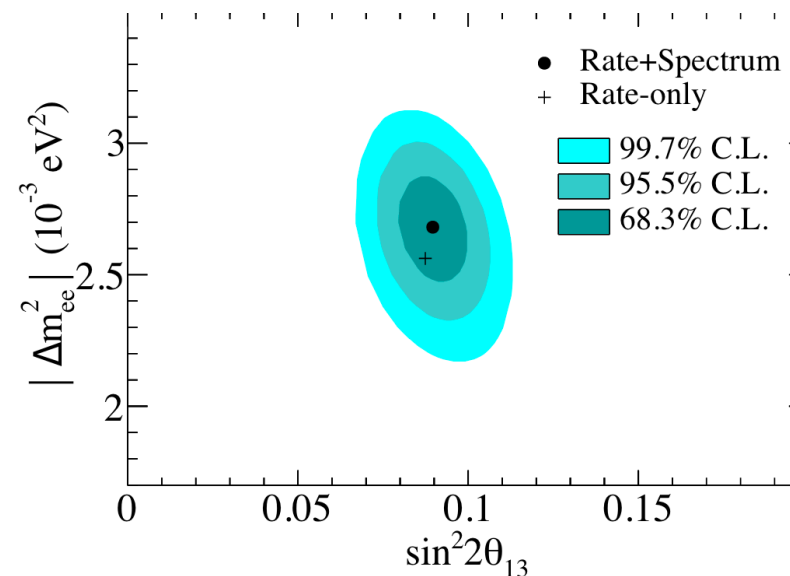
New T2K antineutrino data

M. Wascko, Neutrino'18

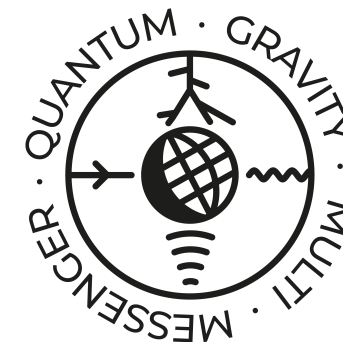


RENO 2200-day data

Bak et al, 1806.00248

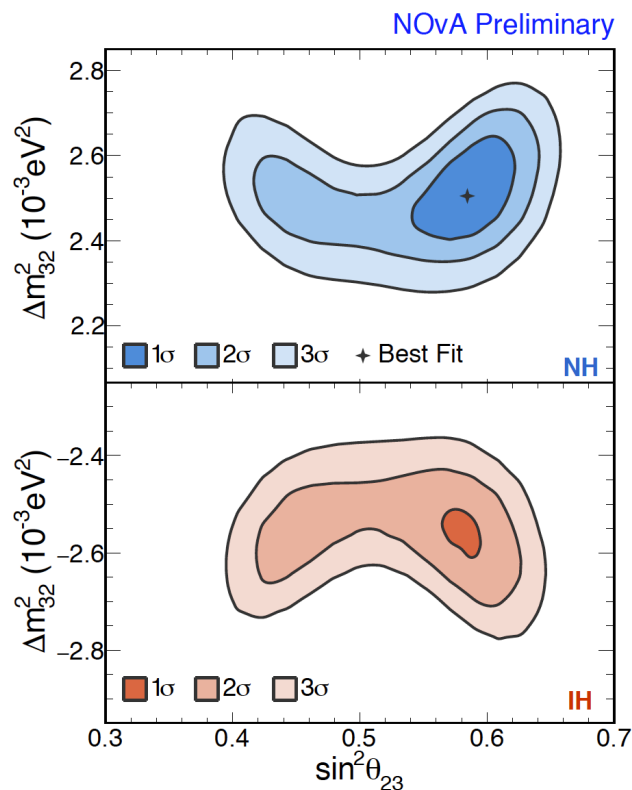


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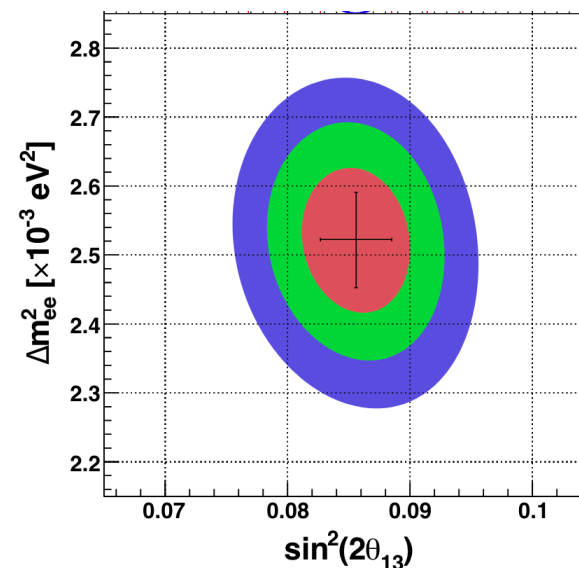
First NOvA antineutrino data

M. Sánchez, Neutrino'18



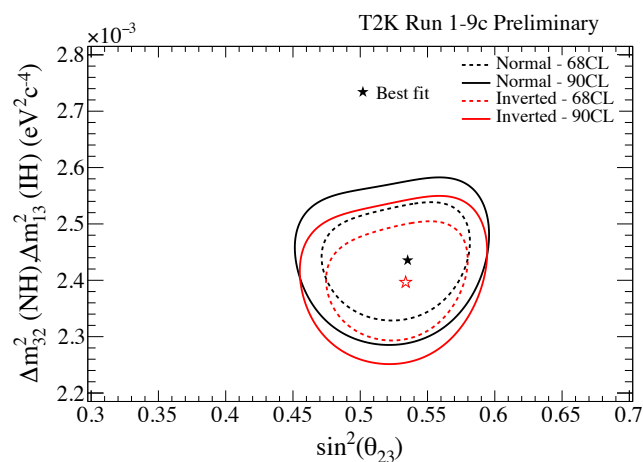
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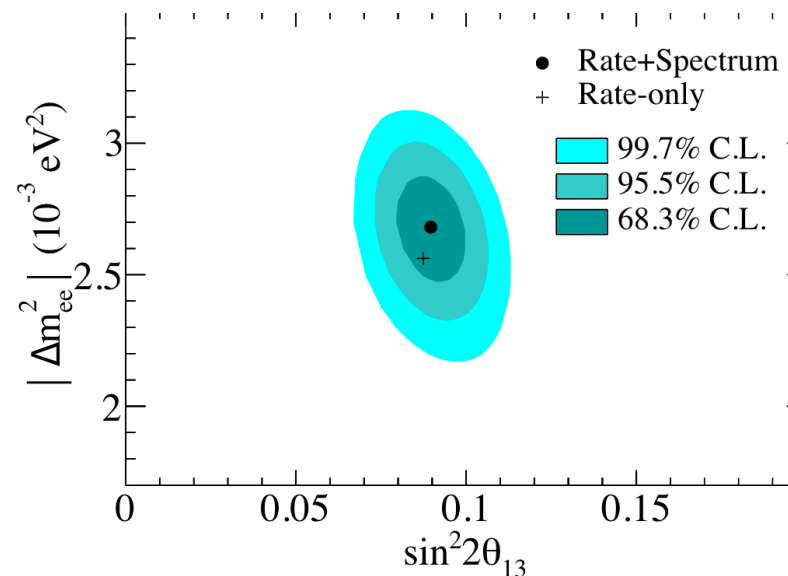
New T2K antineutrino data

M. Wascko, Neutrino'18



RENO 2200-day data

Bak et al, 1806.00248



Three-year high-statistics IceCube data

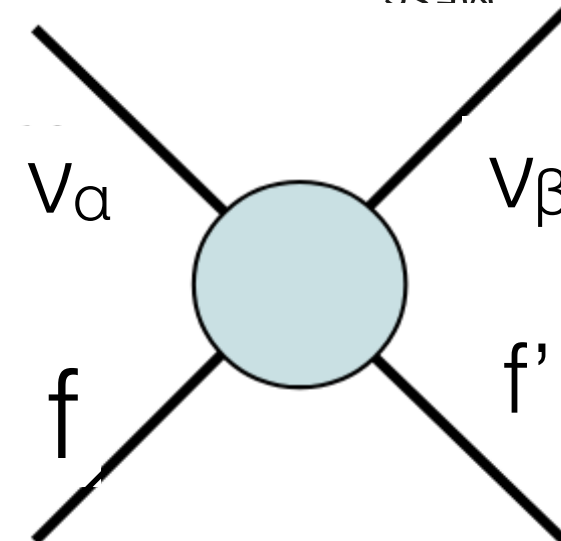
M.G. Aartsen et al., 1902.07771

10 yr ANTARES atmospheric data

Albert et al, 1812.0865

Neutrino NSI with matter

- ◆ NSI appear in **models of neutrino masses**
- ◆ Information about the **size of NSI** could be very useful for neutrino model building
- ◆ NSI may affect **oscillation parameters**
 - ⇒ precision measurements at current experiments
 - ⇒ sensitivity reach of upcoming experiments (degeneracies)



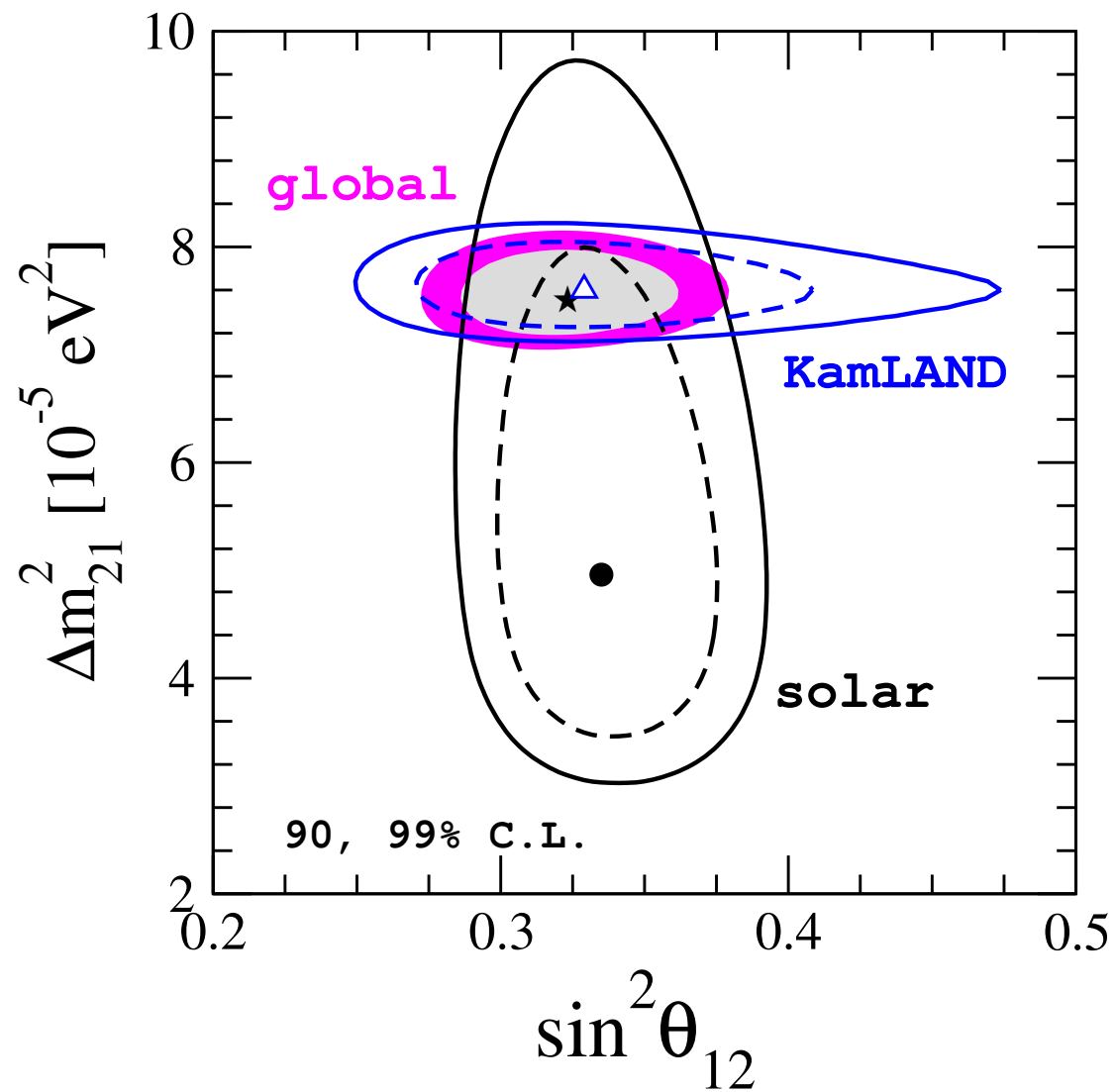
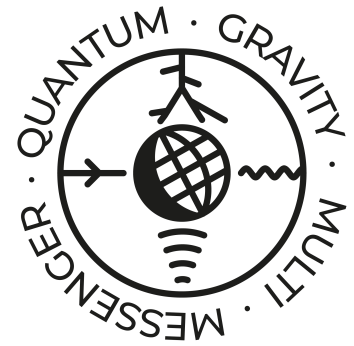
$$\mathcal{L}_{\text{NC-NSI}} = -2\sqrt{2}G_F \epsilon_{\alpha\beta}^{fX} (\bar{\nu}_\alpha \gamma^\mu P_L \nu_\beta) (\bar{f} \gamma_\mu P_X f)$$

$$\epsilon_{\alpha\beta} \neq 0 \quad \rightarrow \text{NSI violate lepton flavor (FC-NSI)}$$

$$\epsilon_{\alpha\alpha} - \epsilon_{\beta\beta} \neq 0 \quad \rightarrow \text{NSI violate lepton universality (NU-NSI)}$$

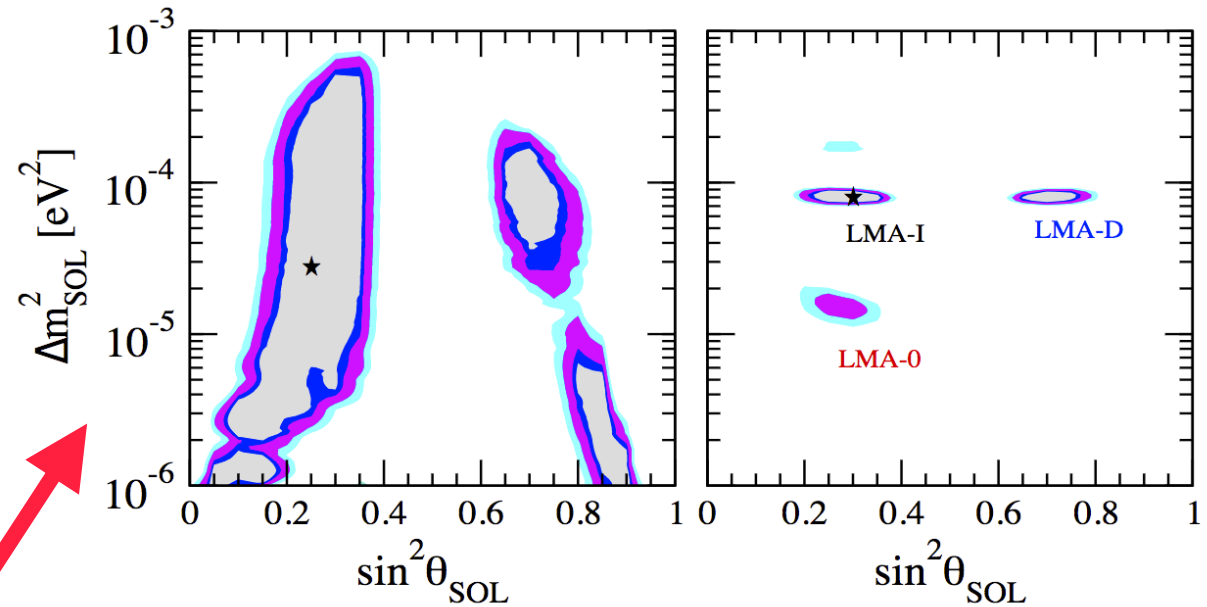
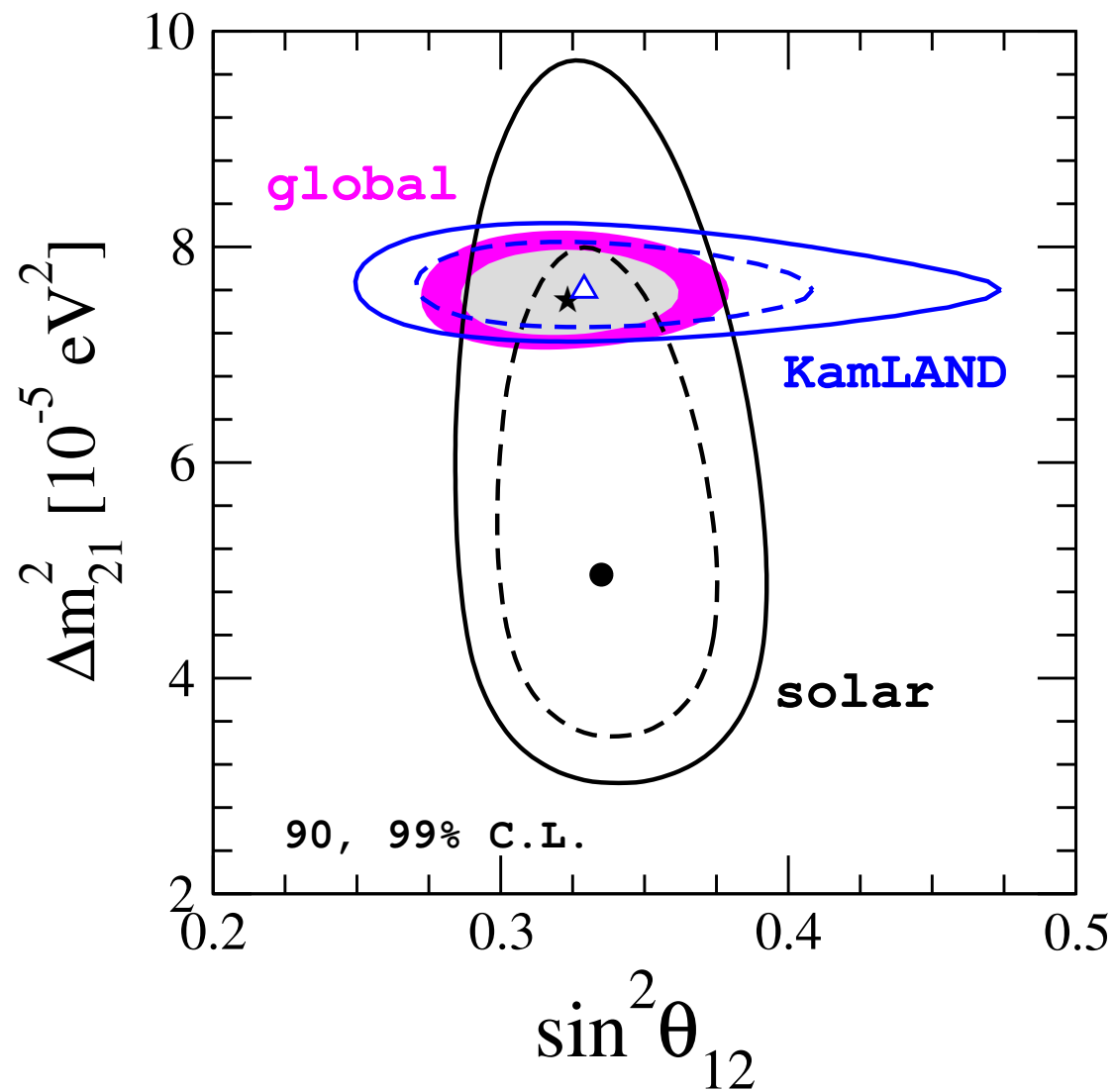
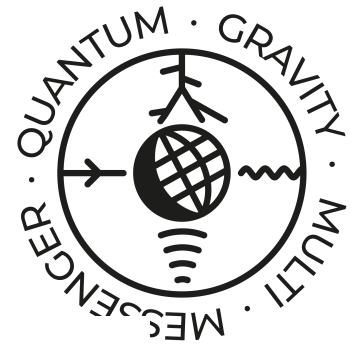
⇒ mainly affecting neutrino propagation in matter
(but also detection in Super-K & Borexino)

NSI in solar ν sector



de Salas et al, PLB782 (2018) 633

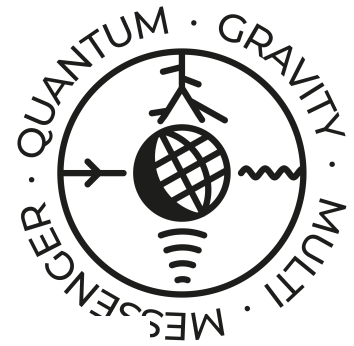
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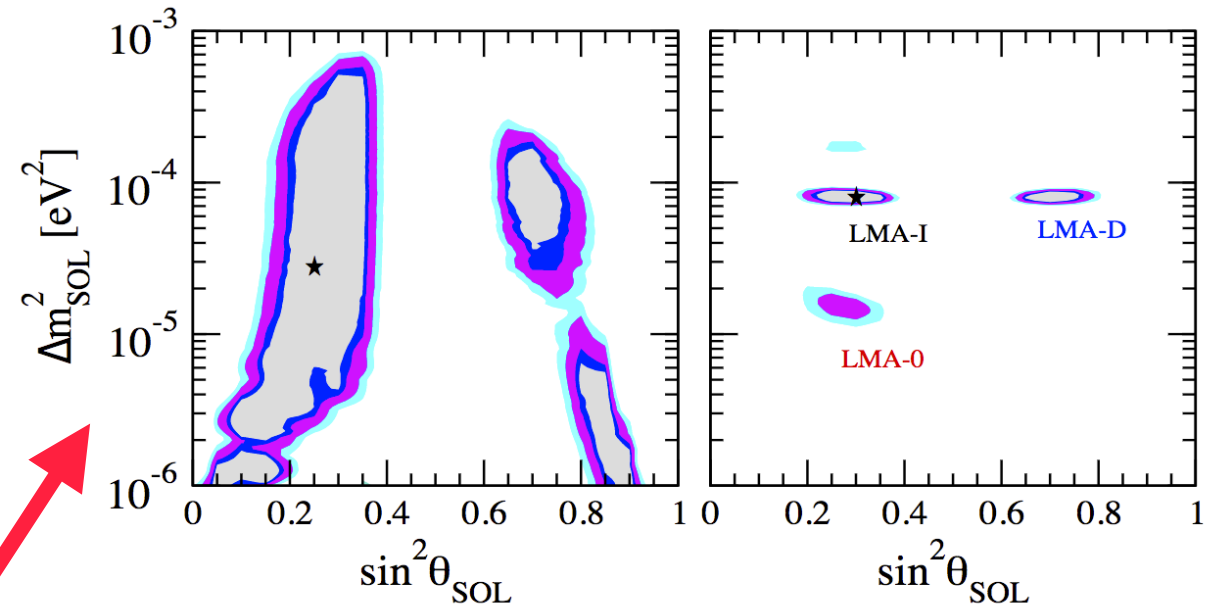
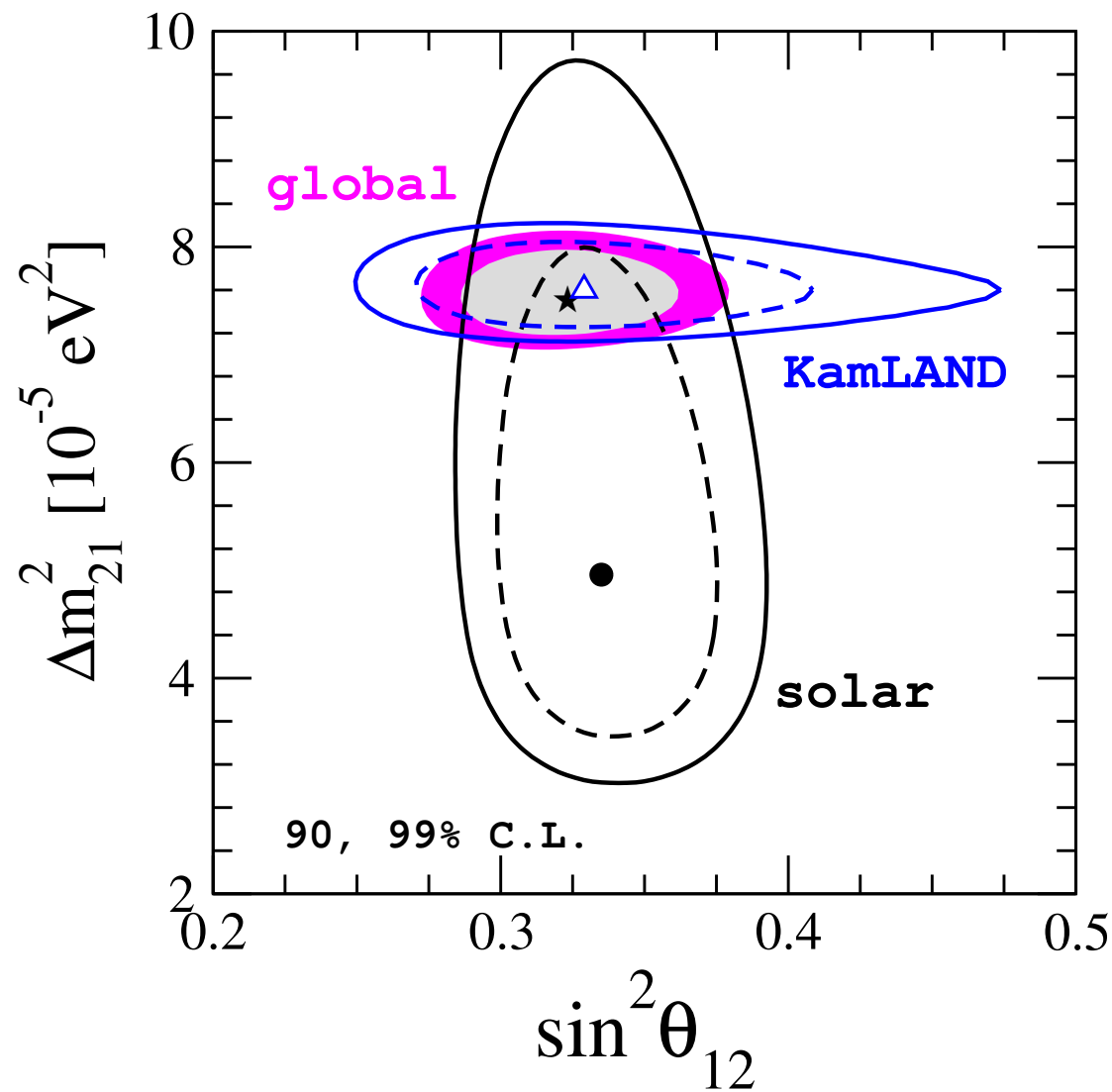
⇒ degenerate solar solution

de Salas et al, PLB782 (2018) 633

NSI in solar ν sector



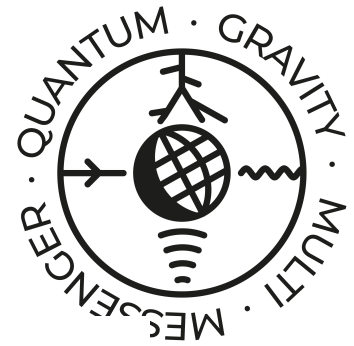
Miranda et al, JHEP 2006



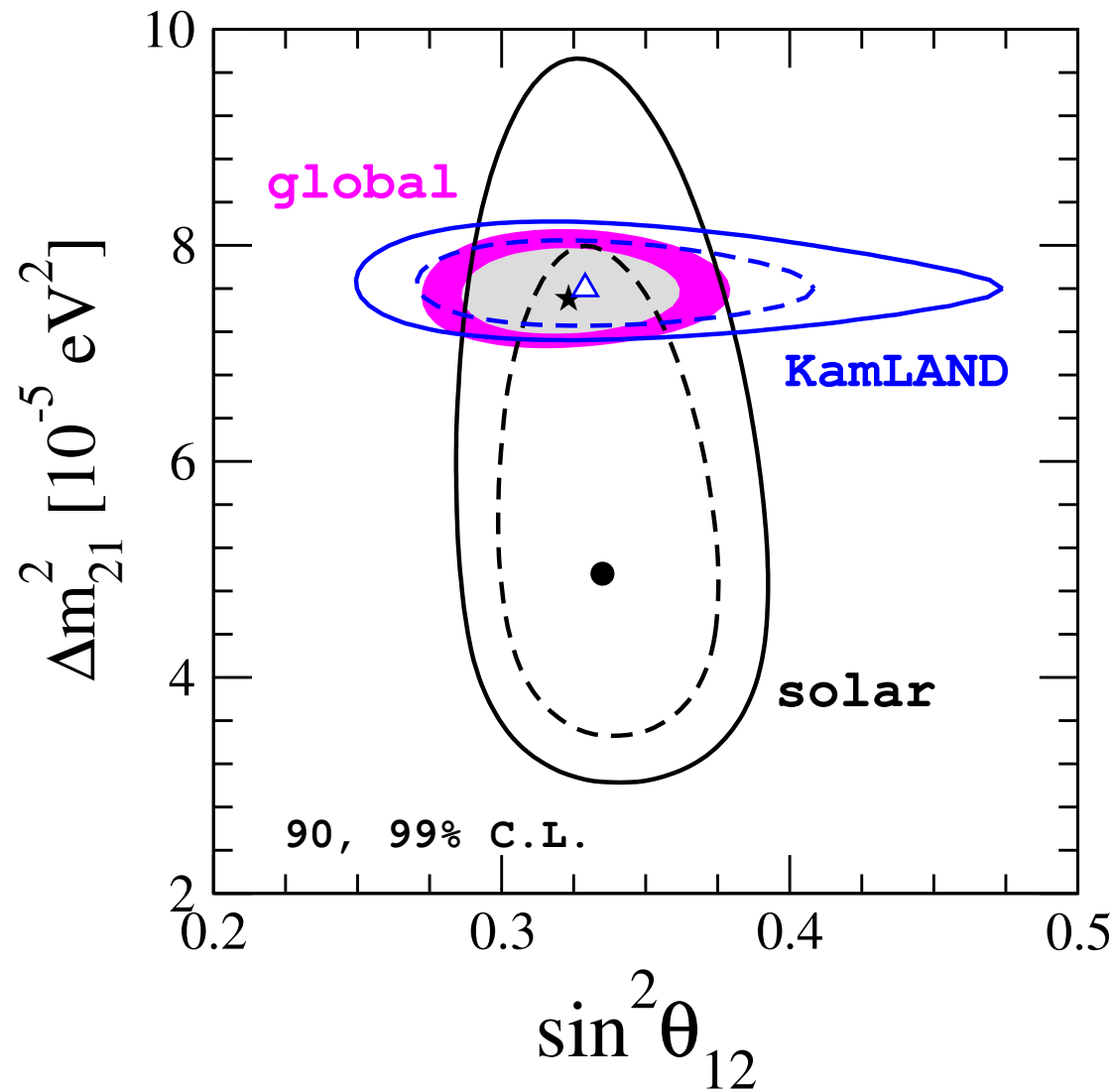
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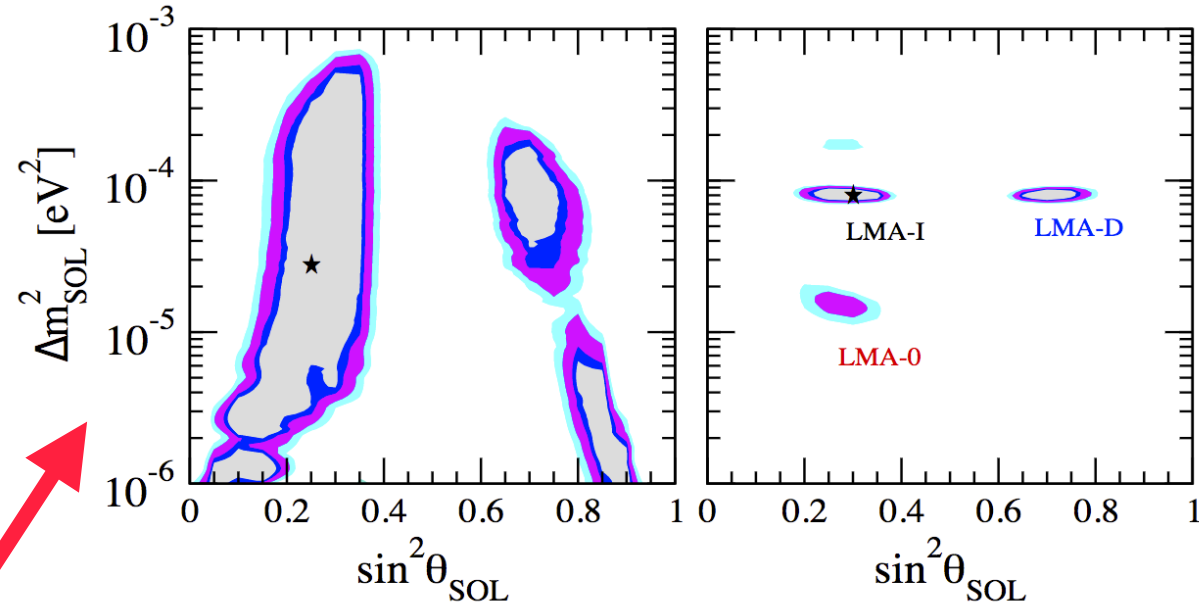
NSI in solar ν sector



Standard 3 ν oscillations

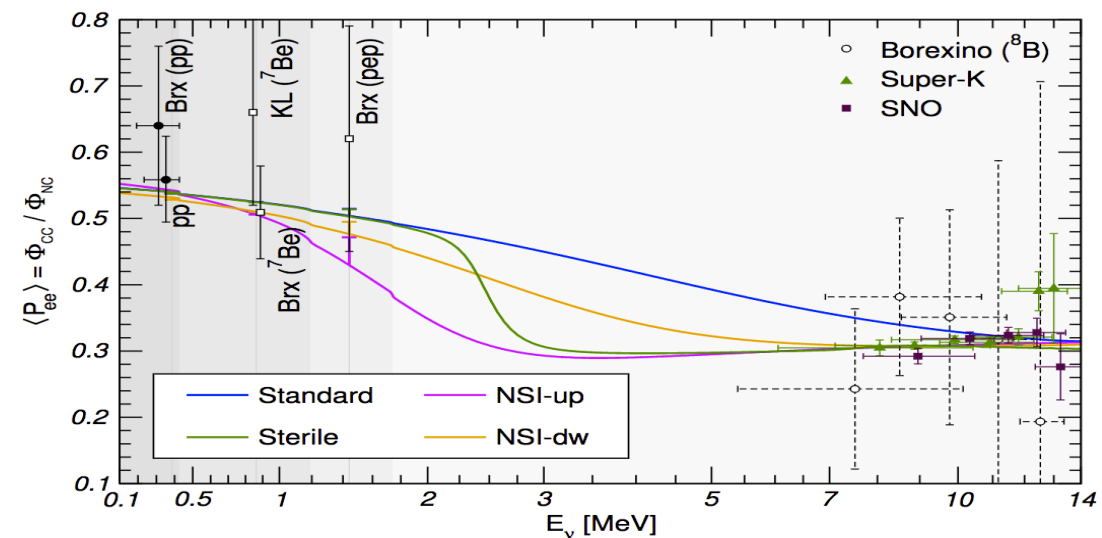


Miranda et al, JHEP 2006



⇒ degenerate solar solution

Maltoni & Smirnov, EPJ 2015

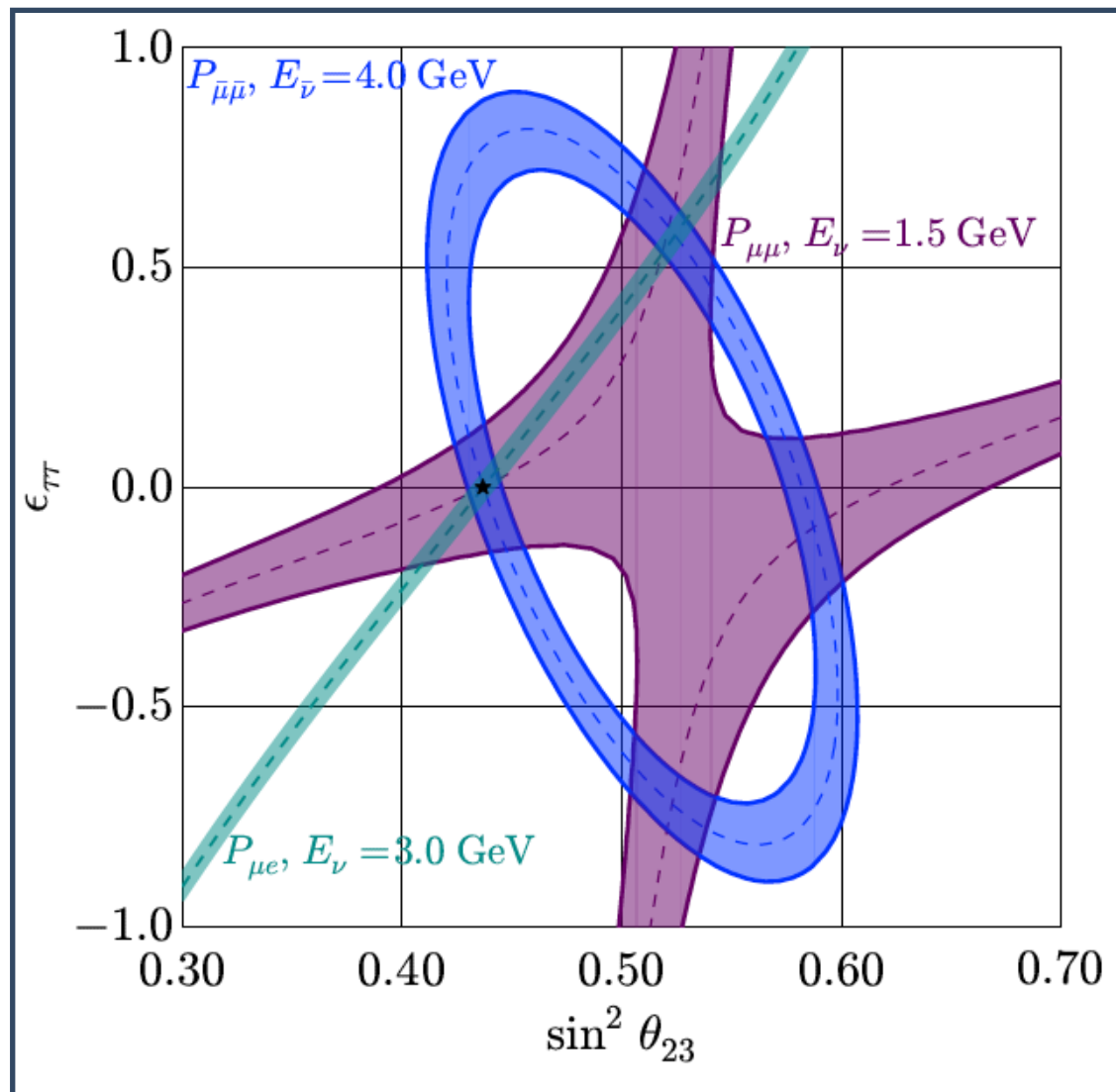


⇒ reconciles tension between Δm^2_{21}
@ KamLAND and solar data

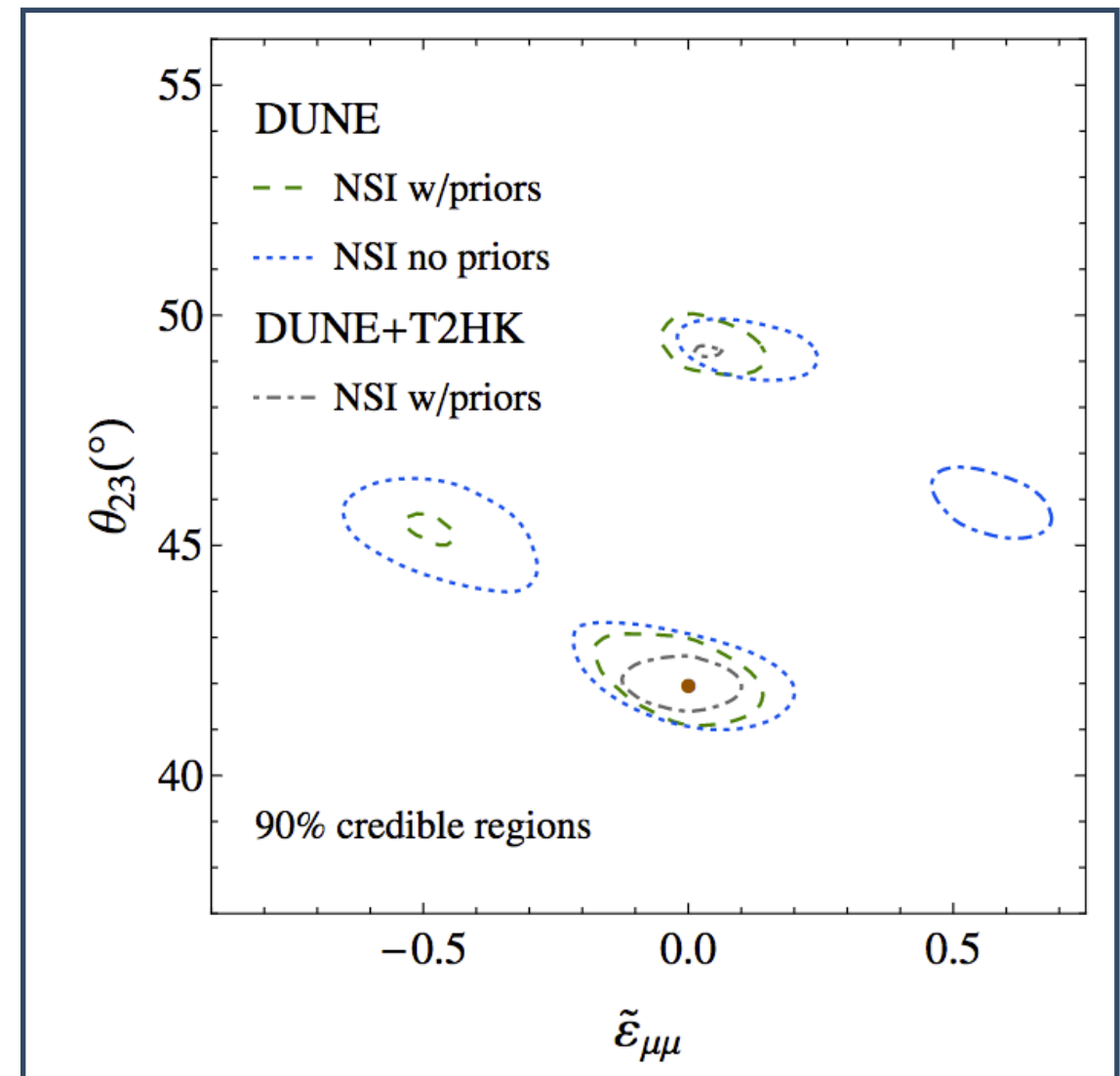
de Salas et al, PLB782 (2018) 633

NSI at future LBL experiments

$(\theta_{23}-\epsilon_{\tau\tau})$ degeneracy in DUNE



Gouvea and Kelly, NPB 2016

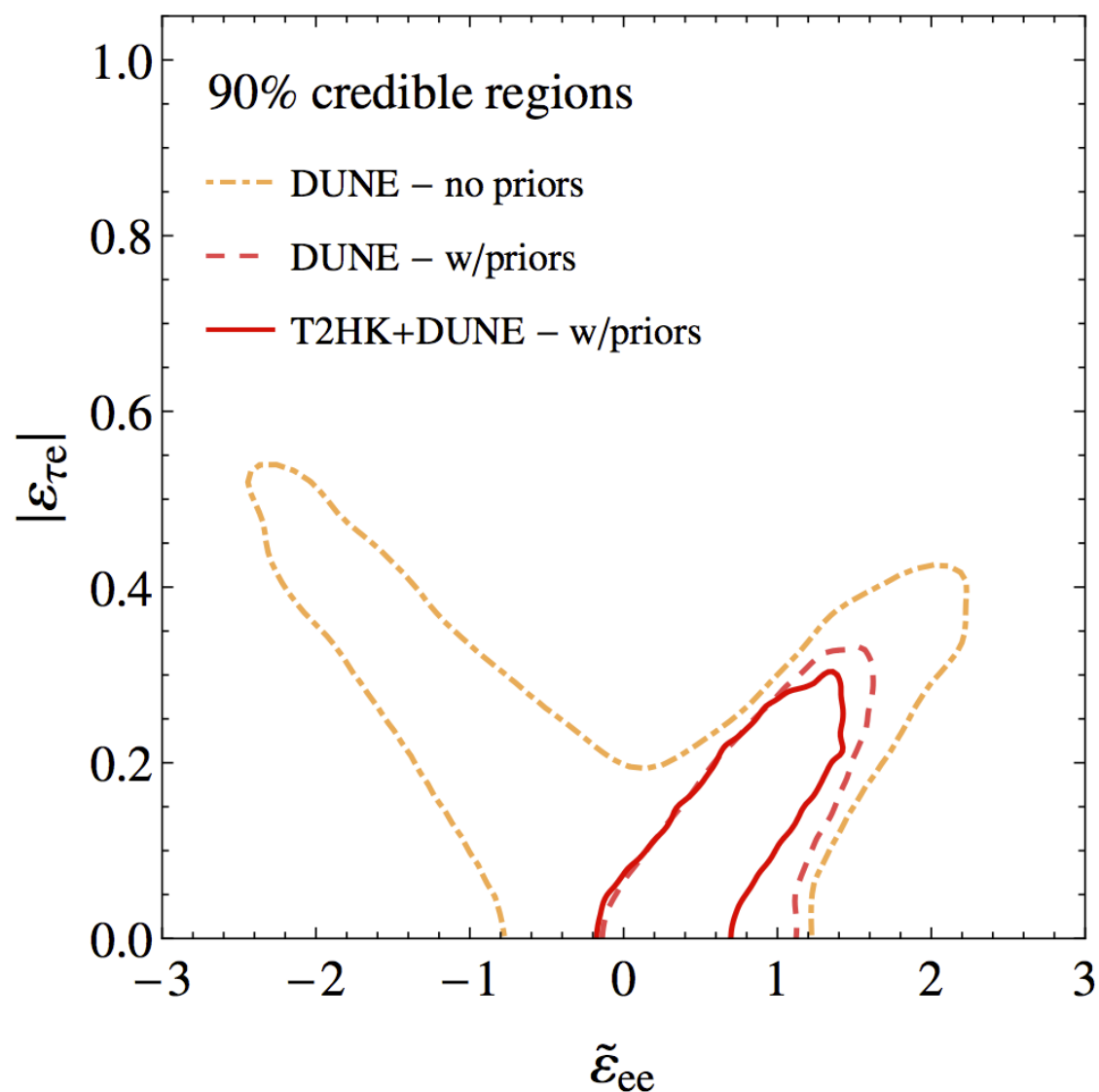


Coloma, JHEP 2016

NSI at future LBL experiments

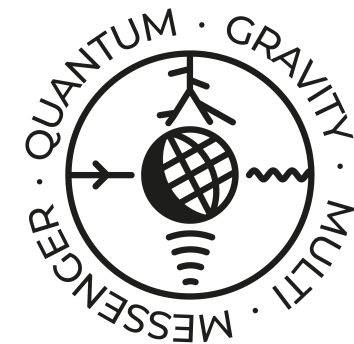


$(\delta - \epsilon_{ee} - \epsilon_{e\tau})$ degeneracy in DUNE

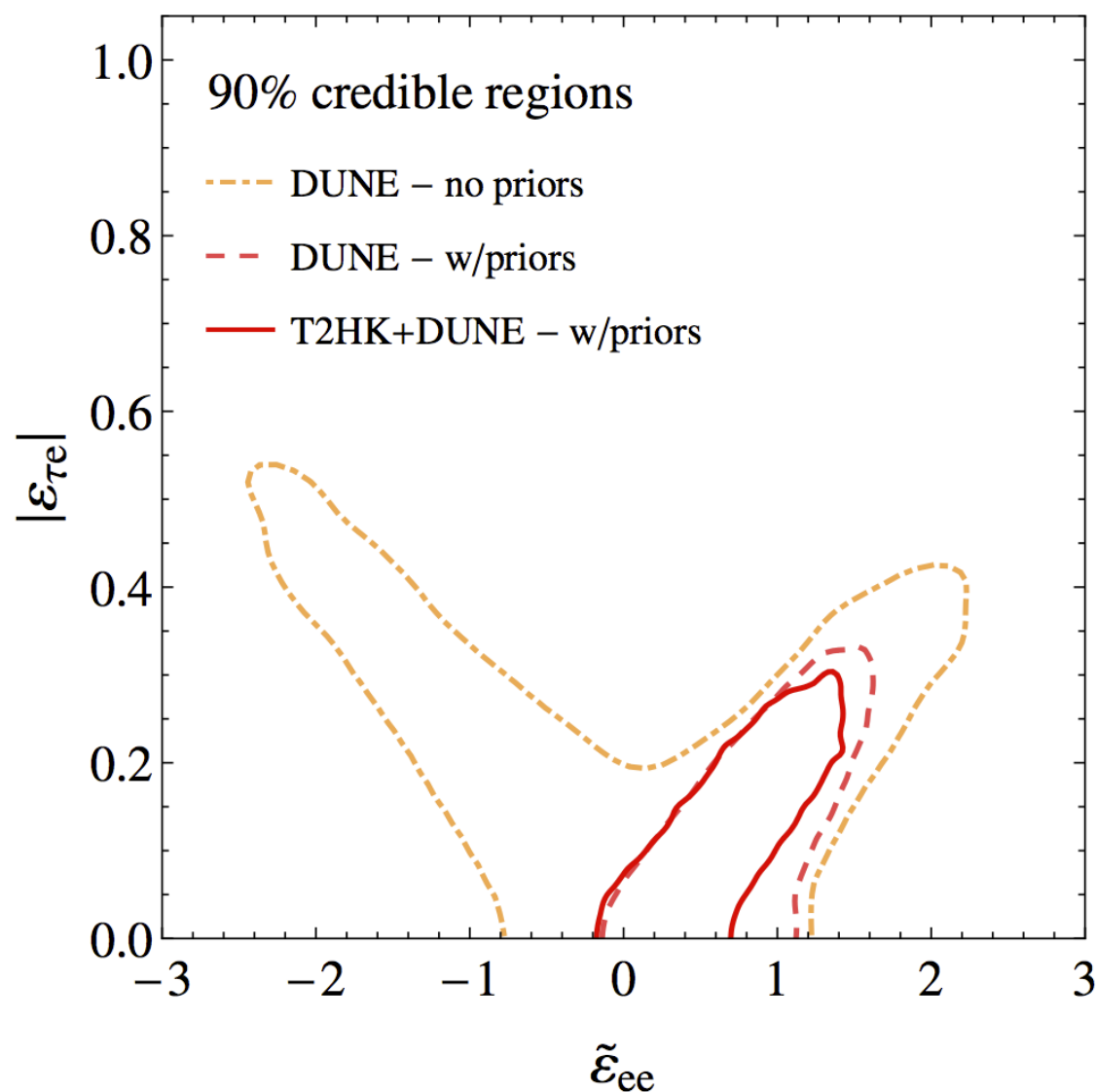


Coloma, JHEP 2016

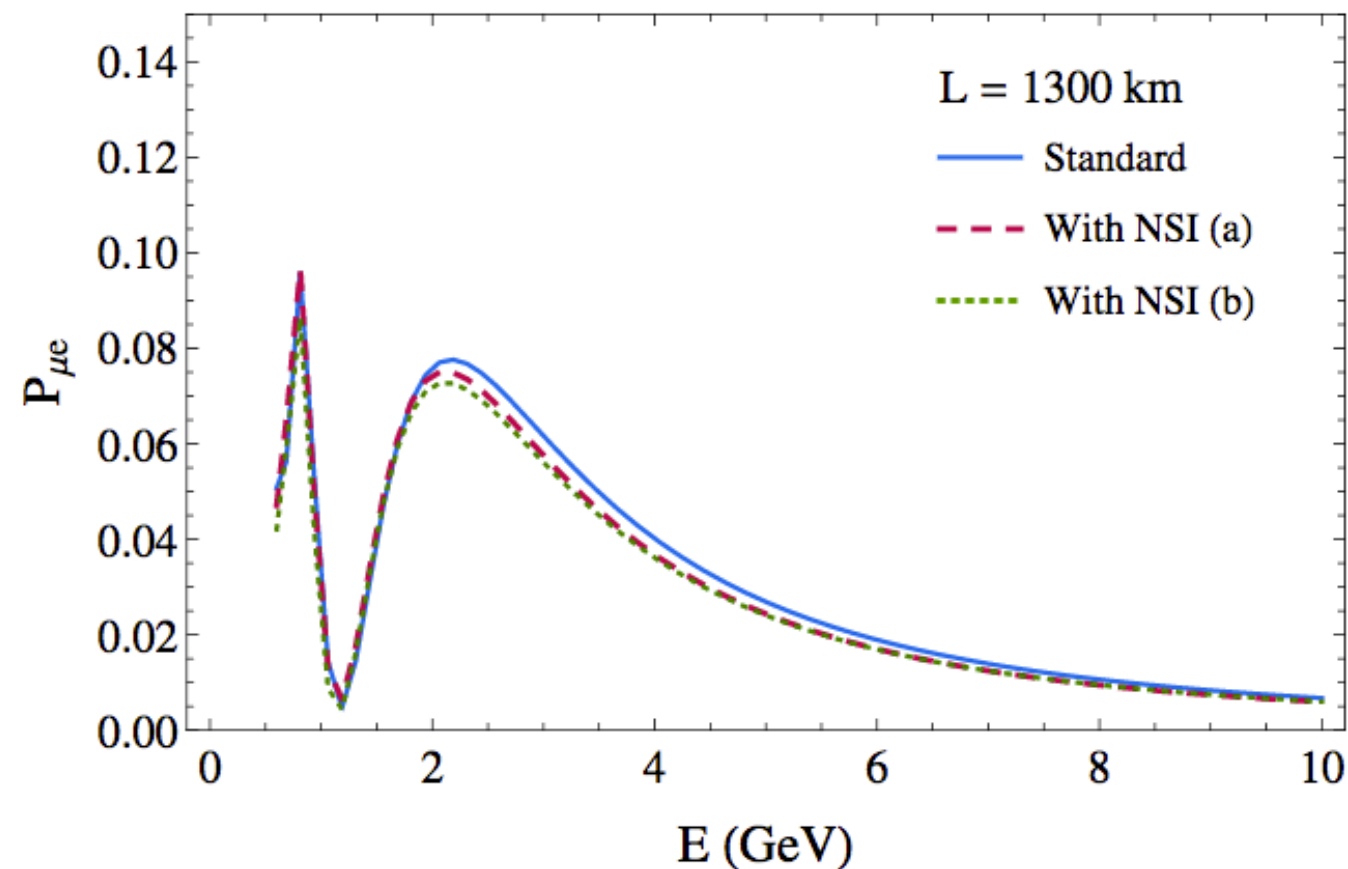
NSI at future LBL experiments



$(\delta - \epsilon_{ee} - \epsilon_{e\tau})$ degeneracy in DUNE



⇒ it may affect CP-violation sensitivity



Coloma, JHEP 2016

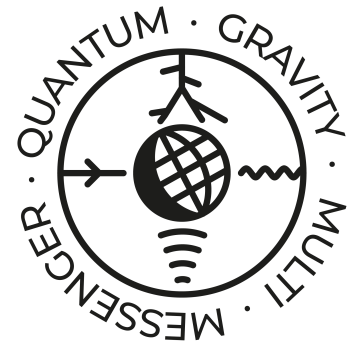
NSI: Notation



✓ NSI in neutrino **propagation in matter**:

$$\epsilon_{\alpha\beta}^{fV} = \epsilon_{\alpha\beta}^{fL} + \epsilon_{\alpha\beta}^{fR} \quad (\text{NSI at detection: } \epsilon_{\alpha\beta}^{fL}, \epsilon_{\alpha\beta}^{fR})$$

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✓ In ordinary matter (e, p, n) \rightarrow (e, u, d)

$$\epsilon_{\alpha\beta} \equiv \epsilon_{\alpha\beta}^{eV} + \frac{N_u}{N_e} \epsilon_{\alpha\beta}^{uV} + \frac{N_d}{N_e} \epsilon_{\alpha\beta}^{dV} \quad \text{with} \quad V_{\text{NSI}} \propto \epsilon_{\alpha\beta} N_e$$

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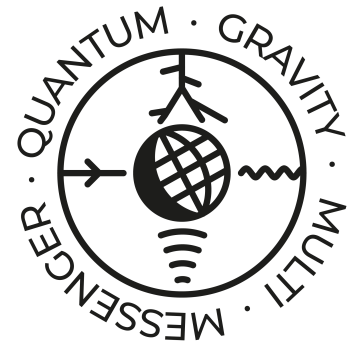
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$$\epsilon_{\alpha\beta} = \sum_f \frac{N_f}{N_d} \epsilon_{\alpha\beta}^{fV} \quad \text{with} \quad V_{\text{NSI}} \propto \epsilon_{\alpha\beta} N_d$$

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Sun: $N_u/N_e \simeq 2N_d/N_e \simeq 1$

Earth: $N_u/N_e \simeq N_d/N_e \simeq 3$

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Factor 3 difference!!

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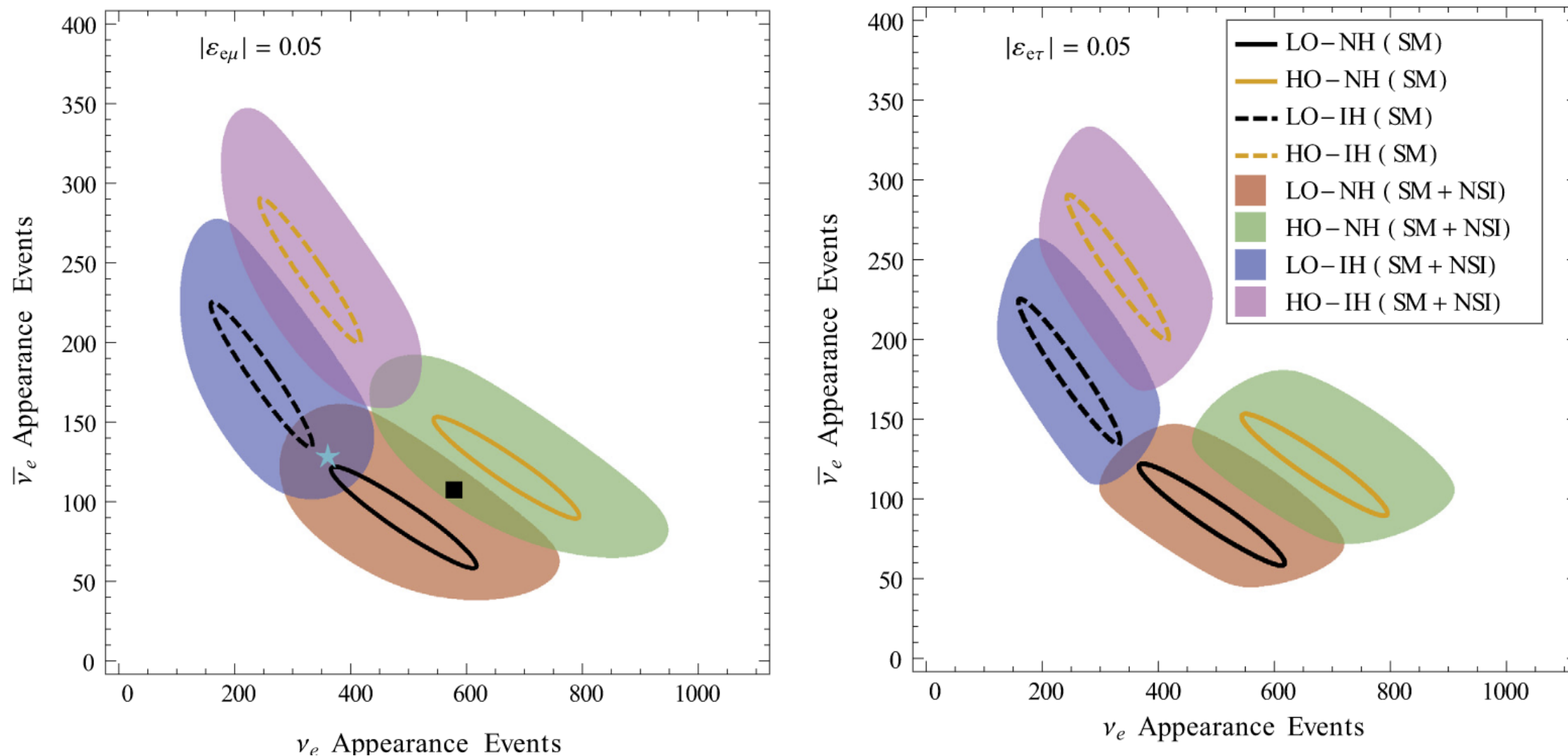
Earth: $N_u/N_e \simeq N_d/N_e \simeq 3$

$$\epsilon_{\alpha\beta}^{fV}$$

NSI at future LBL experiments



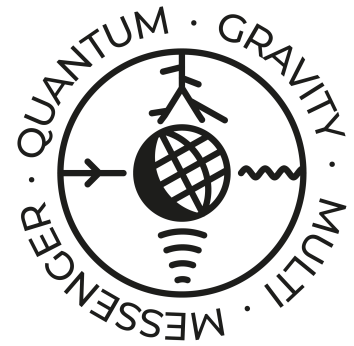
Degeneracies in determination of θ_{23} octant in DUNE



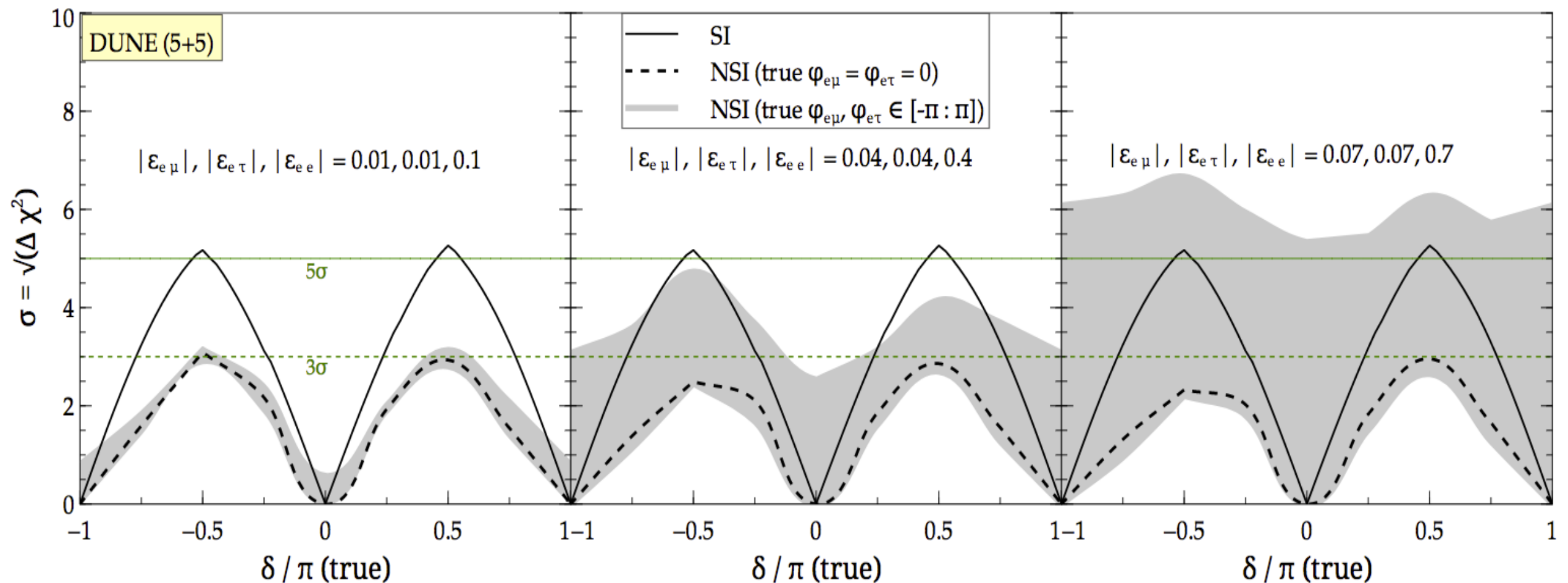
Agarwalla et al, PLB 2016

⇒ interference term in $\phi_{e\alpha}$ can mimic swap in the octant

NSI at future LBL experiments



NSI significantly spoil sensitivity to CP violation in DUNE

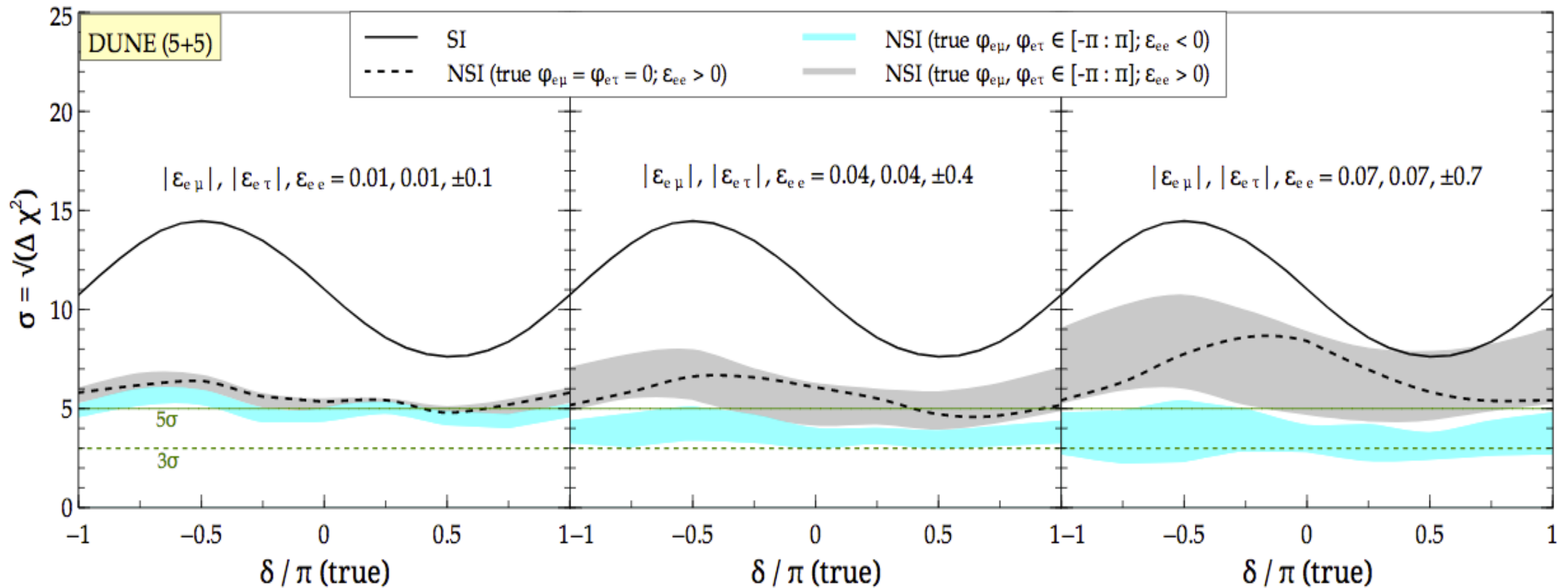


Masud and Mehta, PRD 2016

NSI at future LBL experiments

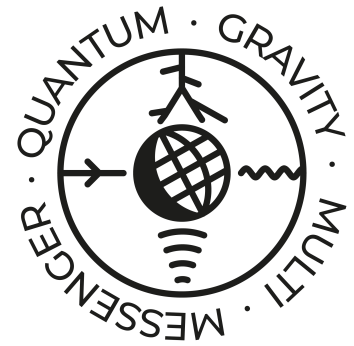


NSI significantly spoil sensitivity to **mass ordering in DUNE**



Masud and Mehta, PRD 2016

Non-unitary light ν mixing



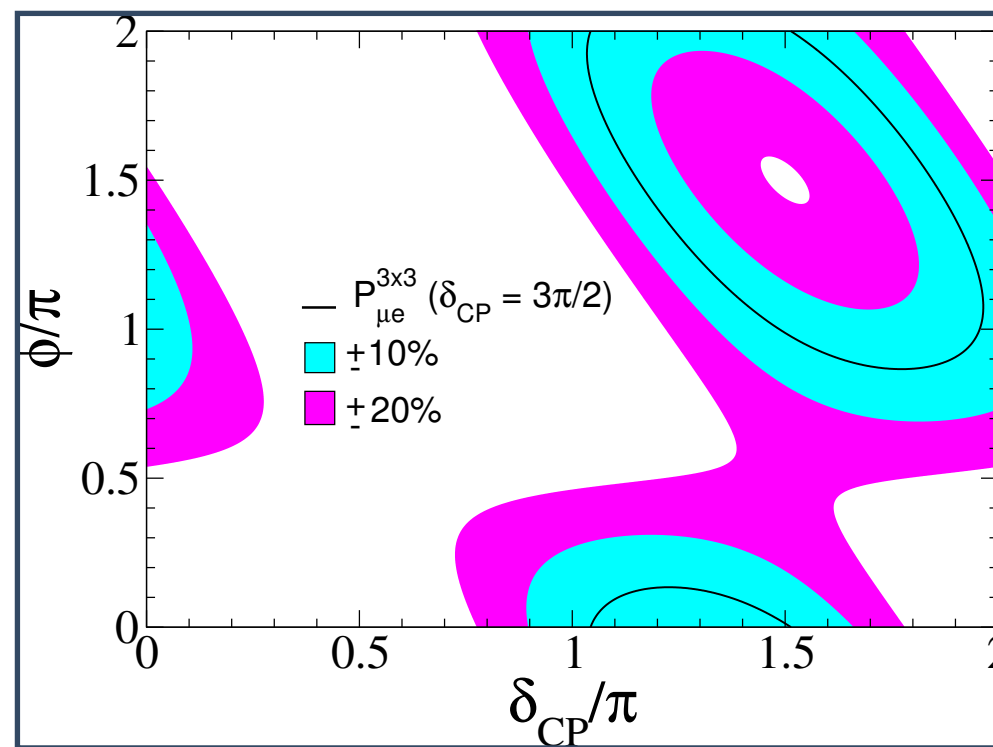
☑ Most models of neutrino masses include **new extra heavy states**

Ex: type I seesaw, inverse seesaw

$$\begin{pmatrix} 0 & M_D \\ M_D^T & M_R \end{pmatrix} \quad \begin{pmatrix} 0 & M_D & 0 \\ M_D^T & 0 & M \\ 0 & M^T & \mu \end{pmatrix}$$

→ (3x3) light neutrino mixing matrix U is **non-unitary** in general

$$N = \begin{pmatrix} \alpha_{11} & 0 & 0 \\ \alpha_{21} & \alpha_{22} & 0 \\ \alpha_{31} & \alpha_{32} & \alpha_{33} \end{pmatrix} U^{3 \times 3}$$

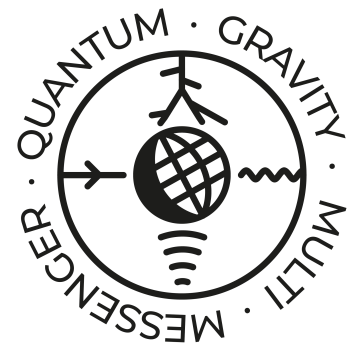


(δ, ϕ)
degeneracies in
 $P_{\mu e}$

New phases will
modify the
standard oscillation
picture in LBL

Miranda, MT, Valle, PRL 117 (2016)

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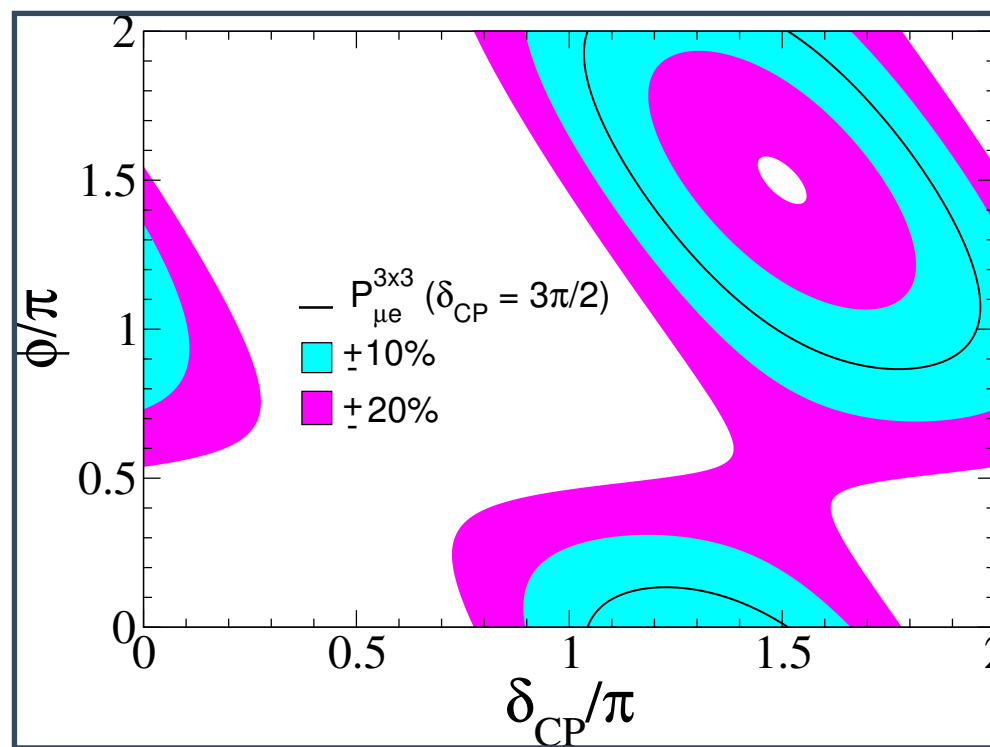
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☑ General parameterization for non-unitary $N \times N$ mixing matrix

$$U^{n \times n} = \begin{pmatrix} N & W \\ V & T \end{pmatrix} \text{ with}$$

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→ α_{ii} real, α_{ij} complex: 9 new parameters



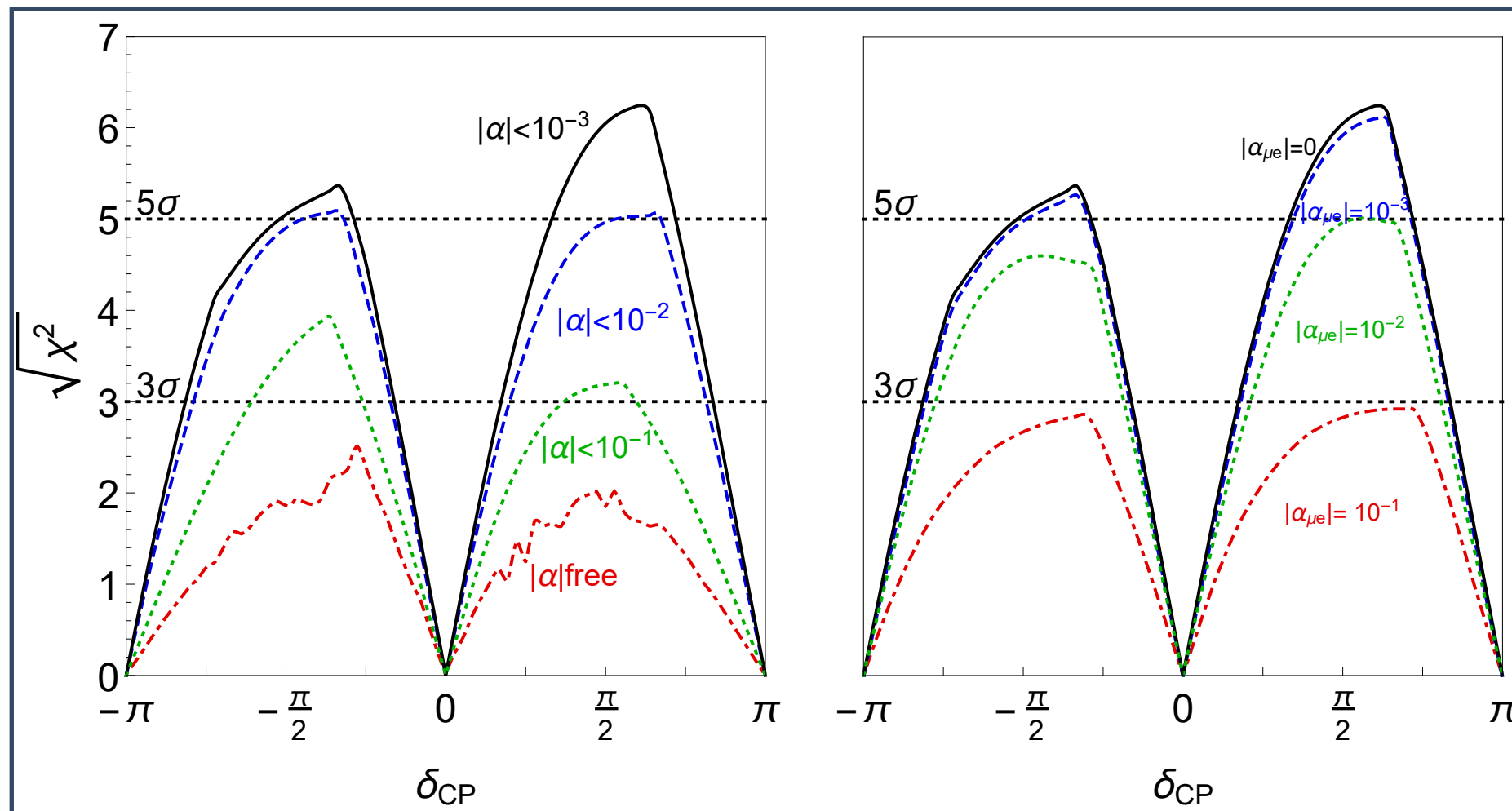
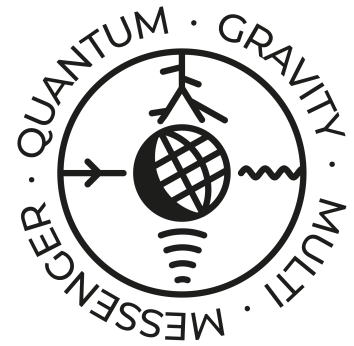
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Escrivuela et al, PRD92 (2015)

Miranda, MT, Valle, PRL 117 (2016)

DUNE CP sensitivity with NU

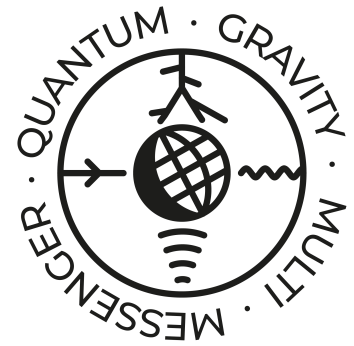


Fernández-Martínez et al (DUNE-BSM Working Group)

→ The sensitivity to CP violation might be spoiled in the absence of priors on NU

→ With priors based on current bounds (10^{-3} - 10^{-2}), the effect is not less dramatic

Non-unitary light ν mixing



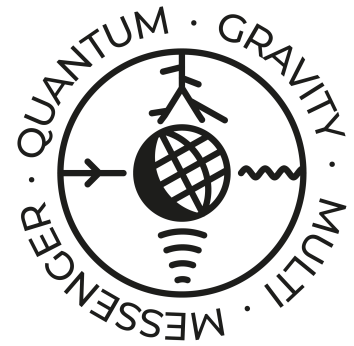
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→ (3x3) light neutrino mixing matrix U is **non-unitary** in general

- ☑ $N \times N$ unitary mixing matrix described with:

$N(N-1)/2$ mixing angles and $(N-1)(N-2)/2$ Dirac CP phases (4 param for $N=3$)

Non-unitary light ν mixing



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- ☑ $N \times N$ **non-unitary mixing matrix** described with $2N^2 - (2N-1)$ parameters

→ 13 parameters are needed to describe a non-unitary (3x3) matrix

→ besides the three standard θ_{ij} and δ_{CP} , 9 more parameters are needed

Non-unitary light ν mixing



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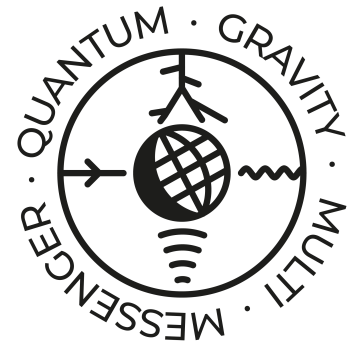
$$U^{n \times n} = \begin{pmatrix} N & W \\ V & T \end{pmatrix} \quad \text{with} \quad N = N^{NP} U^{3 \times 3} = \begin{pmatrix} \alpha_{11} & 0 & 0 \\ \alpha_{21} & \alpha_{22} & 0 \\ \alpha_{31} & \alpha_{32} & \alpha_{33} \end{pmatrix} U^{3 \times 3}$$

Escrivuela et al, PRD92 (2015)

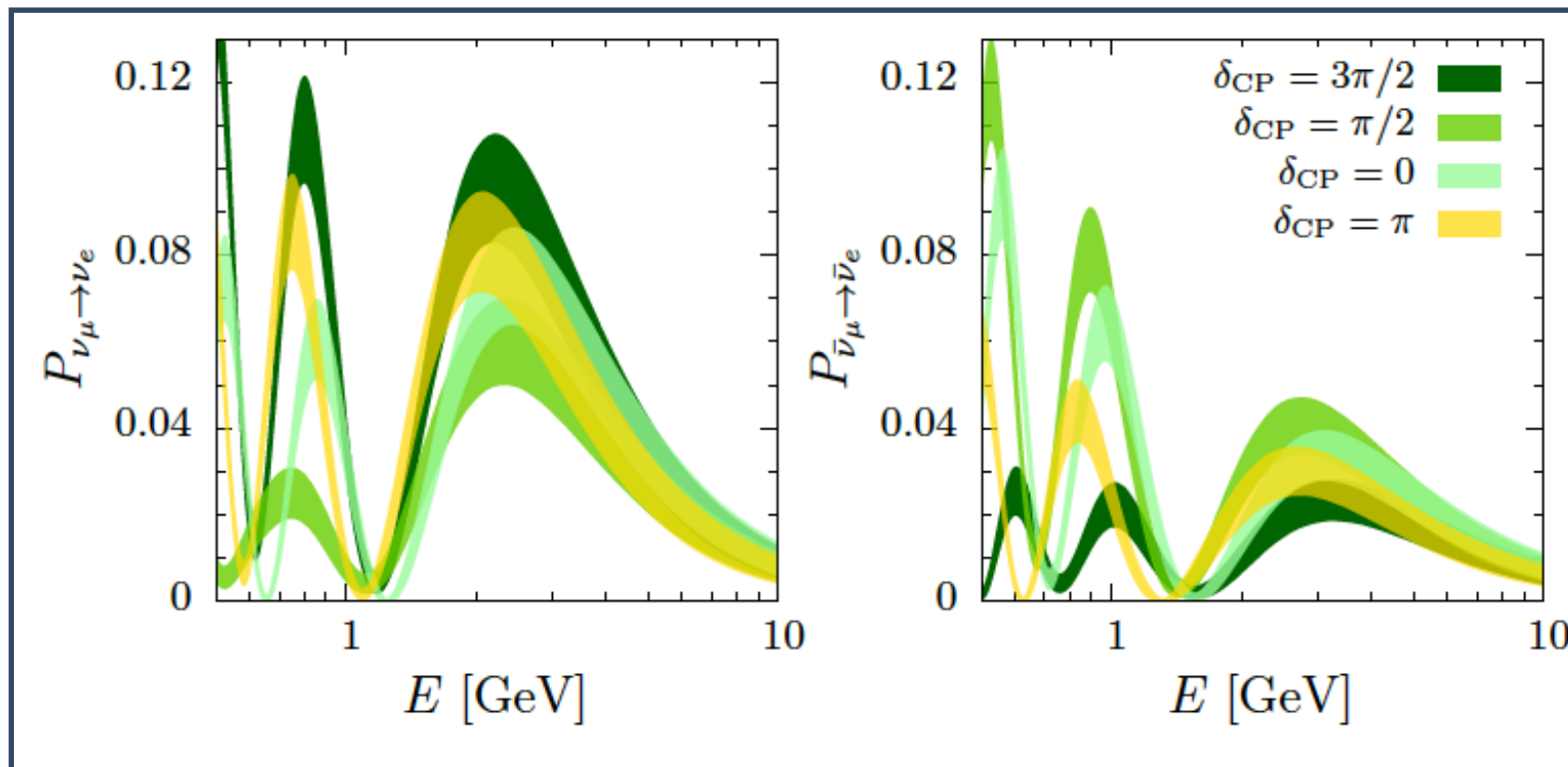
See also Xing, PRD2012 for $n=6$

→ α_{ii} real, α_{ij} complex: 9 new parameters

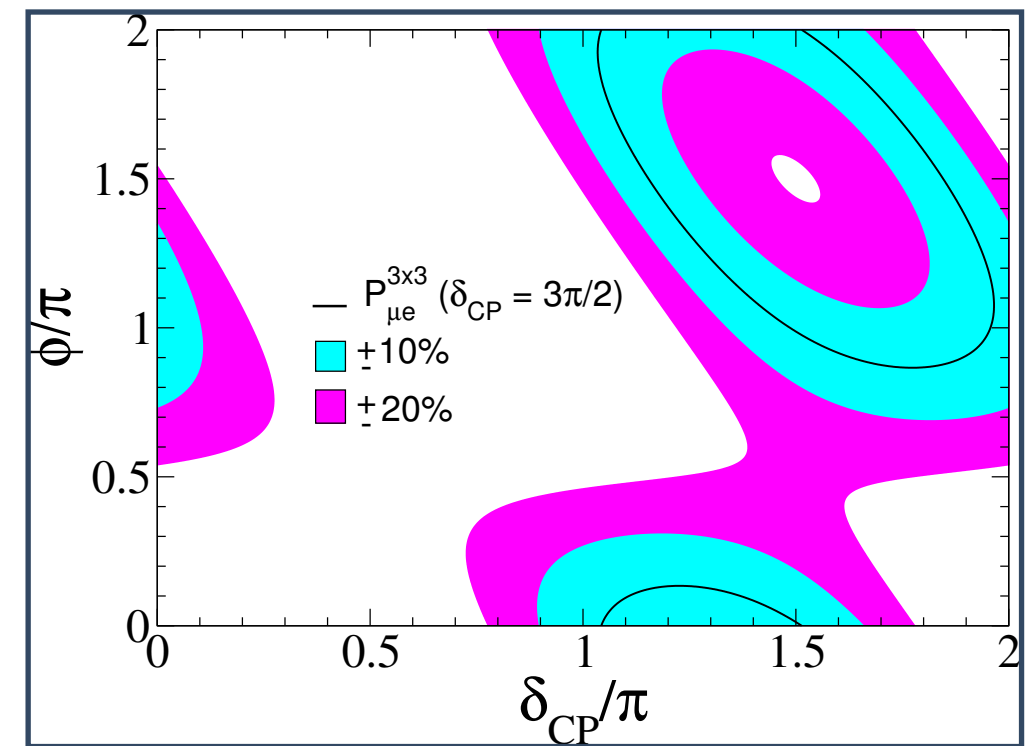
NU ν oscillations in DUNE



The new phases will modify the standard oscillation picture in LBL experiments, such as DUNE



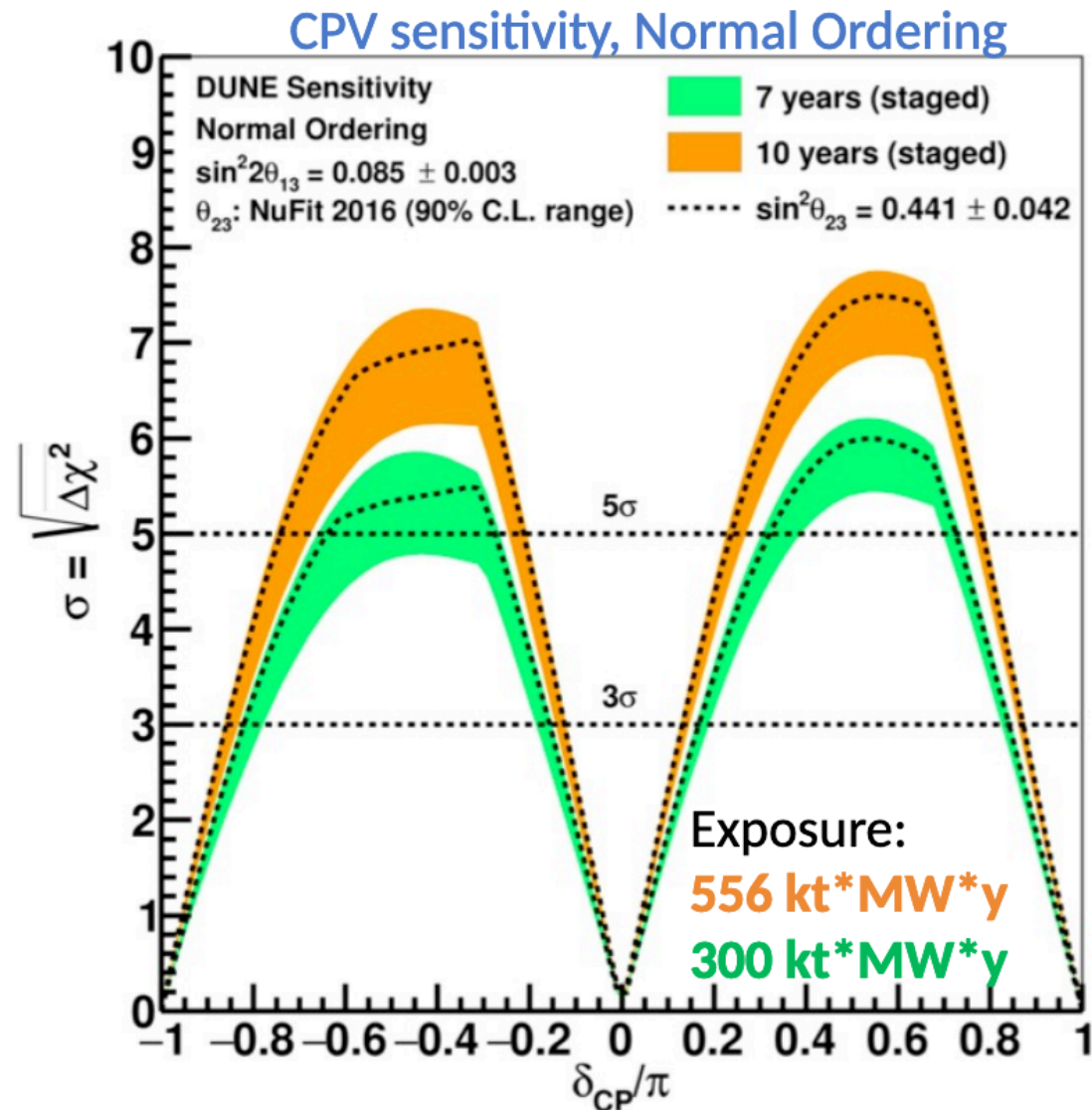
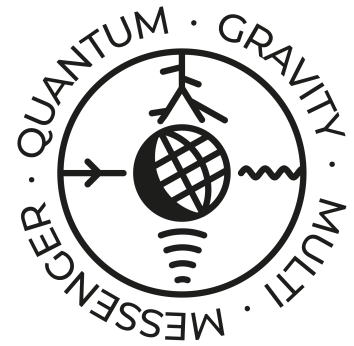
Escrihuela et al, NJP 2017



Miranda, MT, Valle, PRL 117 (2016)

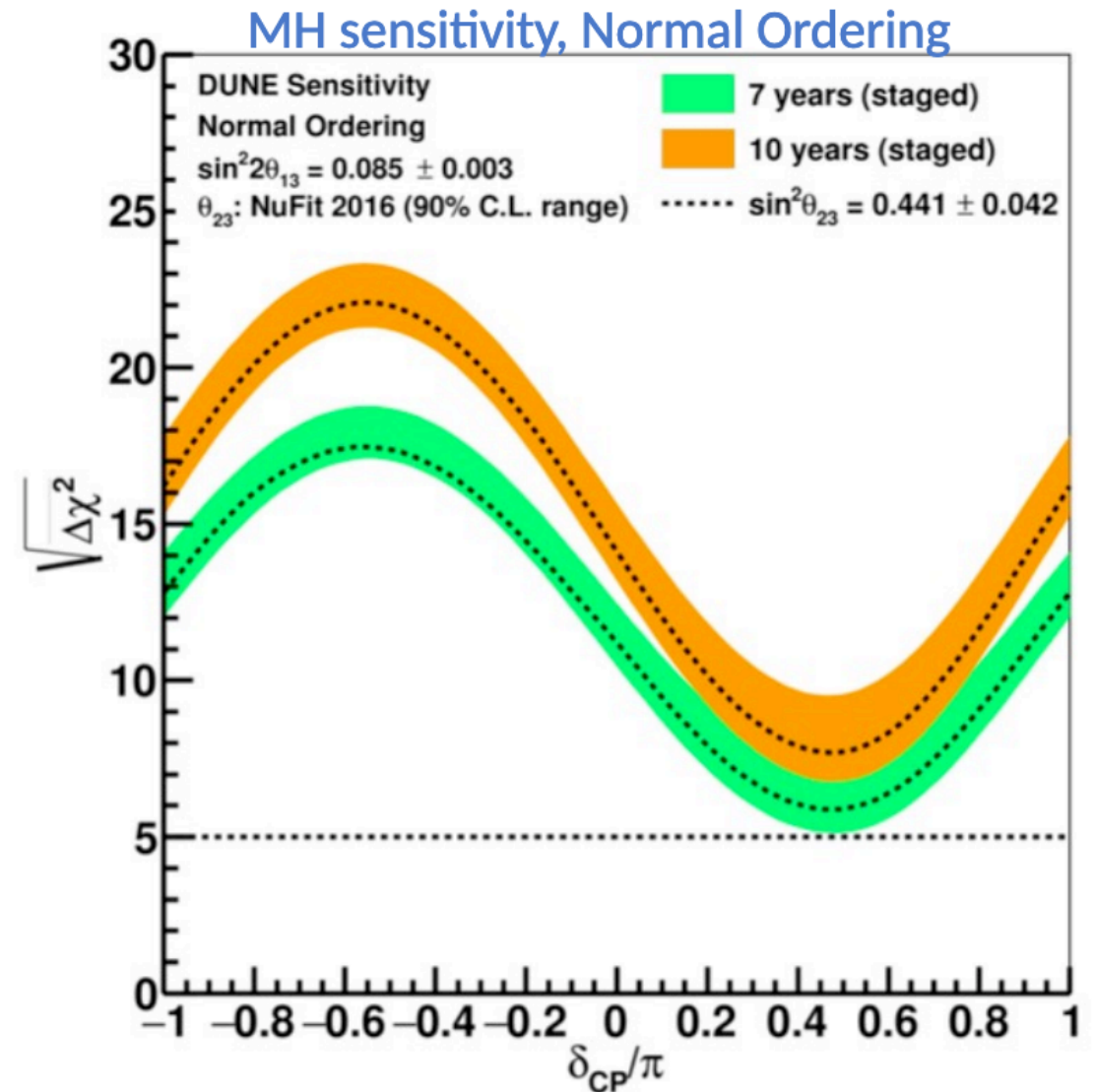
→ (δ, ϕ) degeneracies in $P_{\mu e}$ for $E \gtrsim 3$ GeV spoil sensitivity to δ

Sensitivity to CP-violation and mass ordering in DUNE



Width of bands indicates variation in possible central values of θ_{23}

DUNE Conceptual Design Report (CDR)
 arXiv:1512.06148



>5 σ sensitivity for both orderings and the full range of δ_{CP}