SUB-GEV DARK MATTER AND SUPERFLUID HE-4: AN EFFECTIVE THEORY APPROACH

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<u>Talk mostly based on:</u> Acanfora, AE, Polosa — EPJC (2019); arXiv:1902.02361 Caputo, AE, Polosa — PRD (2019); arXiv:1907.10635 Caputo, AE, Geoffray, Sun, Polosa — arXiv:1911.04511

OUTLINE

- Introduction and motivation
- Intro to the relativistic EFT for superfluids
 - I. Phonon as Goldstone boson
 - 2. Phonon's self interactions
- Dark matter with a scalar mediator
 - I. Dark matter phonon interaction
 - 2. Emission of one and two phonons
 - 3. A subtle cancellation
- Dark matter with vector mediator
 - I. Dark matter phonon interaction
 - 2. Emission of one phonon
- Conclusions and future plans

INTRO Dark matter

• Most of the matter (~ 80%) that interacts gravitationally is dark



- If interpreted as a new kind of particle, the presence of dark matter is one of the strongest evidences for physics beyond the Standard Model
- Earth-based experiments have to deal with a huge possible mass range
- Detection techniques vary widely depending on the dark matter mass

INTRO



 In the sub-MeV region things are more complicated ------> the dark matter is too light to deposit energy via recoil but too heavy to take advantage of resonant phenomena

INTRO Why helium-4?

[see e.g. Hochberg, Zhao, Zurek – PRL 2016, 1504.07237; 1712.06598; Bunting, Gratta, Melia, Rajendran – PRD 2017, 1701.06566; Cavoto, Luchetta, Polosa – PLB 2018, 1706.02487, and many others]

- A promising proposal is to of employ superfluid He-4:
 - I. Light nucleus -----> large energy released to the material
 - 2. Collective excitations are gapless
 - 3. Cheap and pure against radioactive decay
 - 4. Allows to go down to masses of ~keV
- The emission of two collective excitations by the dark matter might release enough energy to be detected!
 [Schutz, Zurek – PRL 2016, 1604.08206; Knapen, Lin, Zurek – PRD 2017, 1611.06228]



INTRO A different approach

- Standard approach is complicated ---> He-4 is strongly coupled ---> to describe its interactions with dark matter is hard
- · Alternative way: relativistic EFT for superfluids
- <u>Advantages</u> for the problem at hand:

 - All couplings are determined from the equation of state -> no free parameters + no need for model or approximations
 - 3. Extendible to other models of dark matter

EFT FOR CONDENSED MATTER General idea

- The fundamental laws of Nature are Poincaré invariant but different states of matter (solids, superfluids, etc.) are not

[Nicolis, Penco, Piazza, Rattazzi - JHEP 2015, 1501.03845]

EFT FOR SUPERFLUIDS

Symmetry breaking pattern

• The superfluid phonon is the Goldstone boson for the spontaneous breaking of spacetime and internal symmetries

[see e.g. Lange - PRL 1965; Leutweyler - HPA 1970, hep-ph/9609466; Nicolis, Penco, Piazza, Rattazzi - JHEP 2015, 1501.03845]

• Other excitations cannot be described by the EFT



- A superfluid is a system that:
 - I. Is at finite density for a conserved charge Q of a U(1) group (particle number)
 - 2. Spontaneously breaks boosts, times translations and the U(1)
 - 3. Preserves a combination of the last two: $\bar{H} = H \mu Q$

[see e.g. Son - hep-ph/0204199; Nicolis - 1108.2513]

EFT FOR SUPERFLUIDS

Phonon's self-interaction

• Symmetry breaking pattern can be realized with a real scalar field such that

$$\psi \xrightarrow{U(1)} \psi + a;$$
 $\langle \psi(x) \rangle = \mu t$ $\psi(x) = \mu t + \pi(x)$ phonon

• Most general action at low energies:



Goldstone =

EFT FOR SUPERFLUIDS A validity check

- An interesting check of the validity of the EFT can be obtained by computing the $\pi \to \pi \pi$ decay
- Feynman rule:

$$\frac{q_{3}}{q_{1}} = 2\sqrt{\frac{h_{1}}{n}} = c_{3} \frac{1}{\sqrt{n}} + c_{3} \frac{1}{\sqrt{$$

• Corresponding total rate:

$$\Gamma = \frac{1}{240\pi\rho_{He}c_s^5} \left(1 + \rho_{He}c_s\frac{dc_s}{dP}\right)^2 \omega_1^5$$

• This is in perfect agreement with what already known about He-4

[see e.g. Maris - Rev. Mod. Phys. 1977]

DM - PHONON INTERACTION WITH SCALAR MEDIATOR

The effective action

- Let us consider a coupling between dark matter and phonon that happens via the **He-4 number density**: $\mathscr{L}_{int} = -G_{\chi}m_{\chi}|\chi|^2 n(X)$
- This coupling could be generated, for example, by a coupling to gluons via a scalar mediator in the nonrelativistic limit:

$$\mathscr{L}_{\bigcup \bigvee} = |\partial \chi|^2 - m_{\chi}^2 |\chi|^2 + \frac{1}{2} (\partial \phi)^2 - \frac{m_{\phi}^2}{2} \phi^2 - g_{\chi} m_{\chi} \phi |\chi|^2 - \frac{g_{\text{SM}}}{\Lambda} \phi G^a_{\mu\nu} G^{a\mu\nu}$$

• This produces the following dark matter - phonon coupling:

$$S = \int d^{4}x \left[|\partial\chi|^{2} - m_{\chi}^{2}|\chi|^{2} + G_{\chi}m_{\chi} \left(\frac{d\bar{n}}{d\mu} \sqrt{\frac{m_{He}}{\bar{n}}} c_{s} \dot{\pi} - \frac{1}{2} \frac{d\bar{n}}{d\mu} \frac{c_{s}^{2}}{\bar{n}} (\nabla\pi)^{2} + \frac{1}{2} \frac{d^{2}\bar{n}}{d\mu^{2}} \frac{m_{He}c_{s}^{2}}{\bar{n}} \dot{\pi}^{2} \right) |\chi|^{2} \right]$$

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PHONON(S) EMISSION

How do we see phonons?

- How would one detect phonons experimentally?
- · Quantum evaporation:

Phonon travels up to the surface of He-4 \longrightarrow if it has enough energy ($\omega \ge 0.62 \text{ meV}$) it can eject an atom from the surface \longrightarrow the atom can be detected

Energy released:

Phonons heat the system up \rightarrow if the energy released is enough ($\omega_{tot} \ge 1 \text{ meV}$) the change in temperature is appreciable \rightarrow detect with bolometers (e.g.TES)

[see e.g. Hertel, Biekert, Lin, Velan, McKinsey – 1810.06283; Maris, Seidel, Stein – PRL 2017, 1706.00117]

•

DETECTORS

DETECTORS

HEAT THE

YSTEM UP

HE-4 ATOM

PHONON(S) EMISSION

How do we see phonons?

- The are several R&D efforts going on
 - He-4 has several different signals that can be used to distinguish different events
 [Hertel, Biekert, Lin, Velan, McKinsey – 1810.06283]



2. Single evaporated atoms could be detected using strong field ionization obtained with an array of very small tips [Maris, Seidel, Stein – PRL 2017, 1706.00117]





[courtesy of David Osterman, Brown U.]

PHONON STABILITY

Phonon branching

- Phonons at finite momentum are not eigenstates of the Hamiltonian --> they can decay into other phonons and degrade their energy
- It is well know that most phonons can produce a "shower"



- After ~micrometer the phonon decays into softer phonons which are impossible to detect!
- However, if the sound speed of the two final phonons is larger than that of the initial phonon the decay is kinematically forbidden
 PHONON ENERGY (°к)
- The phonon's speed is not exactly linear
- Phonons with ω > 0.68 meV are stable against decay into two other phonons
 [Maris – Rev. Mod. Phys. (1977)]



PHONON(S) EMISSION One-phonon

- The simplest process one can consider is the emission of a single phonon
- When allowed it is dominant and <u>directional</u> ---> emission angle is fixed by kinematics (Cherenkov)

$$v_{\chi} \gg c_s \implies \cos \theta = \frac{c_s}{v_{\chi}} + \frac{q}{2m_{\chi}v_{\chi}} \simeq 60^\circ - 70^\circ$$



- Max phonon's energy is $\omega_{\text{max}} = 2c_s m_{\chi} v_{\chi} \simeq 10^{-9} m_{\chi} \longrightarrow$ phonons can only be detected if they have energy $\omega \ge 0.62 \text{ meV} \longrightarrow$ this process is only effective for $m_{\chi} \gtrsim 1 \text{ MeV}$
- Phonons have at most $\omega \leq 1 \text{ meV} \longrightarrow$ can only be detected via quantum evaporation
- Total rate: $\frac{d\Gamma}{d\omega} = \frac{G_{\chi}^2}{16\pi} \frac{\bar{n}}{m_{He}c_s^4 v_{\chi}} \omega^2$

PHONON(S) EMISSION Two-phonons

- Another interesting observable is the emission of two phonons
- This process is suppressed with respect to the one-phonon emission but:
 - I. It is effective also for <u>dark matter as light as I keV</u>
 - 2. It should be detectable via both quantum evaporation and energy deposit



PHONON(S) EMISSION Two-phonons

Matrix elements: •

Patrix elements:

$$\mathcal{M}_{a} = iG_{\chi} \frac{c_{s}^{2}}{\bar{n}} \left(m_{He} \frac{d^{2}\bar{n}}{d\mu^{2}} \omega_{1} \omega_{2} - \frac{d\bar{n}}{d\mu} \mathbf{q}_{1} \cdot \mathbf{q}_{2} \right)$$

$$\mathcal{M}_{b} = 2i \frac{m_{He}c_{s}^{2}}{\bar{n}} \frac{\omega}{\omega^{2} - c_{s}^{2}q^{2}} \left[\lambda_{3} \left(\omega_{1}\mathbf{q}_{2} \cdot \mathbf{q} + \omega_{2}\mathbf{q}_{1} \cdot \mathbf{q} + \omega\mathbf{q}_{1} \cdot \mathbf{q}_{2} \right) + 3\lambda_{3}^{\prime}\omega_{1}\omega_{2}\omega \right]$$

One difficulty: Lorentz boosts are broken
$$\longrightarrow$$
 cannot go to the reference frame of the incoming dark matter \longrightarrow the calculation must be performed in the lab frame with Monte Carlo techniques

1) energy-momentum
conservation;
2) experimental cuts;
3) applicability of EFT
$$\mathcal{L} = \frac{1}{8(2\pi)^4 c_s^5 m_\chi} \int_{\mathscr{R}} d\theta_{12} d\theta_2 d\omega_1 d\omega_2 \frac{\omega_2}{P} \frac{|\mathscr{M}_a + \mathscr{M}_b|^2}{\sqrt{1 - \mathscr{A}(\theta_{12}, \theta_2, \omega_1, \omega_2)^2}} \\ \mathscr{A}(\theta_{12}, \theta_2, \omega_1, \omega_2) = \frac{1}{\sin \theta_{12} \sin \theta_2} \left(\cos \theta_{12} \cos \theta_2 + \frac{\omega_2}{\omega_1} \cos \theta_2 - \frac{\omega_2}{c_s P} \cos \theta_{12} - \frac{\omega_1^2 + \omega_2^2}{2\omega_1 c_s P} \right) \\ -1 \leq \mathscr{A}(\theta_{12}, \theta_2, \omega_1, \omega_2) \leq 1$$

PHONON(S) EMISSION Two-phonons

- The maximum energy released to the system is when the two phonons are almost back-to-back (${\bf q}_1\simeq -\, {\bf q}_2$)
- Lighter dark matter \longrightarrow the relative angle between phonons gets closer to 180°

The angular distribution is strongly dependent on the dark matter mass:



[Caputo, AE, Polosa – arXiv:1907.10635]

• A hopeful idea: the distribution of the relative angle between the phonons could be used to measure the mass of the dark matter

Projected bounds Other experiments might be



[[]Caputo, AE, Polosa - arXiv:1907.10635]

• However: huge suppression with respect to pure phase space! Why?

PHONON(S) EMISSION Small q suppression

- Thanks to the EFT it is easy to understand the reason for the suppression

$$\frac{1}{q_{1}} + \frac{1}{q_{1}} + \frac{1}{q_{1}} + \frac{1}{q_{1}} = O\left(\frac{1}{q_{1}}^{2}/\omega^{2}\right)$$
Highly off-shell

• At a deeper level, this is a consequence of current conservation for the U(1) symmetry

$$J^{\mu}(x) = (n(x), \mathbf{j}(x))$$

$$\partial_{\mu}^{(x)} \langle \mu | T \left(J^{\mu}(x) \pi(x_1) \pi(x_2) \right) | \mu \rangle = -i \delta^{(4)}(x - x_1) \langle \mu | \pi(x_2) | \mu \rangle - i \delta^{(4)}(x - x_2) \langle \mu | \pi(x_1) | \mu \rangle$$

$$q_{\mu}\langle \pi(q_1)\pi(q_2) | J^{\mu}(q) | \mu \rangle = 0 \quad \stackrel{\mathbf{q}=0}{\longrightarrow} \quad \langle \pi(\omega_1, \mathbf{q}_1)\pi(\omega_1, -\mathbf{q}_1) | n(\omega, \mathbf{q}=0) | \mu \rangle = 0$$

[similar effect for a phonon in crystals. Any relation? See Cox, Melia, Rajendran – arXiv:1905.05575]

PHONON(S) EMISSION Small q suppression

- The previous cancellation only happens if the dark matter couples <u>exactly</u> to the He-4 number density
- If this coupling is modified things change drastically
- A toy model:

$$\mathcal{U}_{int} = G_{\chi} m_{\chi} |\chi|^2 n^{\alpha}(x) \,\bar{n}^{1-\alpha}$$



How general is a coupling to the number density?

In presence of different couplings a He-4 experiment would be much more promising than expected!

SUMMARY SO FAR

- To summarize the main points so far:
- I. The EFT for superfluids allows to describe the phonon's interactions with itself and with the dark matter in a QFT language (actions, Feynman diagrams, etc.)
- 2. A combination of one- and two-phonon emission allows to cover a range of masses from the GeV down to the keV (if phonons are detectable!)
- 4. This points to the fact that most of the rate is due to phonons with little contribution from rotons
- 5. The total rate is suppressed due to an exact cancellation in the q = 0 limit \longrightarrow can we bypass this?

DM - PHONON INTERACTION WITH VECTOR MEDIATOR

The effective action

- Consider a model where the dark matter interacts with the Standard Model via a new massive gauge boson which mixes kinetically with the photon (dark photon)

The effective action reads (recall:
$$\psi = \mu t + \pi$$
, $X = \sqrt{-\partial_{\mu}\psi\partial^{\mu}\psi}$)
Kinetic mixing Dark matter - dark photon coupling
 $S = -\int d^{4}x \left[\frac{1}{4} F_{\mu\nu}F^{\mu\nu} + \frac{1}{4} V_{\mu\nu}V^{\mu\nu} - \frac{\epsilon}{2} F_{\mu\nu}V^{\mu\nu} + \frac{m_{V}^{2}}{2}(1-\epsilon)V_{\mu}V^{\mu} + |\partial_{\mu}\chi + igV_{\mu}\chi|^{2} + m_{\chi}^{2}|\chi|^{2} - \frac{a(X)}{2} F_{\mu\nu}F^{\mu\nu} - \frac{b(X)}{2} F^{\mu\sigma}F^{\nu}{}_{\sigma}\partial_{\mu}\psi\partial_{\nu}\psi \right]$
Photon - phonon coupling

• What are the functions *a* and *b*?

DM - PHONON INTERACTION WITH VECTOR MEDIATOR

Estimate the effective couplings

- The effective couplings *a* and *b* can be related to static properties of He-4
- Consider the equilibrium configuration, $\langle \psi \rangle = \mu t$ and $\langle X \rangle = \mu$. The Lagrangian and Hamiltonian read

$$\mathscr{L} \supset -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + \frac{a(\mu)}{2}F_{\mu\nu}F^{\mu\nu} + \frac{\mu^2 b(\mu)}{2}F_{0\mu}F_0^{\ \mu} \quad \rightarrow \quad H = \int d^3x \frac{1}{2} \left[\left(1 - 2a + \mu^2 b\right) \mathbf{E}^2 + (1 - 2a)\mathbf{B}^2 \right]$$

• From standard electromagnetism we then deduce that

$$1 - 2a + \mu^2 b = 1 + \bar{n}\alpha_E$$
, and $\frac{1}{1 - 2a} = 1 + \bar{n}\alpha_M$

• Since $\bar{n}\alpha_M \ll \bar{n}\alpha_E$ we find the effective couplings as function of the chemical potential

$$a(\mu)\simeq 0\,,\qquad \mu^2 b(\mu)\simeq \bar{n}(\mu)\alpha_E\sim 10^{-2}$$

[see e.g. Fetter - Journal of Low Temperature Physics (1972)]

DM - PHONON INTERACTION WITH VECTOR MEDIATOR

Help from an external field

$$S = -\int d^{4}x \left[\frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \frac{1}{4} V_{\mu\nu} V^{\mu\nu} - \frac{\epsilon}{2} F_{\mu\nu} V^{\mu\nu} + \frac{m_{V}^{2}}{2} (1 - \epsilon) V_{\mu} V^{\mu} + |\partial_{\mu}\chi + ig V_{\mu}\chi|^{2} + m_{\chi}^{2} |\chi|^{2} - \frac{a(X)}{2} F_{\mu\nu} F^{\mu\nu} - \frac{b(X)}{2} F^{\mu\sigma} F^{\nu}{}_{\sigma} \partial_{\mu} \psi \partial_{\nu} \psi \right]$$

• The relevant Feynman rules obtained from the previous action are:



• Phonon-photon coupling is small but it can be enhanced introducing an external field

ONE-PHONON EMISSION Background rejection

- The smallness of the coupling makes the rate pretty small ---> the only promising process is the emission of a single phonon
- The strongest electric field one can produce in lab is ~ 100 kV/cm [Ito et al. - Rev. Sci. Instrum. 2016, 1510.06068]
- The total rate now depends on the relative angle between the incoming dark matter and the external field



[Caputo, AE, Geoffray, Polosa, Sun - arXiv:1911.04511]

• By suitably rotating the electric field with time this can give a good background rejection

ONE-PHONON EMISSION Total rates

• The emission rates for the case of a heavy and a light dark photon are

$$\frac{d\Gamma}{d\omega}\Big|_{m_V \gg q} \simeq \frac{\mathbf{E}^2}{12\pi} \frac{g^2 \epsilon^2}{m_V^4} \frac{\bar{n} \alpha_E^2}{c_s^6 m_{He} v_\chi} \omega^4; \qquad \frac{d\Gamma}{d\omega}\Big|_{m_V \ll q} \simeq \frac{\mathbf{E}^2}{12\pi} g^2 \epsilon^2 \frac{\bar{n} \alpha_E^2}{c_s^2 m_{He} v_\chi}$$

The projected excluded regions are then





• How competitive are these?

ONE-PHONON EMISSION

Exclusion region — heavy dark photon

• The current existing constraints are



[T. Lin - arXiv:1904.07915]

 The heavy dark photon bounds from He-4 fall right in the middle of the stellar and accelerator constraints -----> not competitive

ONE-PHONON EMISSION

Exclusion region — light dark photon

- A He-4 experiment can be competitive with other existing bounds only for ultra-light dark photon ($m_V \lesssim 10^{-13}$ eV)
- Excluded region for a single phonon with external electric field



However, in the region where He-4 performs best there are existing BBN bounds which are competitive

CONCLUSIONS

- In particular:
 - a. Rotons play only a marginal role for the observable we are interested in

 - c. We understood why the keV-MeV mass region is strongly influenced by a <u>cancellation</u> <u>between matrix elements</u>
- More generally, the EFTs for condensed matter are mature enough to be successfully applied to relevant phenomenological problems

FUTURE PLANS

 Very important check: what about the 2 + (I-soft) phonon? Can this modify the projected exclusion region in a significant way?



- Possible other materials? E.g. emission of optical phonons in polar materials (w/T. Melia), Crystals with high natural electric fields.
- Other devices involving He-4? E.g. exploit phase transition and viscosity and/or production of vortices

THANK YOU!

BACK UP

PHONON'S STABILITY

Conservation of energy and momentum for a phonon decaying into two other phonons give

$$c_1q_1 = c_2q_2 + c_3q_3$$
, $q_1 = \sqrt{q_2^2 + q_3^2 + 2q_2q_3\cos\theta_{23}} \le q_2 + q_3$

• The first equation implies

$$q_1 = q_2 + q_3 + \frac{c_2 - c_1}{c_1}q_2 + \frac{c_3 - c_1}{c_1}q_3$$

• If both $c_2, c_3 > c_1$ then the last equation gives $q_1 \ge q_2 + q_3$, which is in contradiction with the first equation and hence the decay is forbidden